

A satellite view of Earth from space, showing the curvature of the planet, blue oceans, white clouds, and brown and green landmasses. The background is a dark brown color with a faint grid of latitude and longitude lines.

# Developing the Next-Generation Land Surface Models: Challenges and Achievements

**Zong-Liang Yang**

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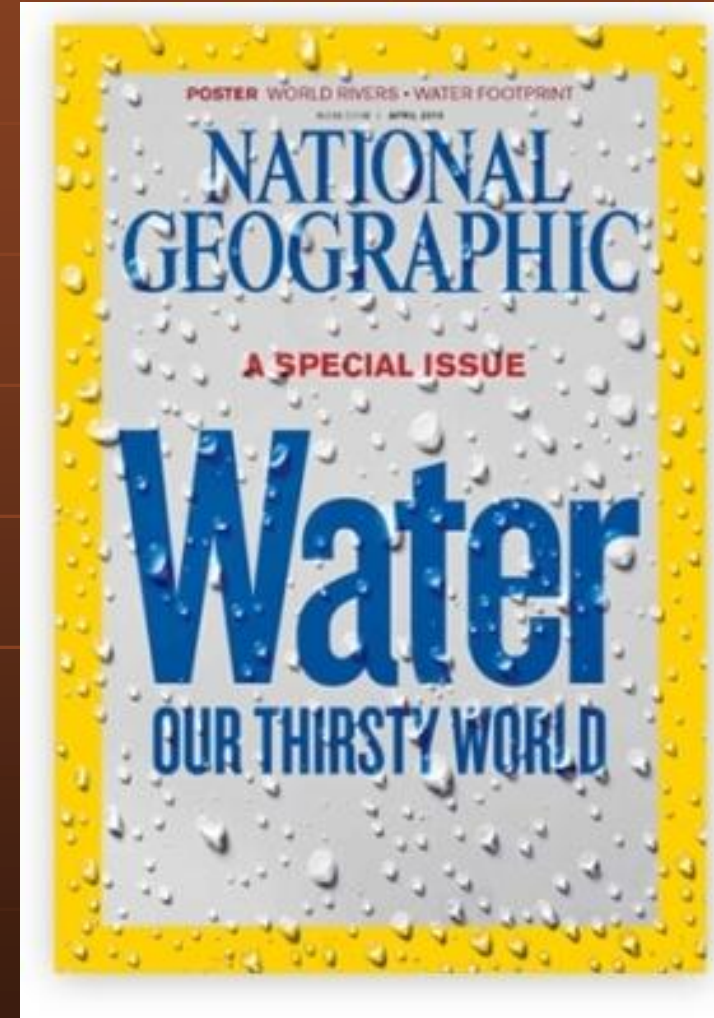
**JACKSON**

SCHOOL OF GEOSCIENCES

Presentation at the Institute of Atmospheric Physics,  
Chinese Academy of Sciences, Beijing, 26 May 2011

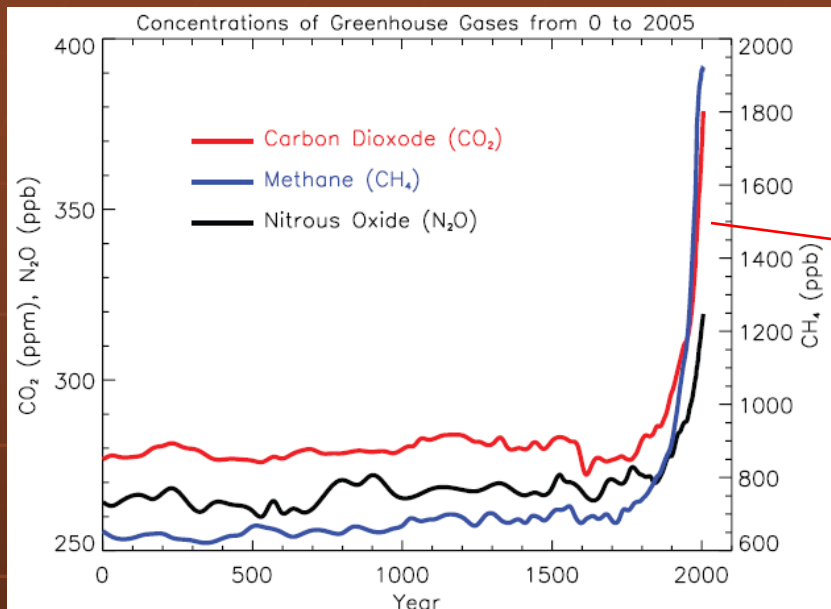
# Why Land

- Land has direct societal relevance: **we live on land!**
- Land provides us **food, clothing, shelter, and infrastructure.**
- Land is at the central stage for **extreme** weather/climate events (droughts, floods, dust storms, bush fires).
- Land processes are **complex, highly heterogeneous, multi-disciplinary, and multi-scale!**

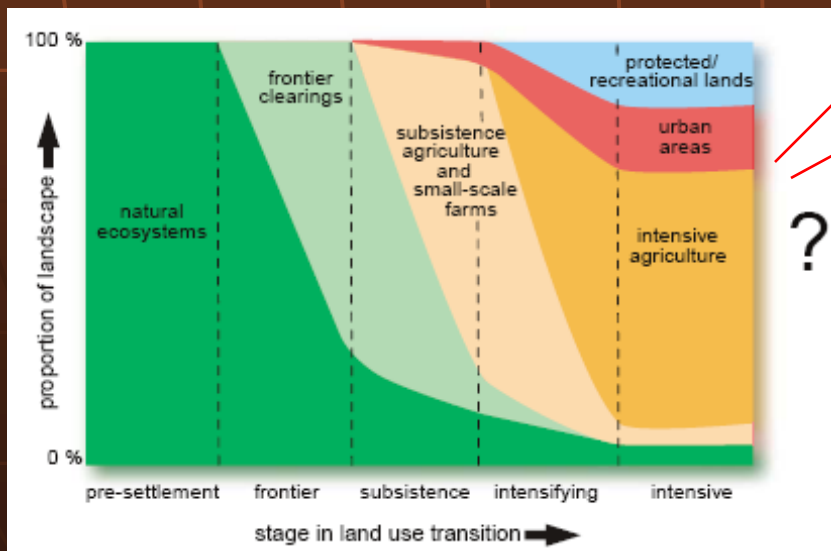
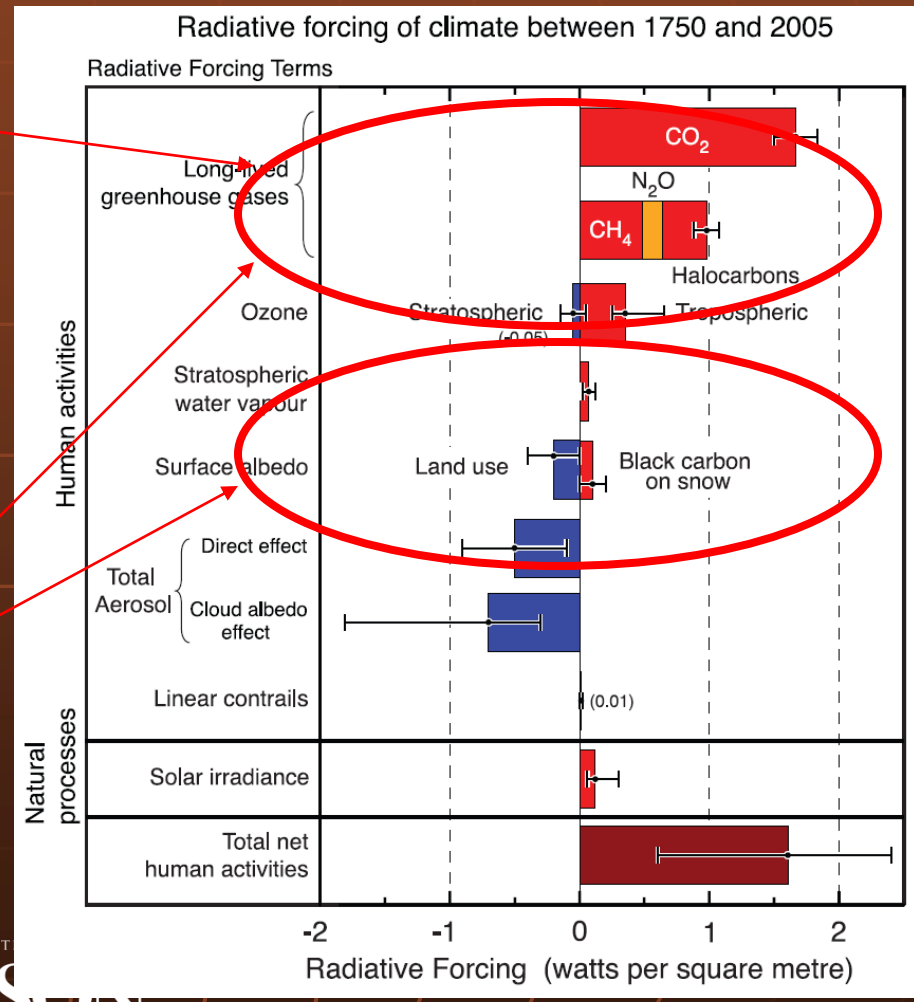


# Climate Change:

## Greenhouse Gases versus Land Use and Land Cover Change



**IPCC 2007**

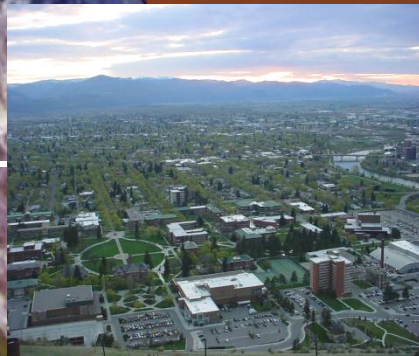


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CKS  
FOLEY et al. 2005

# DYNAMIC GLOBAL LAND TRANSITIONS

LANDUSE  
[Human control]

LANDCOVER  
[Biophysically controlled]



Human Systems

HUMAN DECISION MAKING  
political/economic choices

Ecological Systems

- Institutions
- Culture
- Technology
- Population
- Economic

- Biogeochemistry
- Genetic bank
- Water
- Air

## Economic Problems

- poverty
- unequal wealth
- war
- globalization

## Ecological Problems

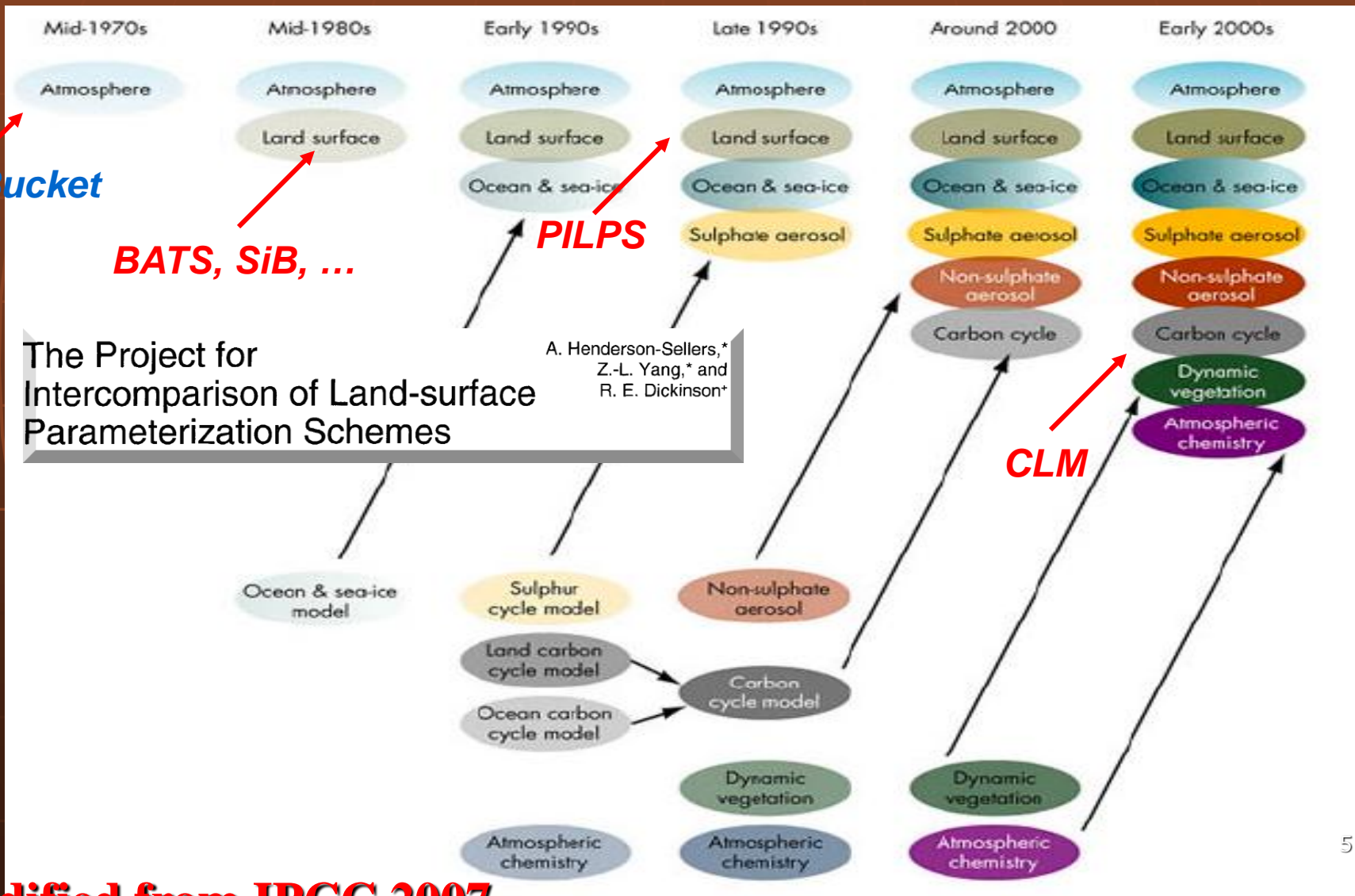
- pollution
- diseases
- food/fibre/fuel shortages
- overcrowding

## Ecosystem goods & services

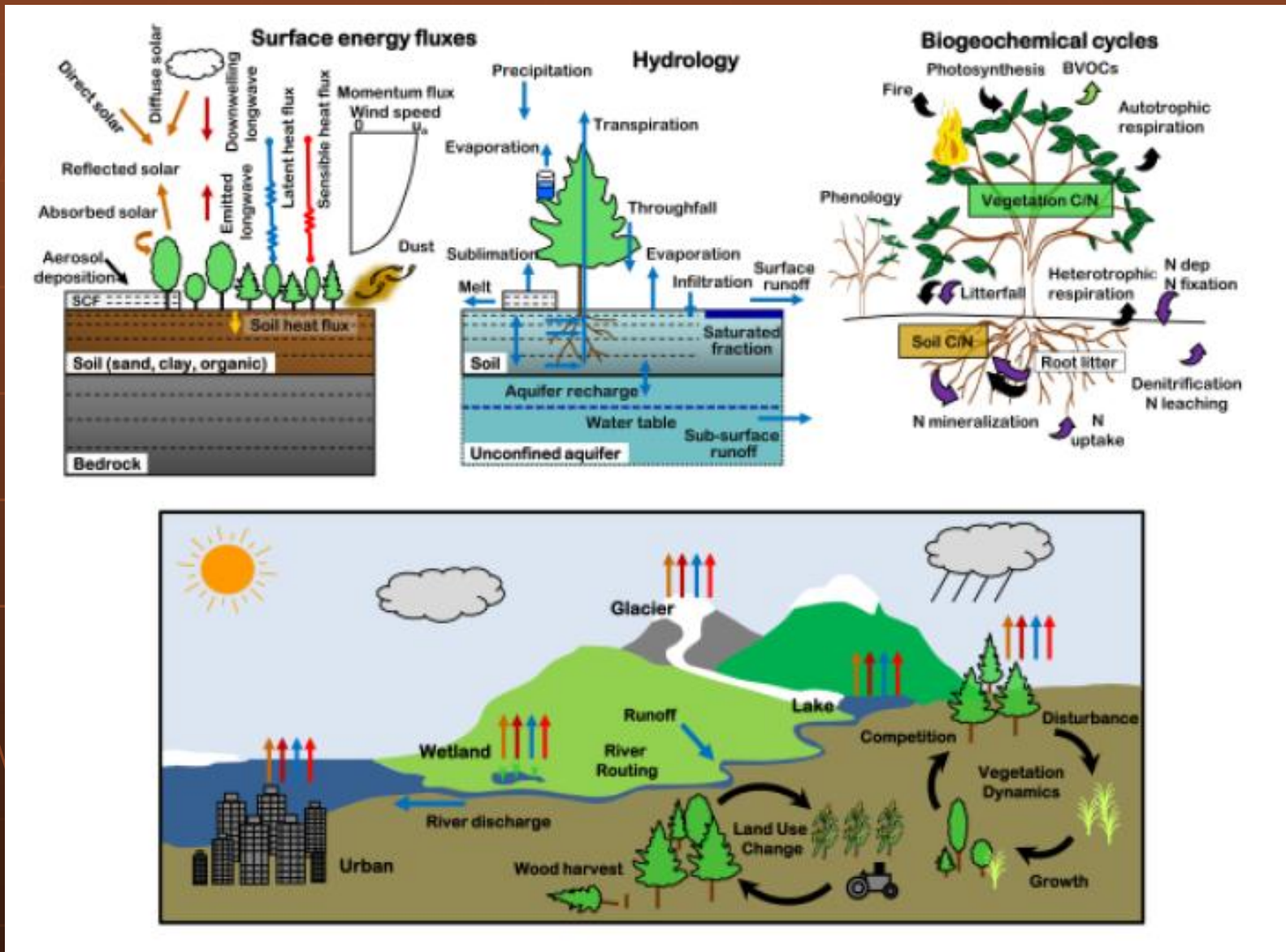
- clean air/water
- waste recycling
- food/fibre/fuel
- recreation

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# History: Land has been an important component in weather and climate models



# Community Land Model (CLM4): 2010 →



# CLM4

- Evolved from CLM3.5 (released in 2008 by *Oleson et al.*). CLM3.5 improves over CLM3 (released in 2004)
  - Surface runoff (Niu, Yang *et al.*, 2005)
  - Groundwater (Niu, Yang, *et al.*, 2007)
  - Frozen soil (Niu and Yang, 2006)
  - Canopy integration, canopy interception scaling, and pft-dependency of the soil stress function (Lawrence *et al.*, 2007)
- **CLM4 (released in 2010) improves over CLM3.5**
  - Prognostic in carbon and nitrogen (CN) as well as vegetation phenology; the dynamic global vegetation model is merged with CN
  - Transient landcover and land use change capability
  - **Urban canopy (Oleson *et al.*)**
  - **BVOC component (MEGAN2) (Guenther *et al.*)**
  - **Dust emissions**
  - Updated hydrology and ground evaporation
  - New density-based snow cover fraction, snow burial fraction, snow compaction
  - Improved permafrost scheme: organic soils, 50-m depth (5 bedrock layers)
  - Conserving global energy by separating river discharge into liquid and ice water streams

# Reality Check



## The Water Cycle



## Carbon cycle

## Nitrogen cycle





# Outline

- Introduction
- Vegetation
- Water
- Data Assimilation
- Landscape to Coast
- Summary: Take Away Messages
- Future Work

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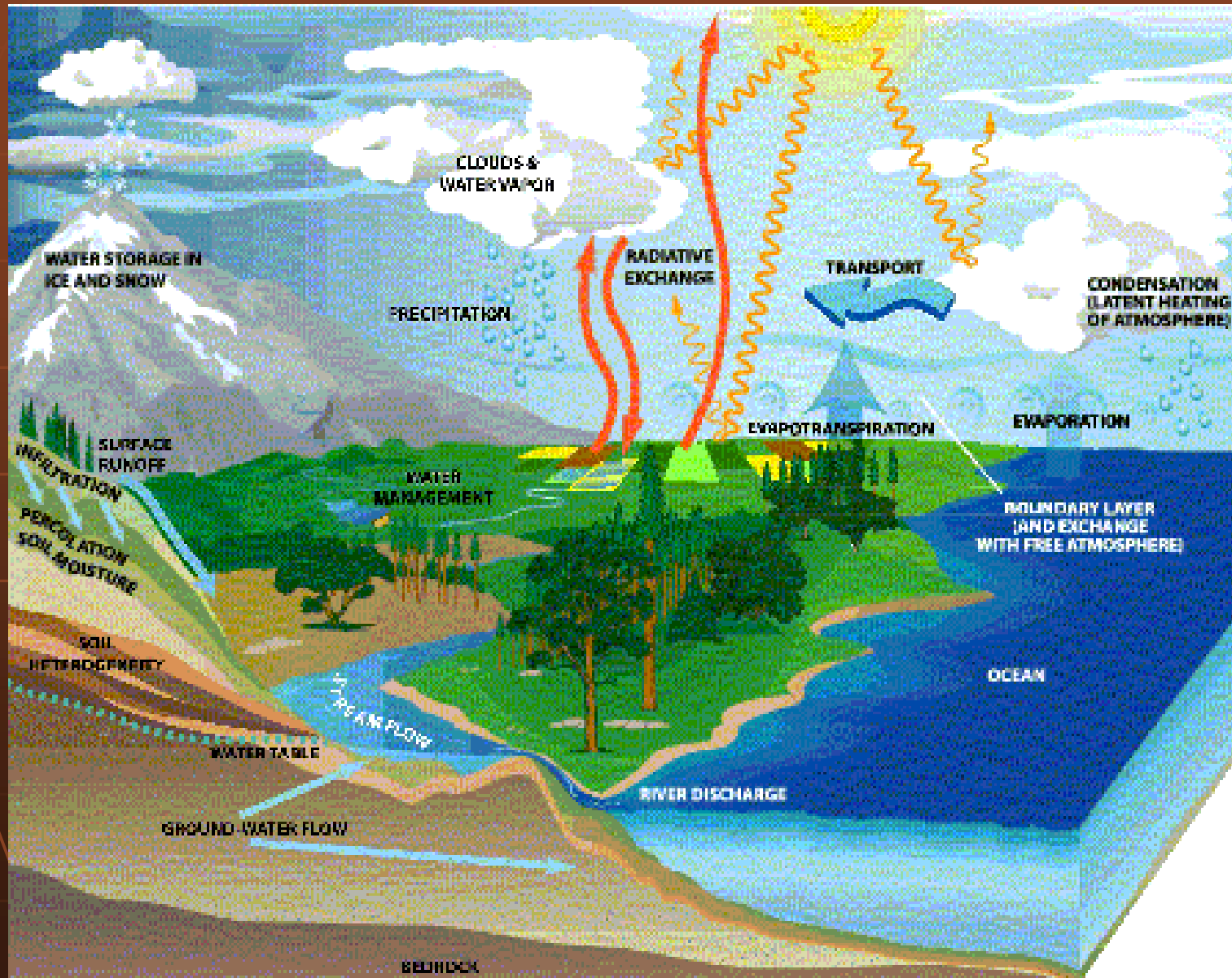
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# Land Surface Processes

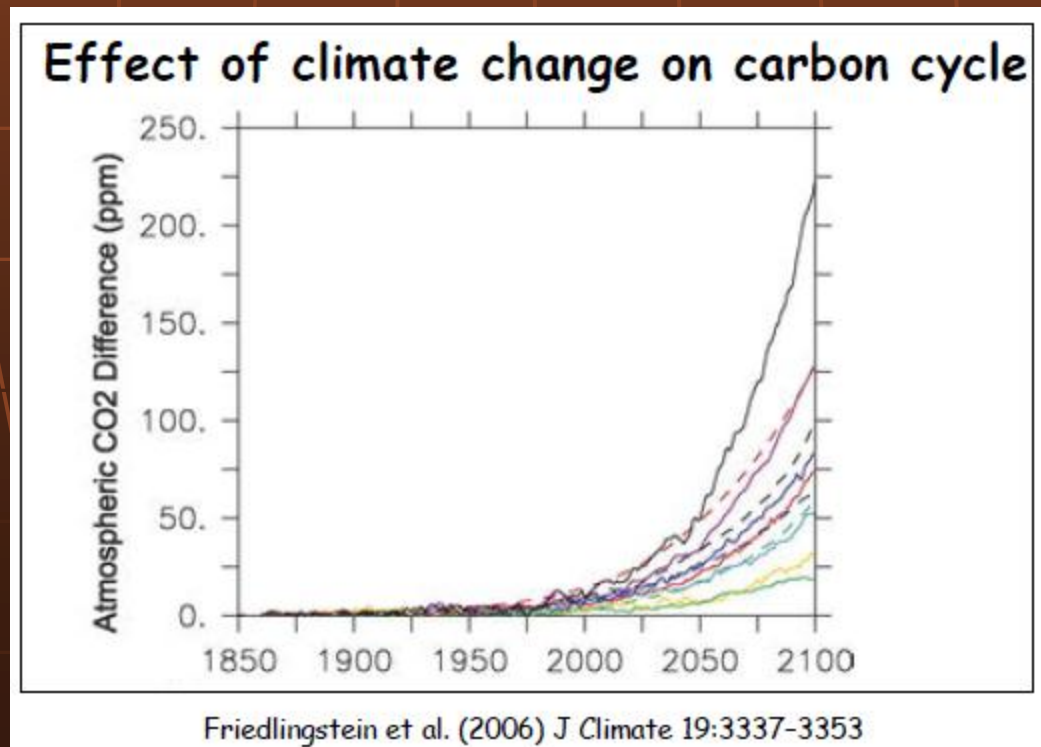


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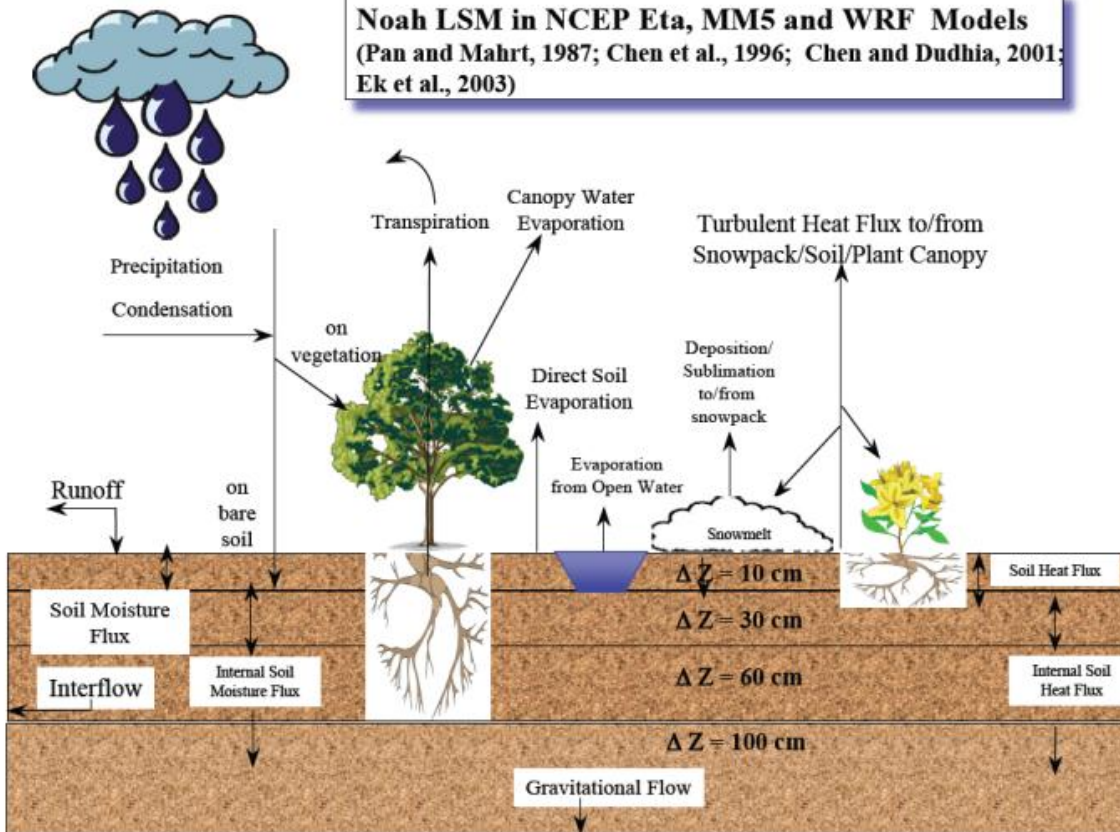
# Issues in Vegetation Research

Short-term weather, climate, and atmospheric chemistry models lag behind climate change models in treating interactive canopy and biogeochemistry.



# Noah Land Surface Model

Noah LSM in NCEP Eta, MM5 and WRF Models  
(Pan and Mahrt, 1987; Chen et al., 1996; Chen and Dudhia, 2001;  
Ek et al., 2003)



- Noah is a default LSM in NCEP Eta, MM5, and WRF models.
- Leaf area index (LAI) and % vegetation cover are prescribed from satellite data.
- Intra-seasonal to inter-annual prediction requires an interactive vegetation canopy or prognostic phenology.

# Interactive Vegetation Canopy

The model includes a set of carbon mass (g C/m<sup>2</sup>) balance equations for:

1. Leaf mass
2. Stem mass
3. Wood mass
4. Root mass
5. Soil carbon pool (fast)
6. Soil carbon pool (slow)

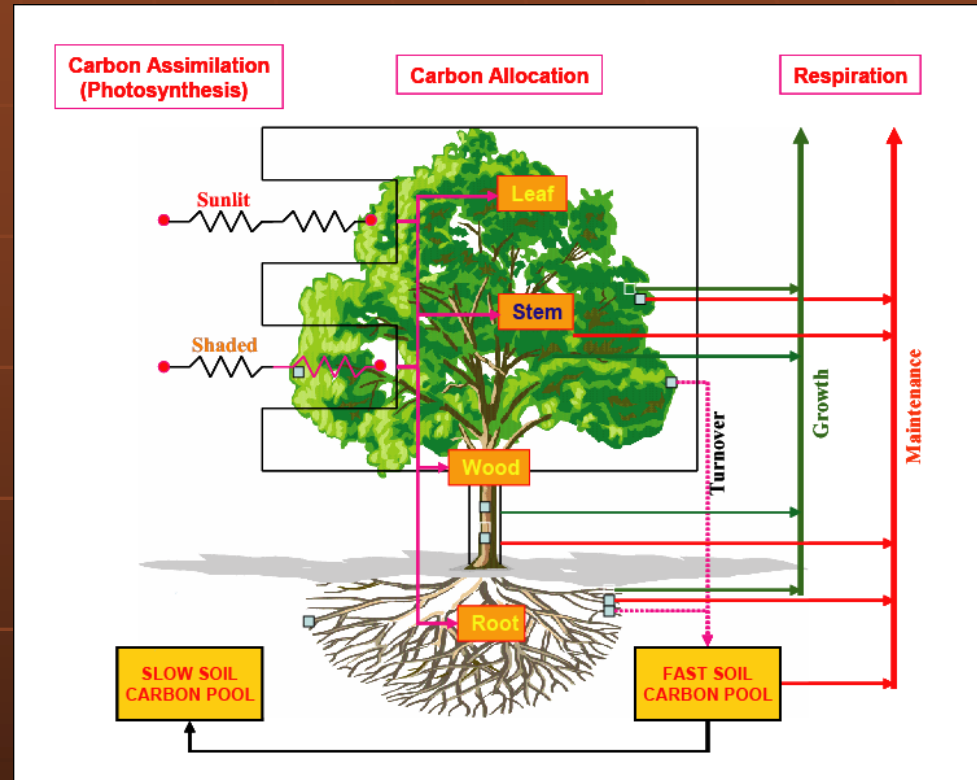
Processes include:

1. Photosynthesis ( $S_{\downarrow}$ ,  $T$ ,  $\theta$ ,  $e_{air}$ ,  $CO_2$ ,  $O_2$ ,  $N \dots$ )
2. Carbon allocation to carbon pools
3. Respiration of each carbon pool ( $T_v \theta$ ,  $T_{root}$ )

$$\frac{\partial M_{leaf}}{\partial t} = R_{gain} - R_{loss}$$

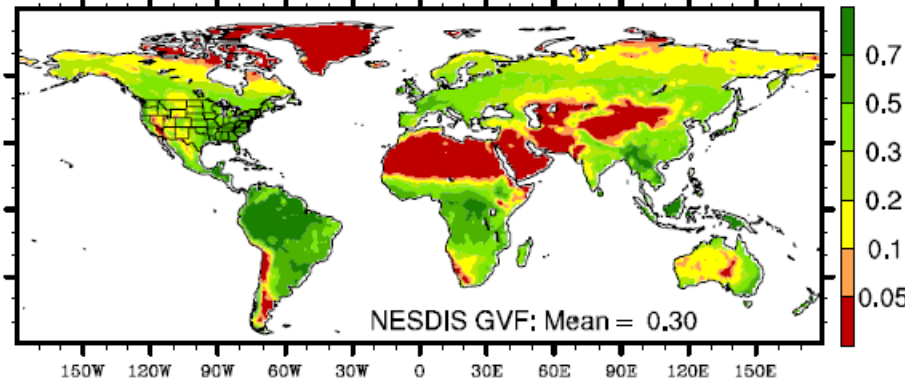
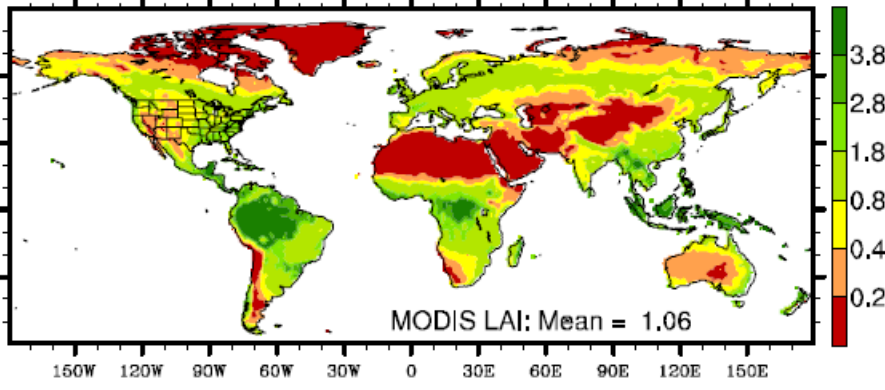
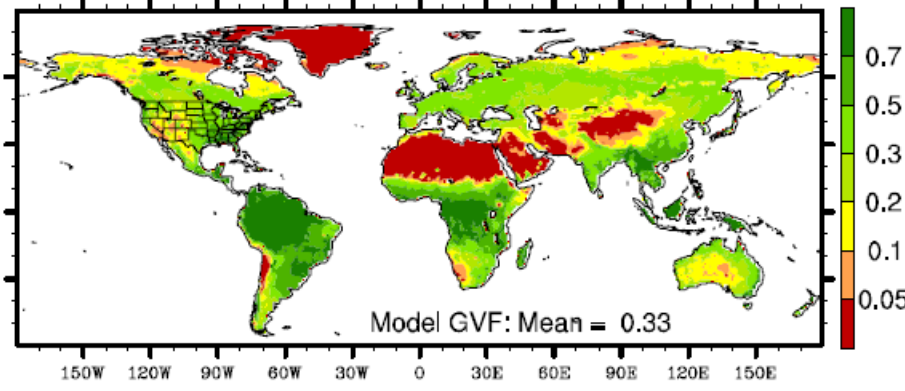
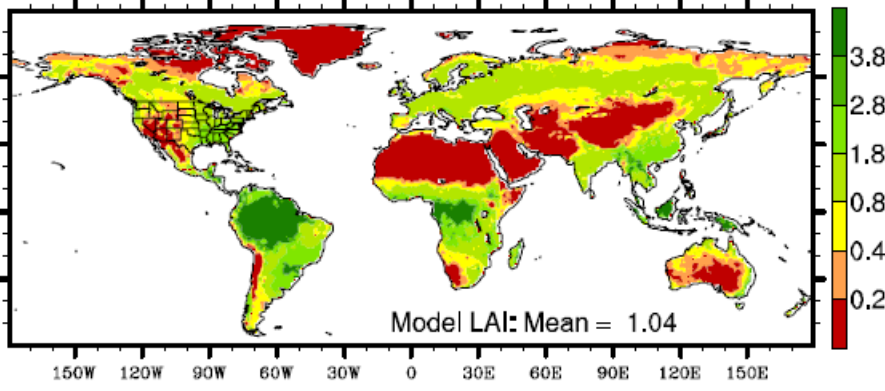
Carbon gain rate: photosynthesis \* fraction of carbon partition to leaf  
 Carbon loss rate: leaf turnover (proportional to leaf mass)  
 respiration: maintenance & growth (proportional to leaf mass)  
 death: temperature & soil moisture

$LAI = M_{leaf} * C_{area}$  where  $C_{area}$  is area per leaf mass (m<sup>2</sup>/g).



Dickinson et al. (1998),  
 Yang and Niu (2003)

# Comparison of **Noah-MP** and Satellite LAI and % Vegetation Cover (GVF)

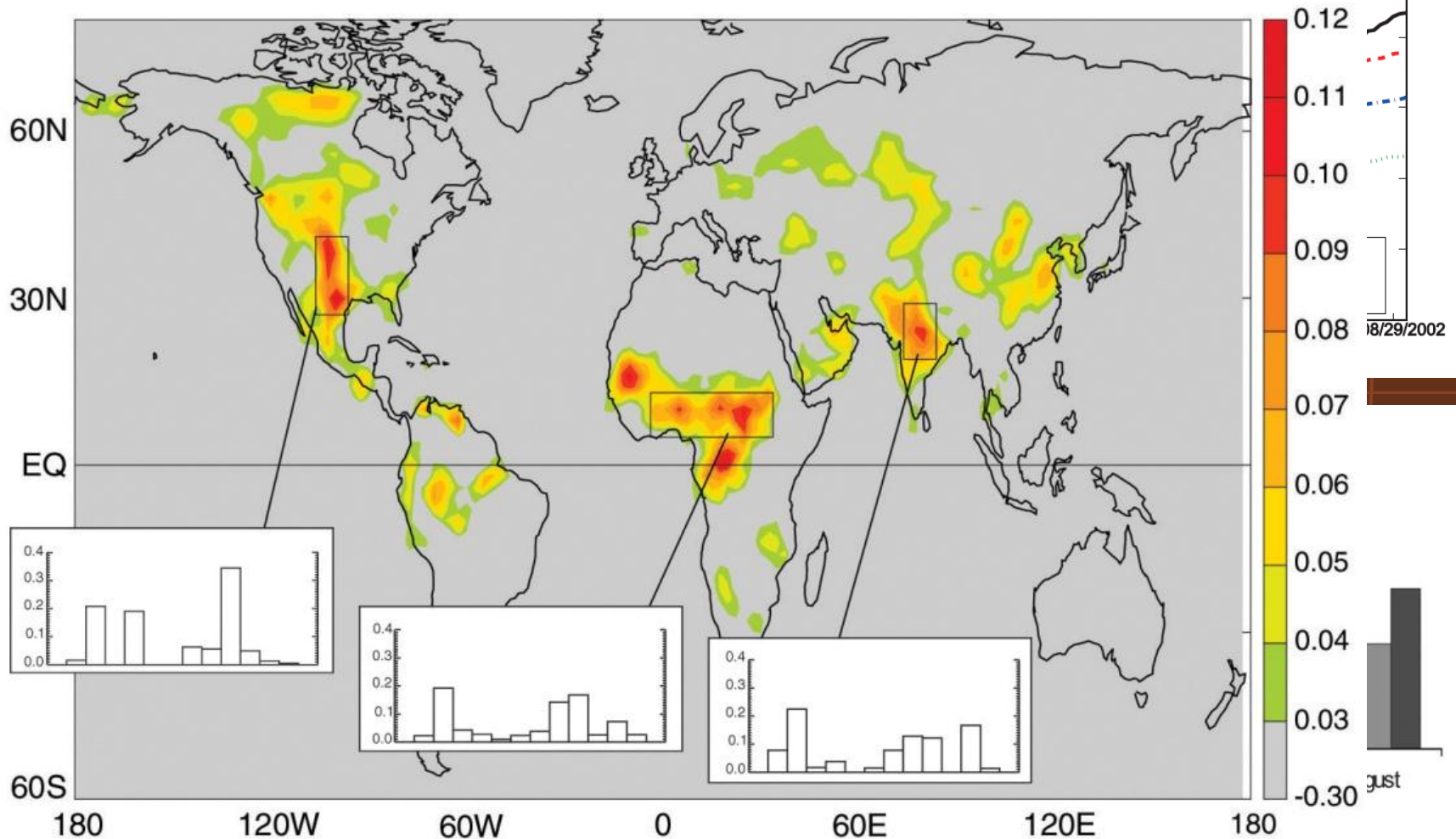




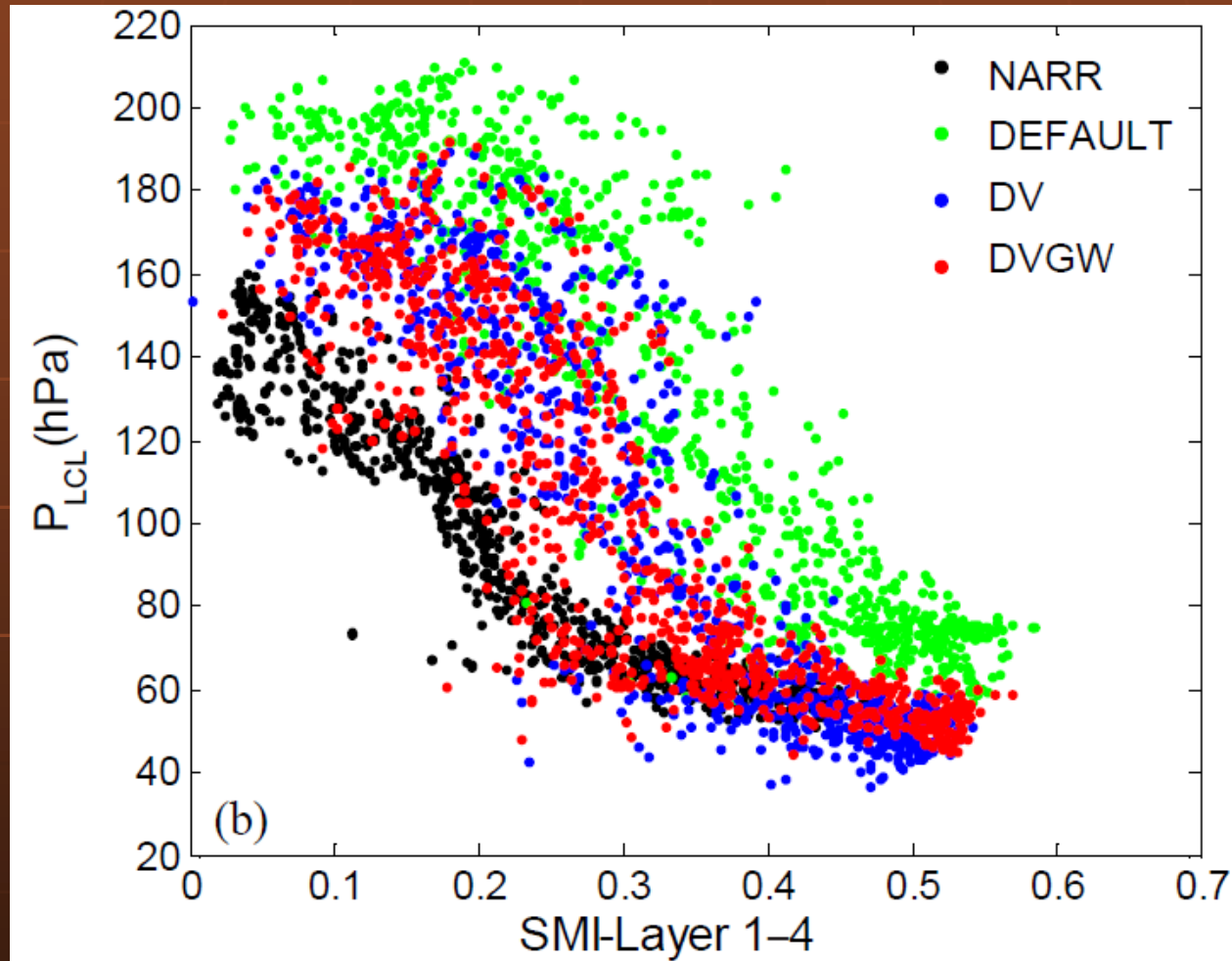
# WRF Simulated & Observed Monthly and Seasonal Mean Precipitation in Central Great Plains

- W (D)
- ra (P)
- D (D)
- R (D)
- si (D)
- bo (P)
- pl (S)
- In (S)
- gr (S)
- re (S)
- bo (S)
- pr (S)
- tr (S)

Land-atmosphere coupling strength (JJA), averaged across AGCMs



# Lifting condensation level (LCL) height versus soil moisture index (SMI) in the soil layers



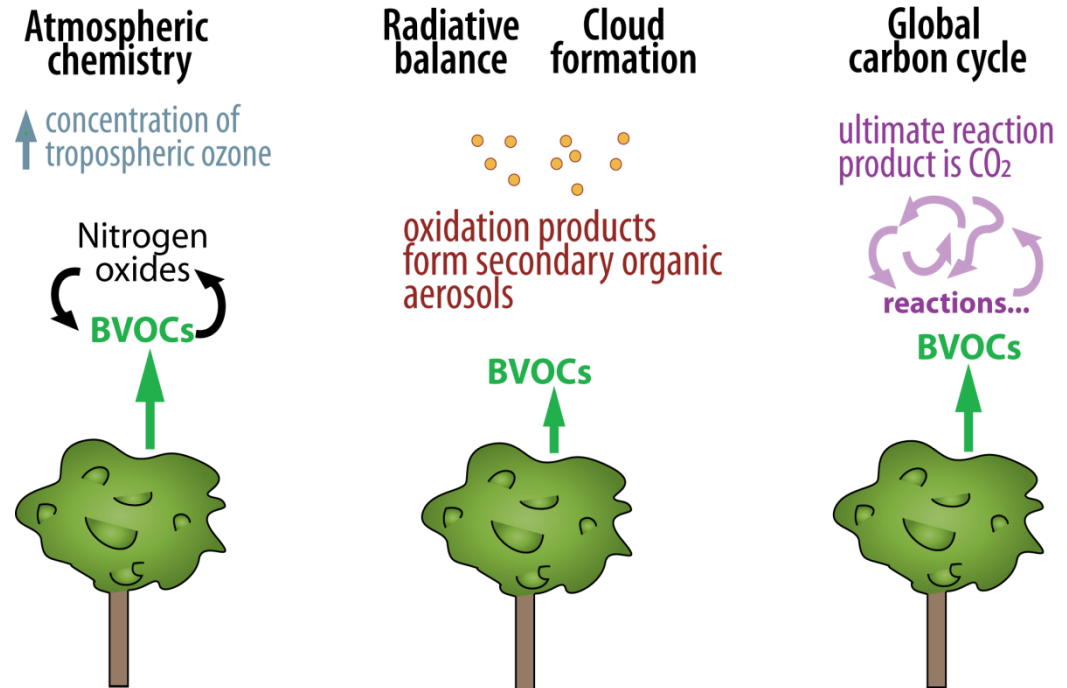
# Biogenic emissions of volatile organic compounds (BVOCs)

Isoprene ( $C_5H_8$  异戊二烯), monoterpene ( $C_{10}H_{16}$  单萜烯),  
other reactive VOCs

Trace gases in the air

Important roles

BVOCs = 90% VOCs



# Climate Change and BVOC Emissions



## BVOC emissions vary by species



Live Oak

American Elm

### 1. Climate change affects BVOC emissions:

- **directly:** by altering incident solar radiation, precipitation, temperature, etc.
- **indirectly:** by altering leaf area index, species composition and density

### 2. Anthropogenic land-cover change alters species composition → affects BVOC emissions

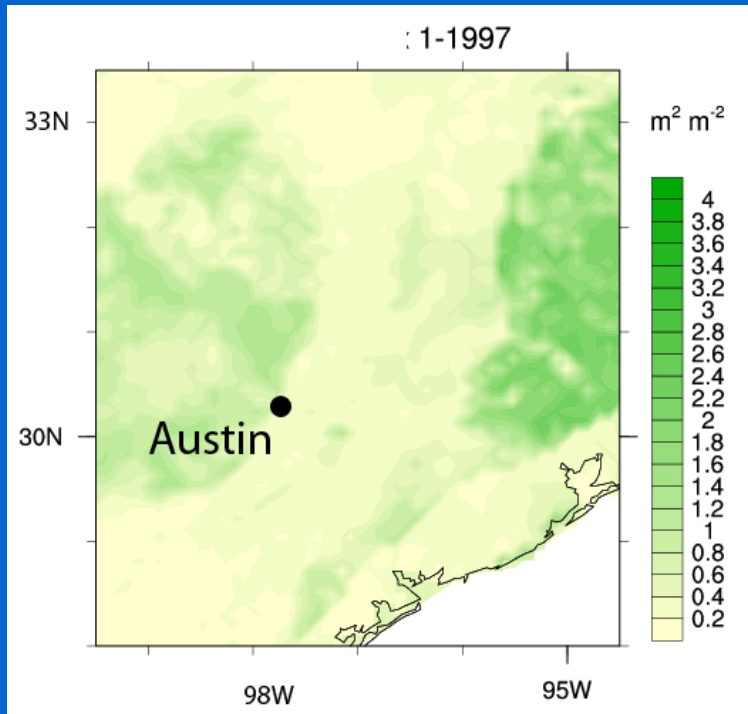
### Some challenges:

Climate models have a high **uncertainty** in simulating key weather variables

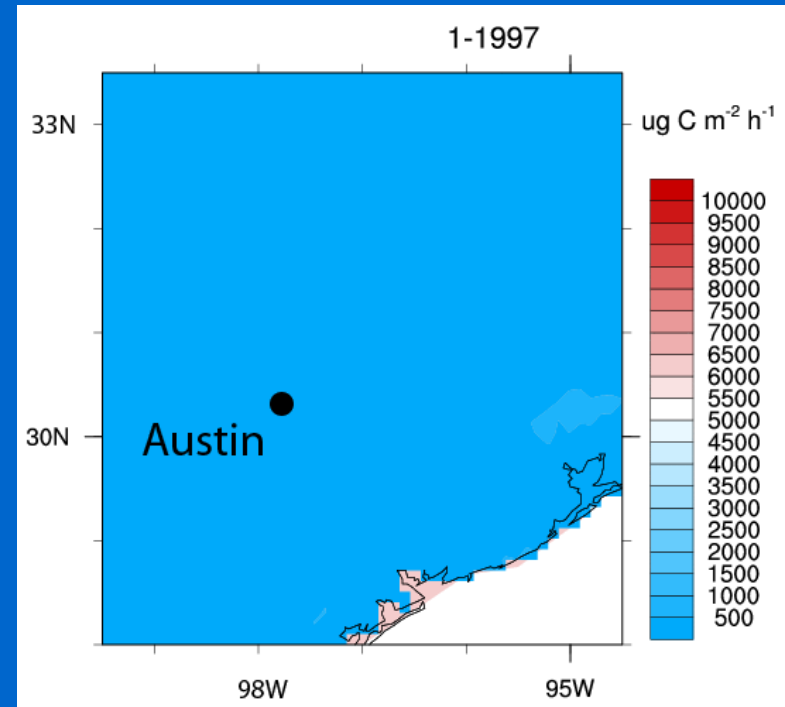
Land-surface models represent vegetation as mosaics of **plant functional types, not species**

# Precipitation Variability Drives Year-to-year Changes in Leaf Biomass and Biogenic Emissions (movie)

## Leaf area index in Texas



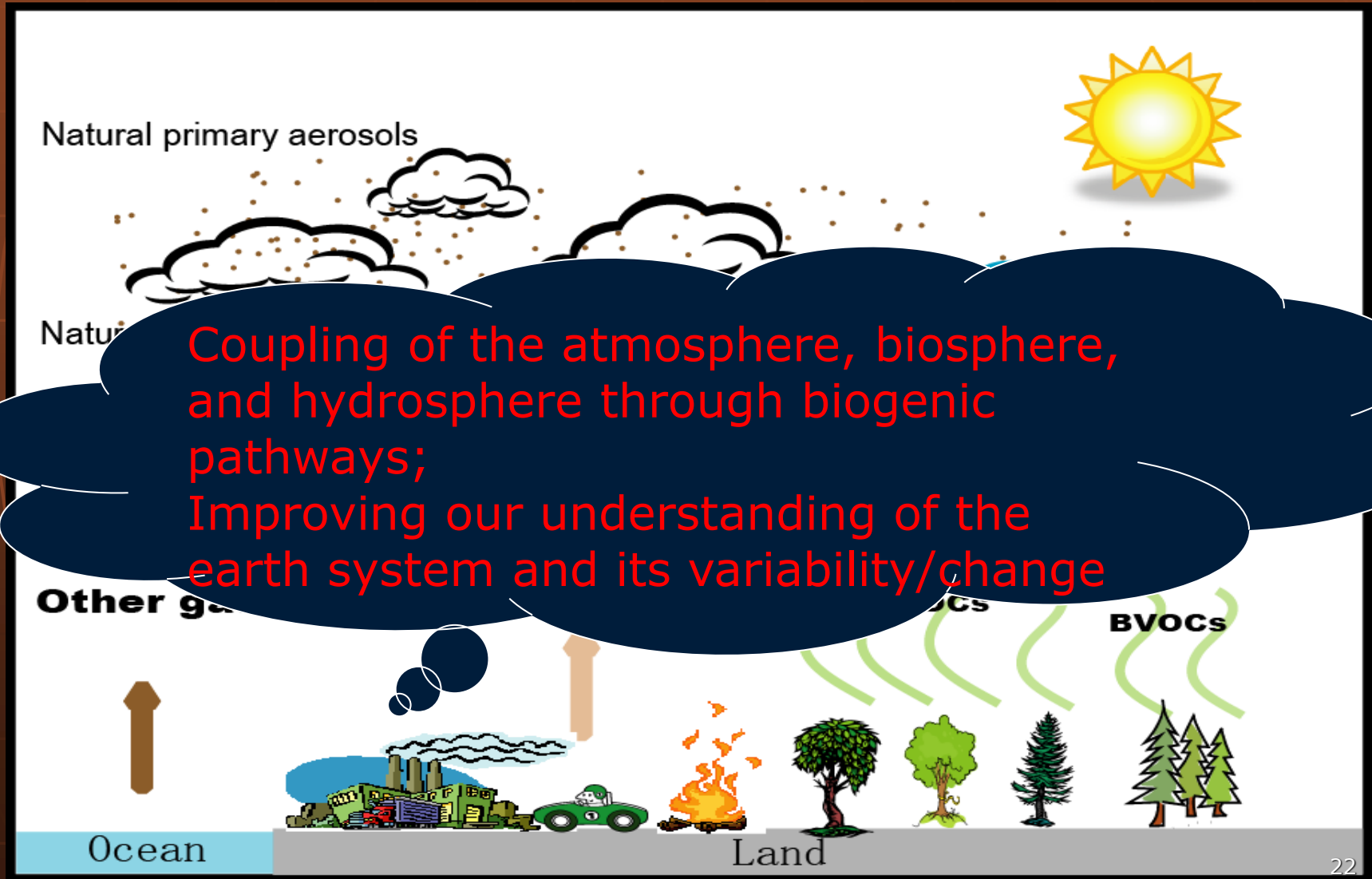
## Biogenic emissions in Texas



Gulden, L. E., Z.-L. Yang and G.-N. Niu, 2007, *J. Geophys. Res.*, **112** (D14), D14103, 10.1029/2006JD008231.

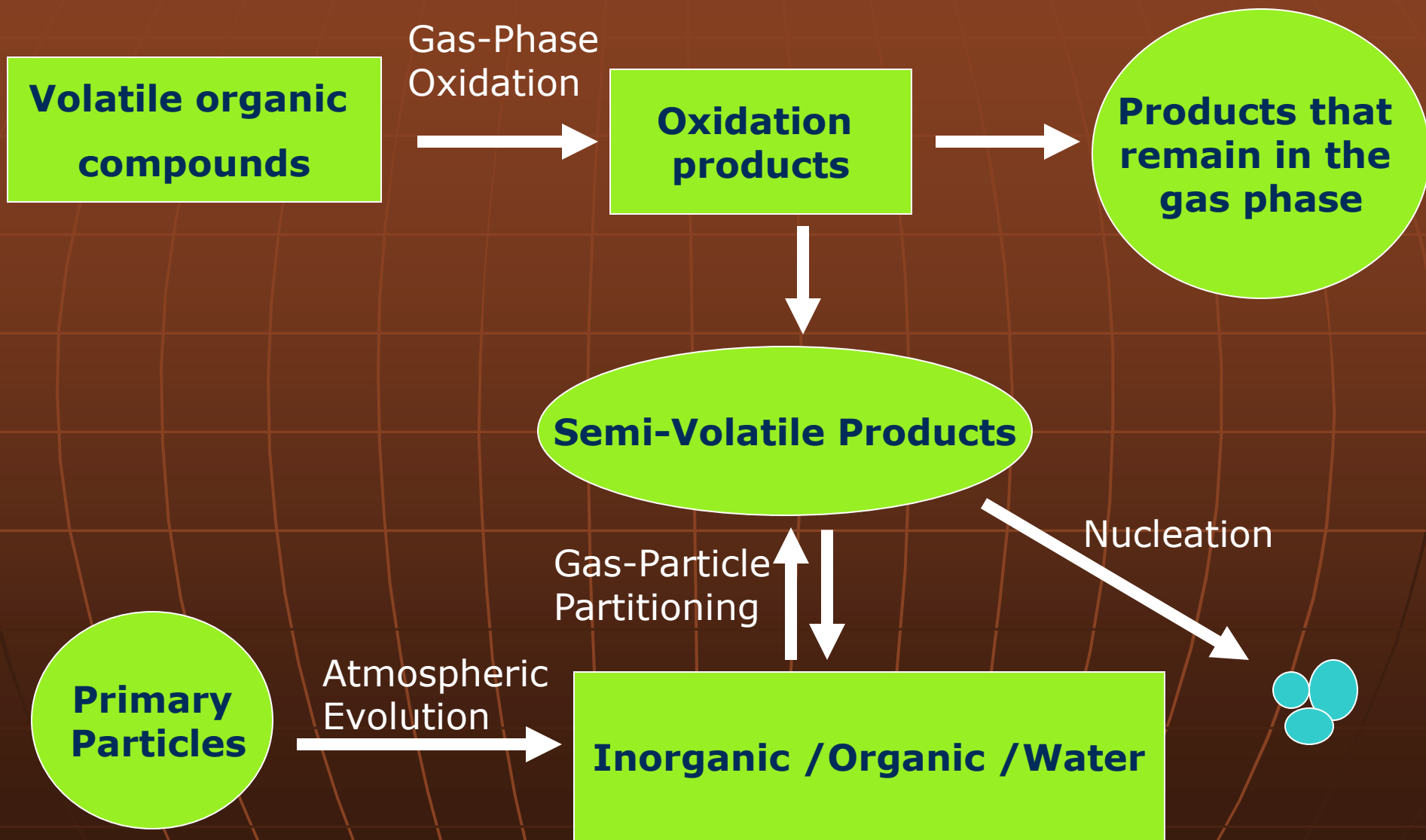
Gulden, L.E. and Z.-L. Yang, 2006, *Atmospheric Environment*, **40(8)**, 1464-1479.

# Biogenic Volatile Organic Compounds (BVOCs) and Secondary Organic Aerosols (SOAs)



Coupling of the atmosphere, biosphere, and hydrosphere through biogenic pathways;  
Improving our understanding of the earth system and its variability/change

# Route of SOA formation



# SOA formation

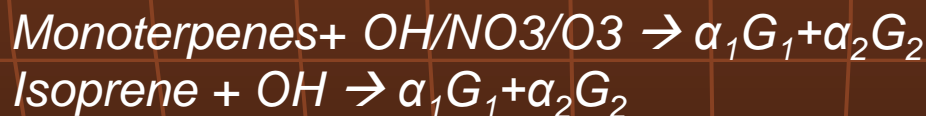
## Partitioning between gas and particle phases

$$A_i = K_i G_i M_o$$

$M_o$  : pre-existing aerosols  
 $A_i$  : Aerosol concentration

(Pankow, 1994)

Semi-Volatile reactive organic gases ( $G_i$ )



Stoichiometric yields ( $\alpha_i$ )

2-product model (Odum et al., 1996)

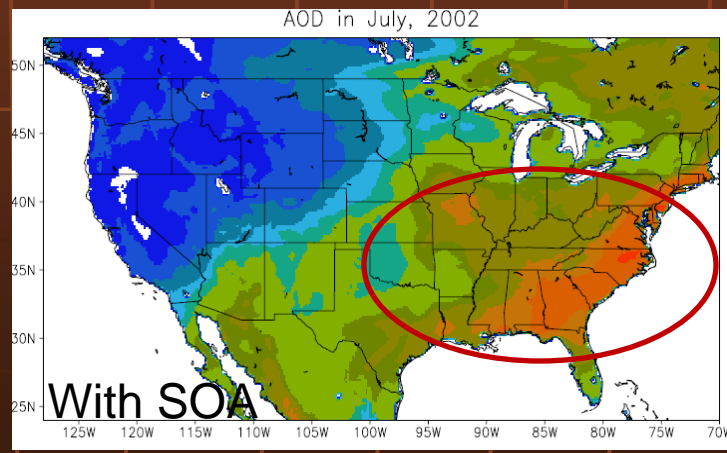
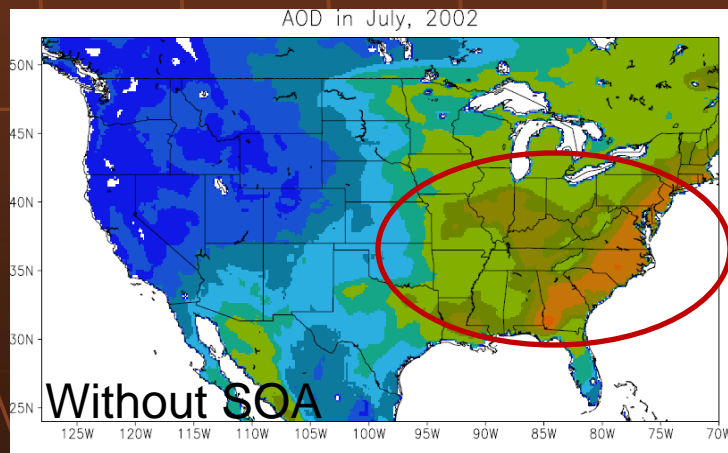
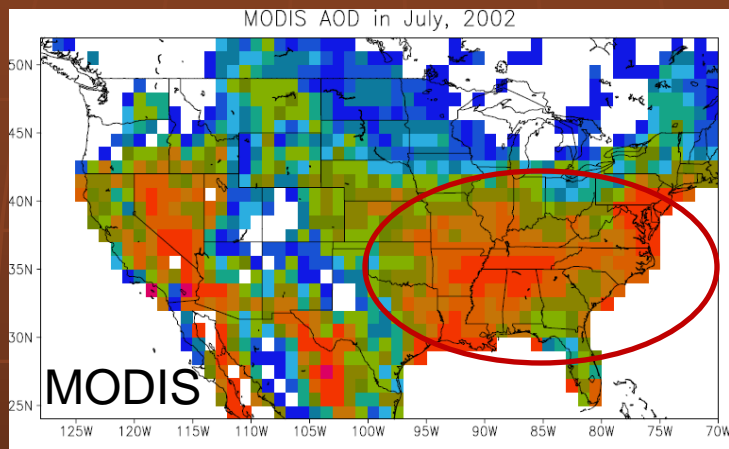


Also include temperature effect

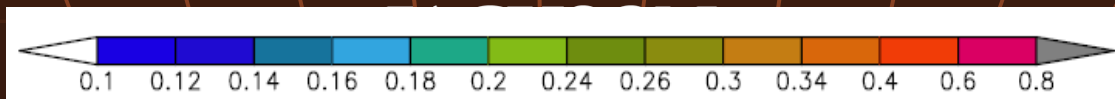
$$c^*(T) = c(T_{ref}) \left(\frac{T_{ref}}{T}\right) \exp\left(\frac{\Delta H_{vap}}{R} \left(\frac{1}{T_{ref}} - \frac{1}{T}\right)\right)$$



# MODIS and WRF-CHEM simulated aerosol optical depth (AOD) at 550nm

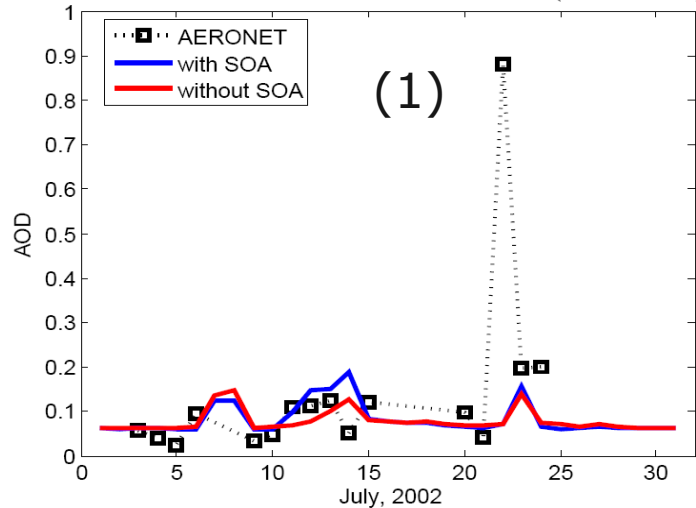


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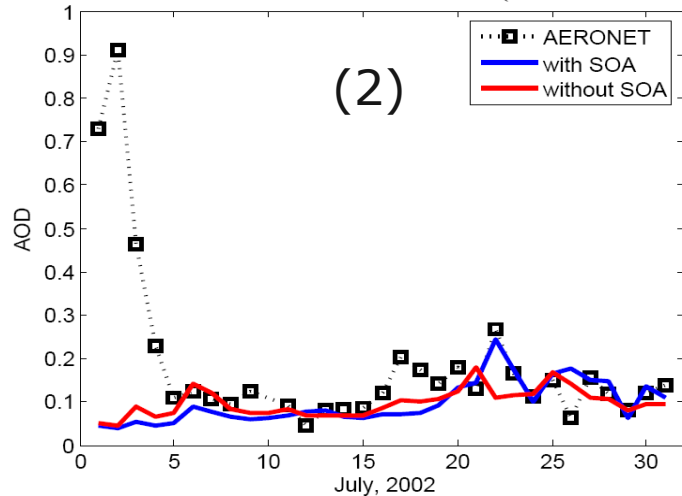


# Comparison of simulated AOD with AERONET data

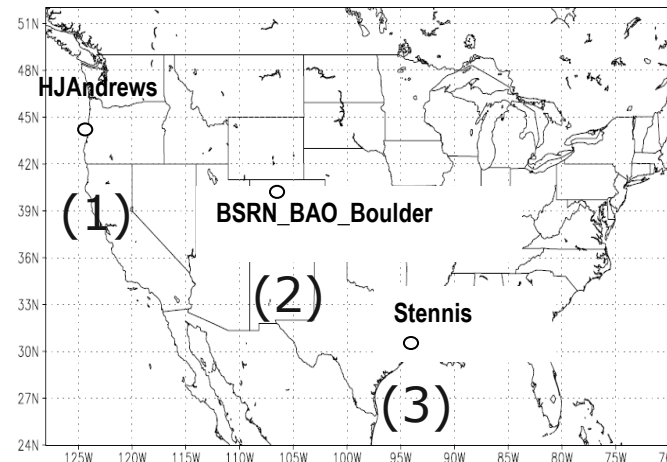
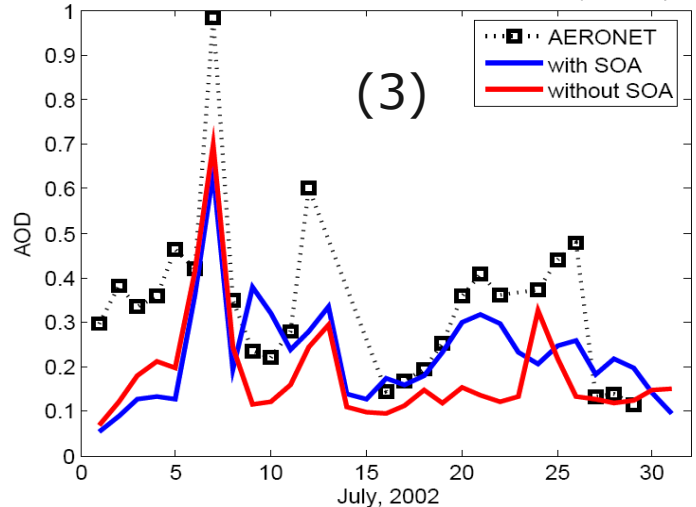
Observed and simulated AOD at 550nm (HJAndrews)



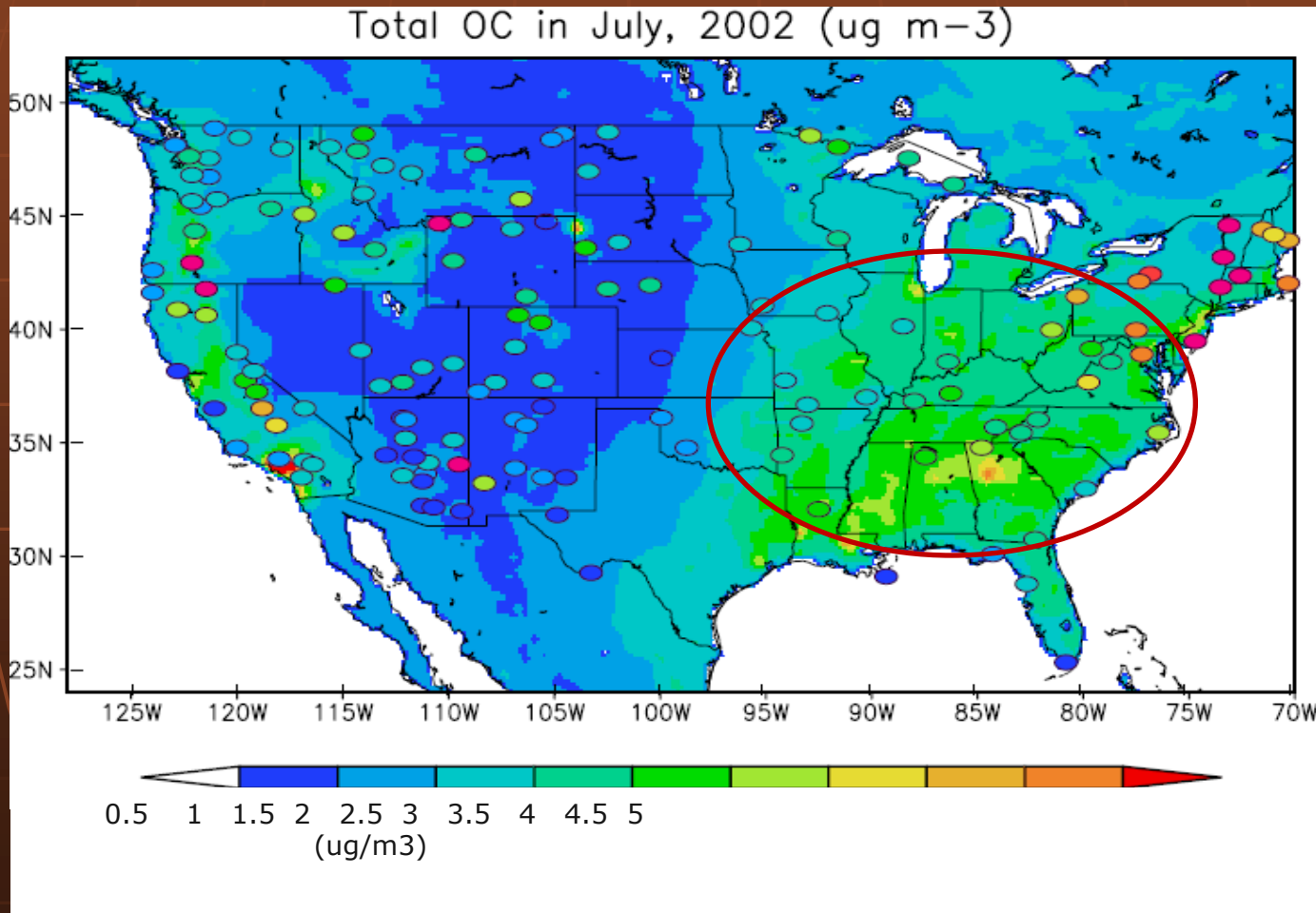
Observed and simulated AOD at 550nm (BSRN BAO Boulder)



Observed and simulated AOD at 550nm (Stennis)



# Comparison of simulated OC concentrations with IMPROVE data



OC

# Summary

## Augmenting land surface models with interactive vegetation canopy and groundwater

- Improves intra-seasonal to inter-annual predictive skills;
- Increases temporal and spatial variability of biogenic emissions;
- Allows better understanding of atmosphere, biosphere and hydrosphere coupling through biogenic pathways.

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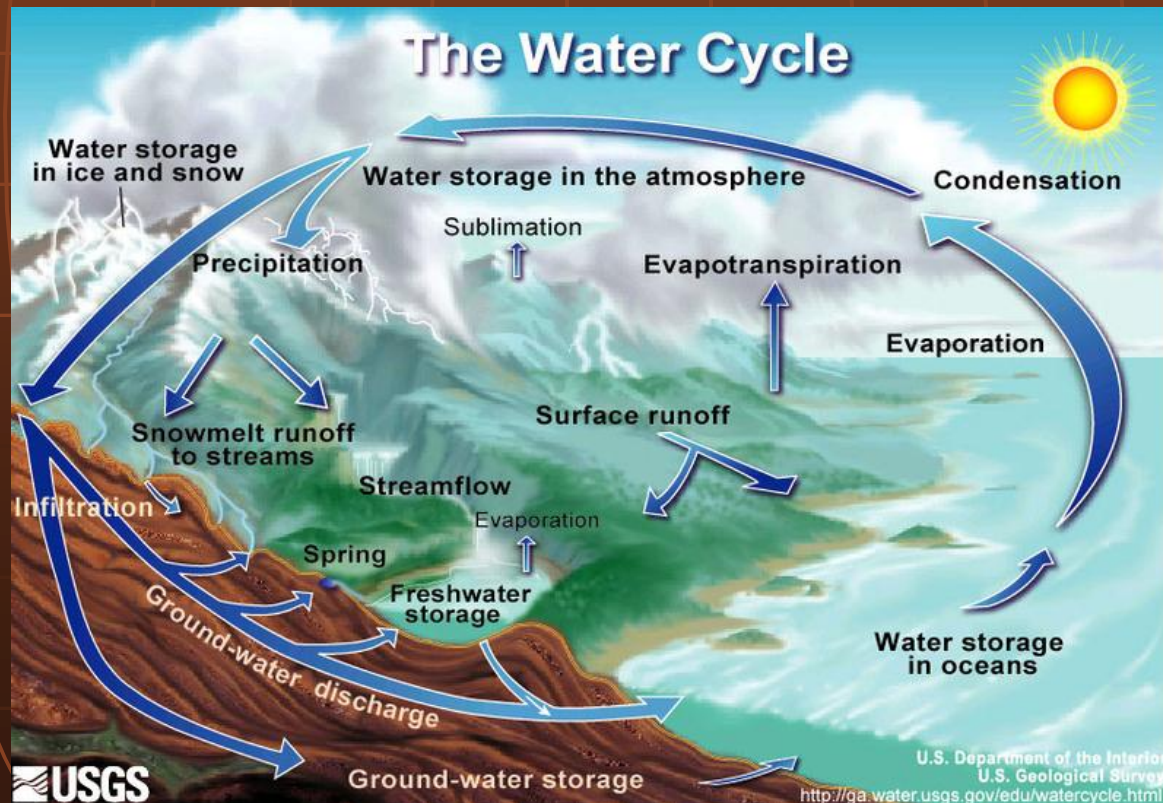
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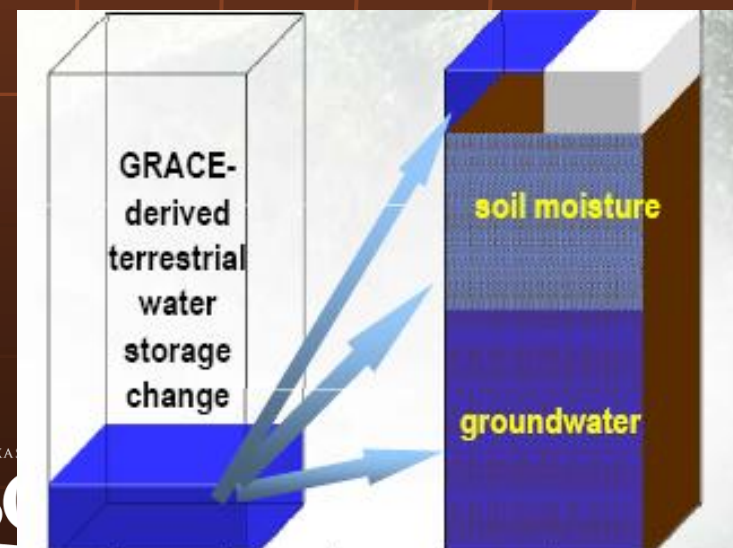
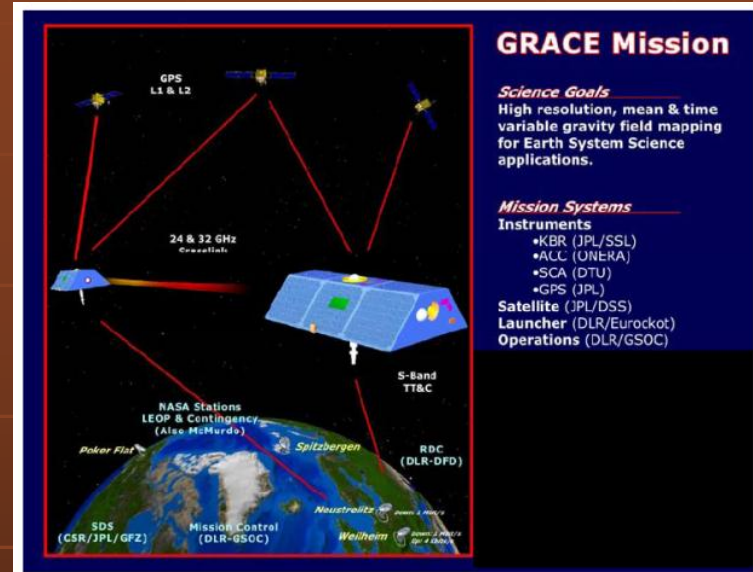
# Issues in Water Cycle Research

- How can we improve, assess, and evaluate hydrological models on regional to global scales?



# Gravity Recovery and Climate Experiment (GRACE)

- 8+ years of mission operation (Tapley et al., 2004)
- First-time global data of gravity ( $\sim 100$  km, monthly to 10-day)
- Unprecedented accuracy of mass variations
- Allowing a better understanding of the global water cycle

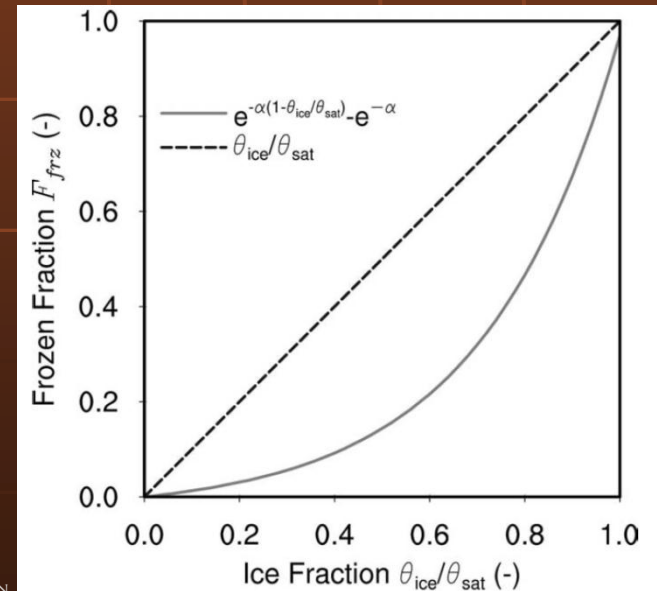
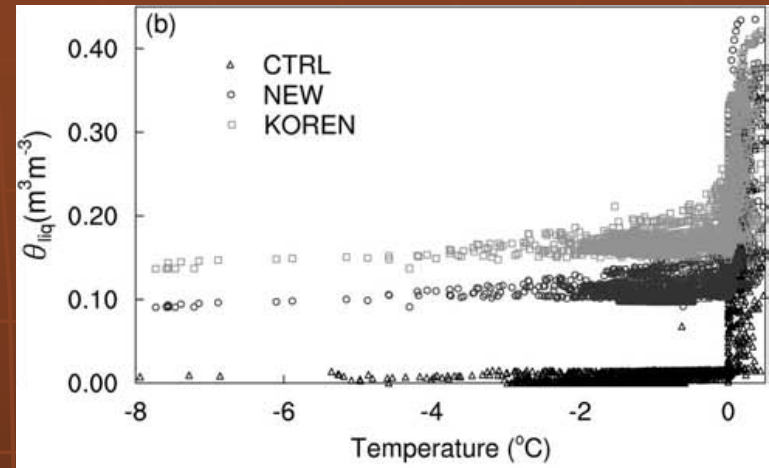


# Improving Frozen Soil Process in CLM2

Original model: no super-cooled water for below freezing soil temperatures

New model: allowing super-cooled water and percolation in the frozen soil

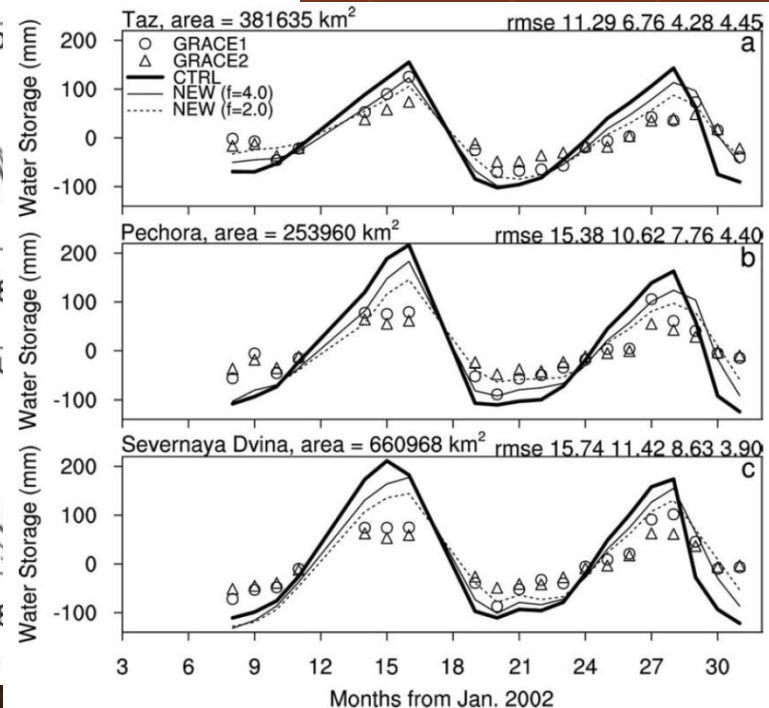
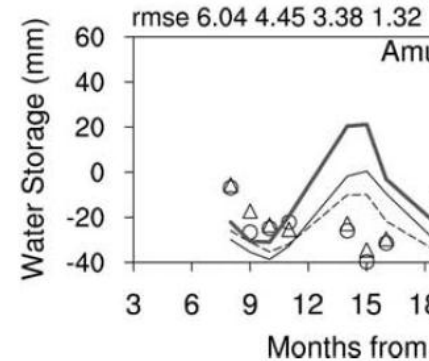
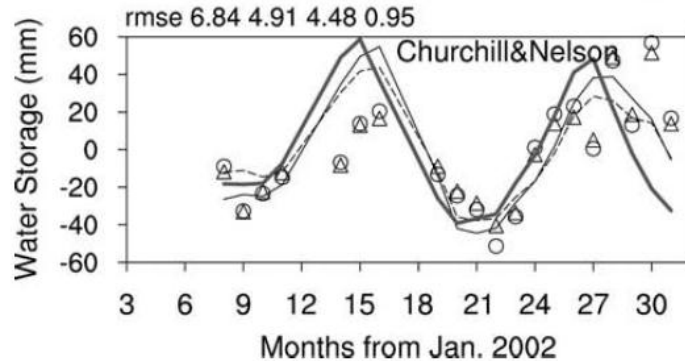
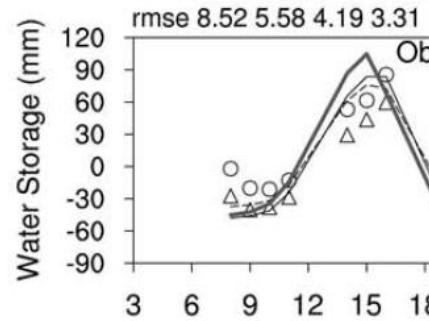
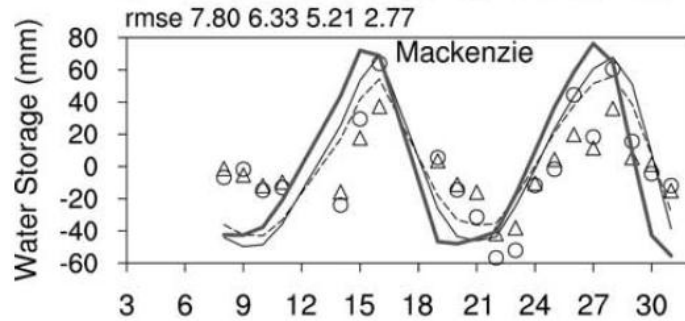
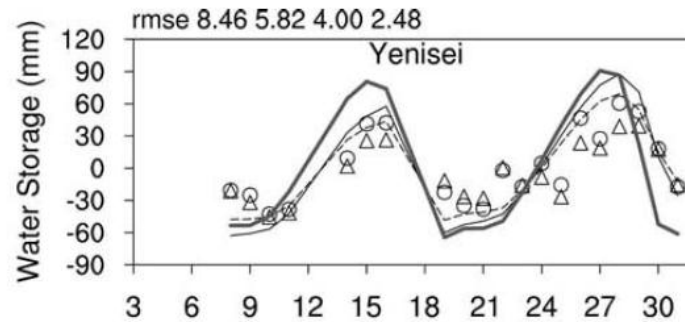
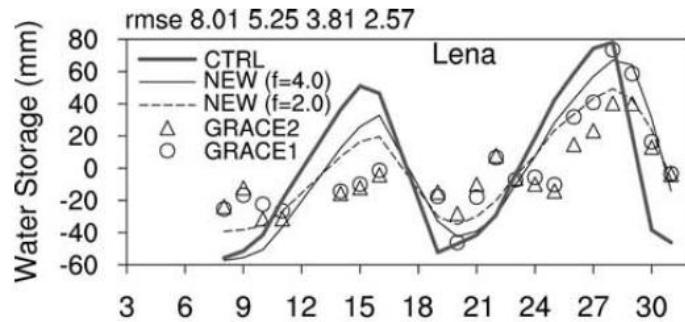
Results: improving infiltration of snowmelt; improving timing of runoff, and a shift of water storage by one month in good agreement with GRACE data





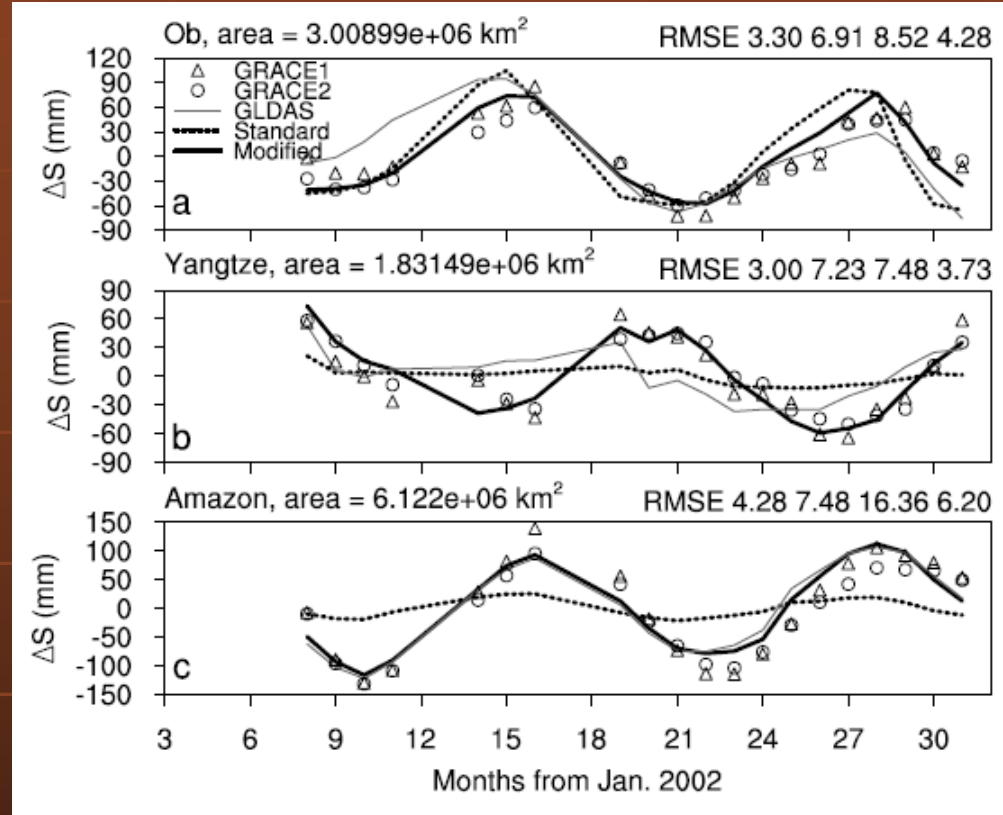
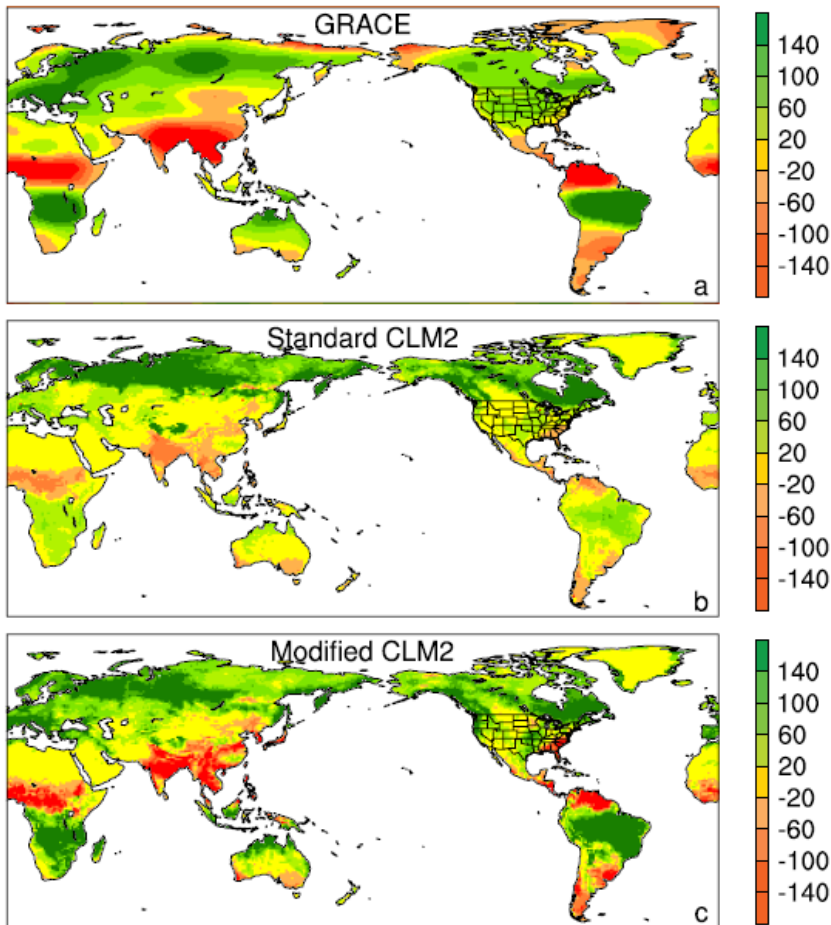
# Improving Frozen Soil Process in CLM2

Results: a shift of seasonal maximum water storage by one month in good agreement with GRACE data

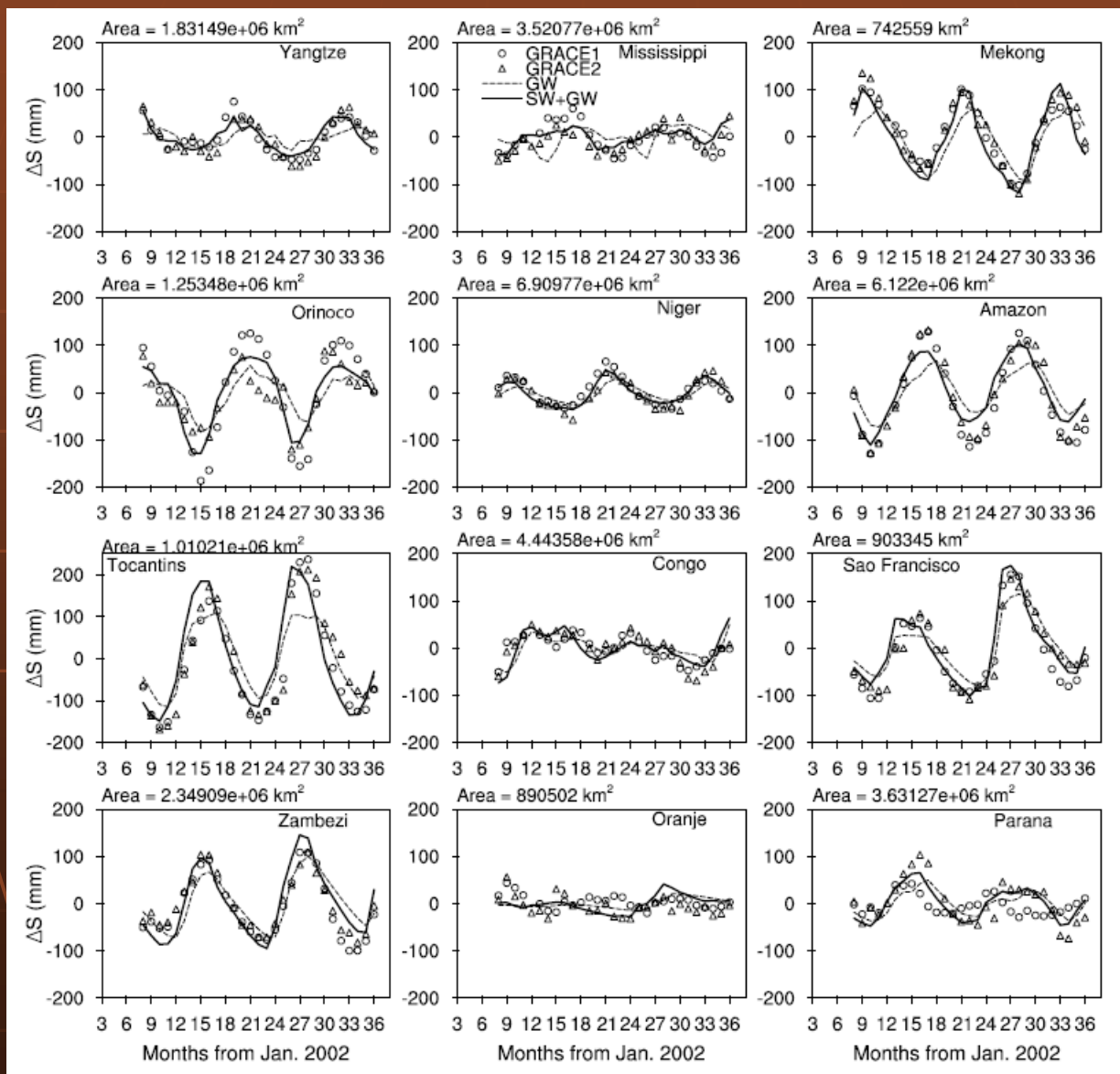


# Improving Interception, Runoff, and Frozen Soil Process in CLM2

Water Storage Change (2004APR - 2003AUG)

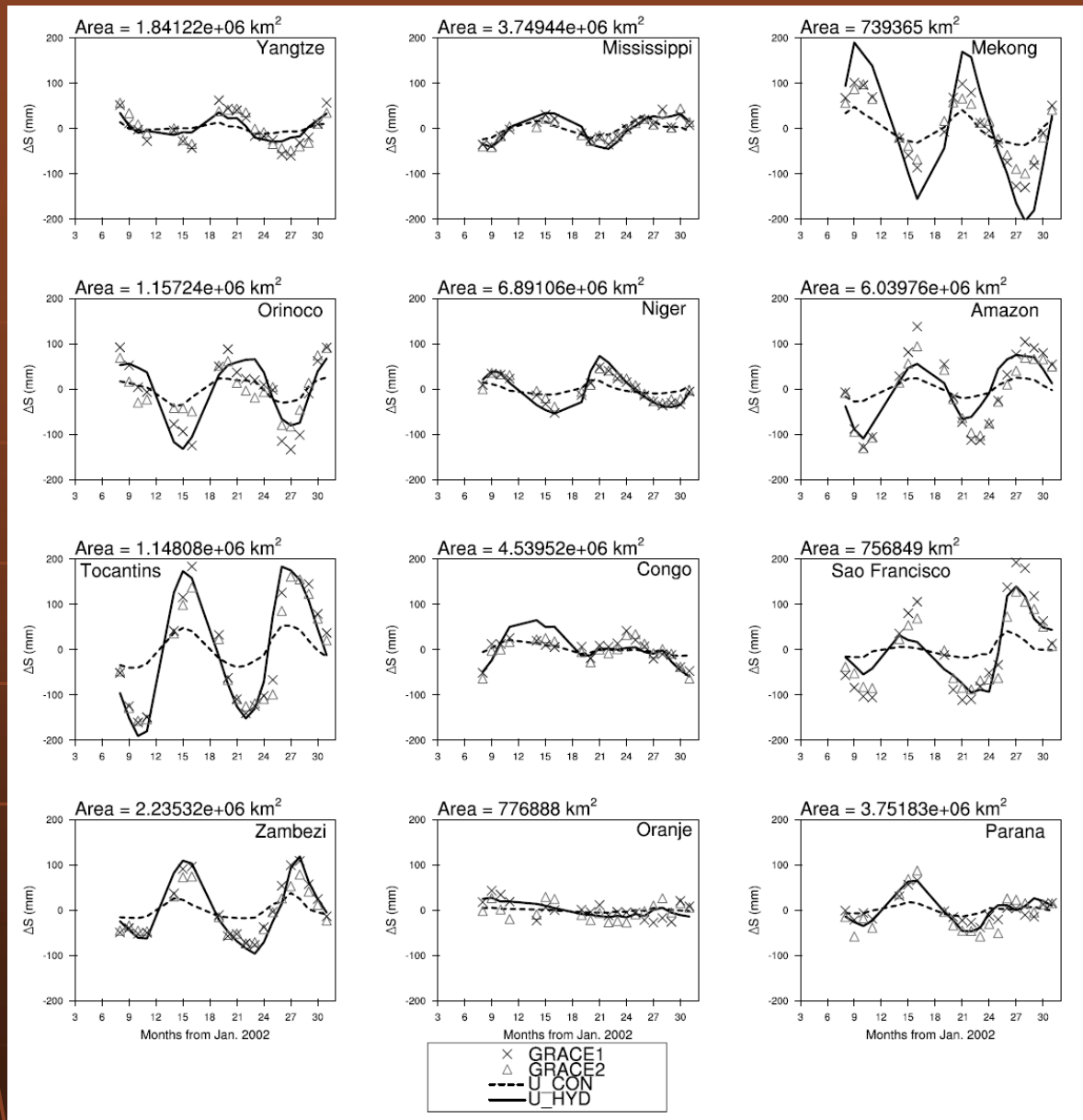


# Improving Groundwater Dynamics in CLM2



# Improved hydrological schemes in CLM3.5

Oleson, K. W., G.-Y. Niu, Z.-L. Yang, D. M. Lawrence, P. E. Thornton, P. J. Lawrence, R. Stöckli, R. E. Dickinson, G. B. Bonan, S. Levis, A. Dai, and T. Qian, 2008: Improvements to the Community Land Model and their impact on the hydrological cycle, *J. Geophys. Res.*, **113**, G01021, doi:10.1029/2007JG000563.

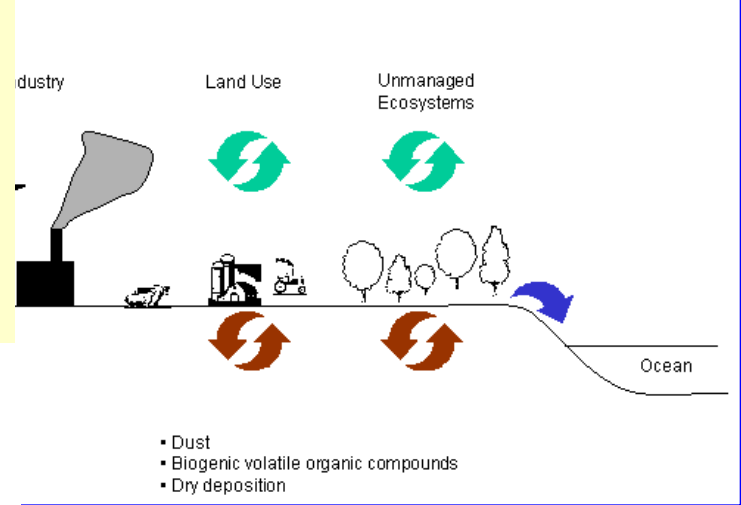
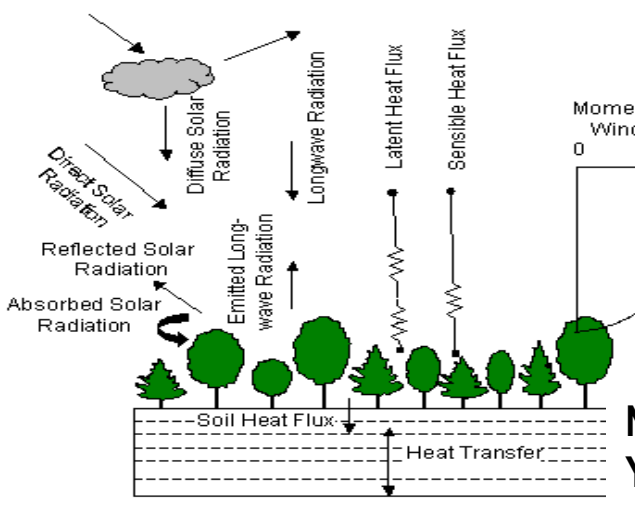


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2008 NCAR  
CCSM  
Distinguished  
Achievement  
Award

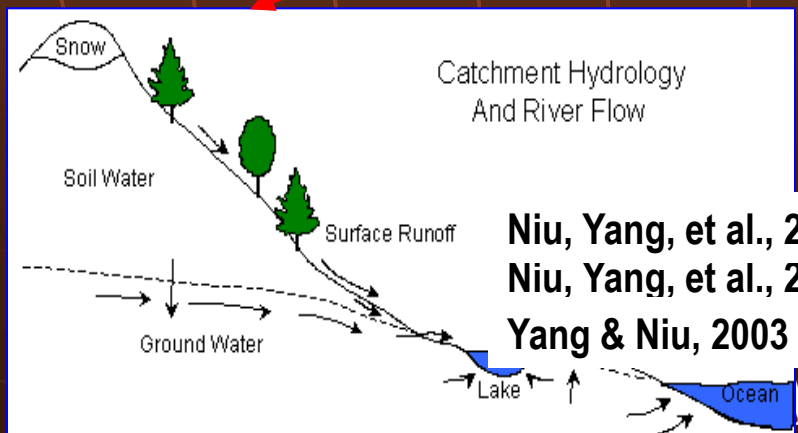


Niu & Yang, 2003, 2006  
Yang et al., 1997, 1999

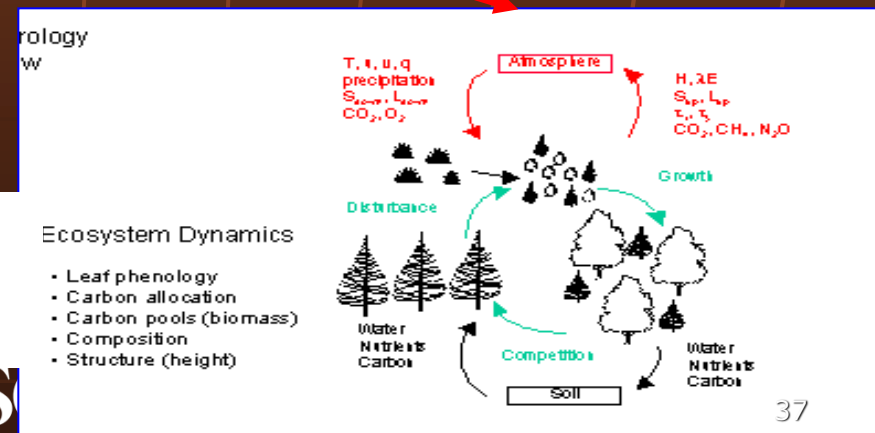
Biogeophysics  
Hydrology

NCAR CLM 3.5/4.0

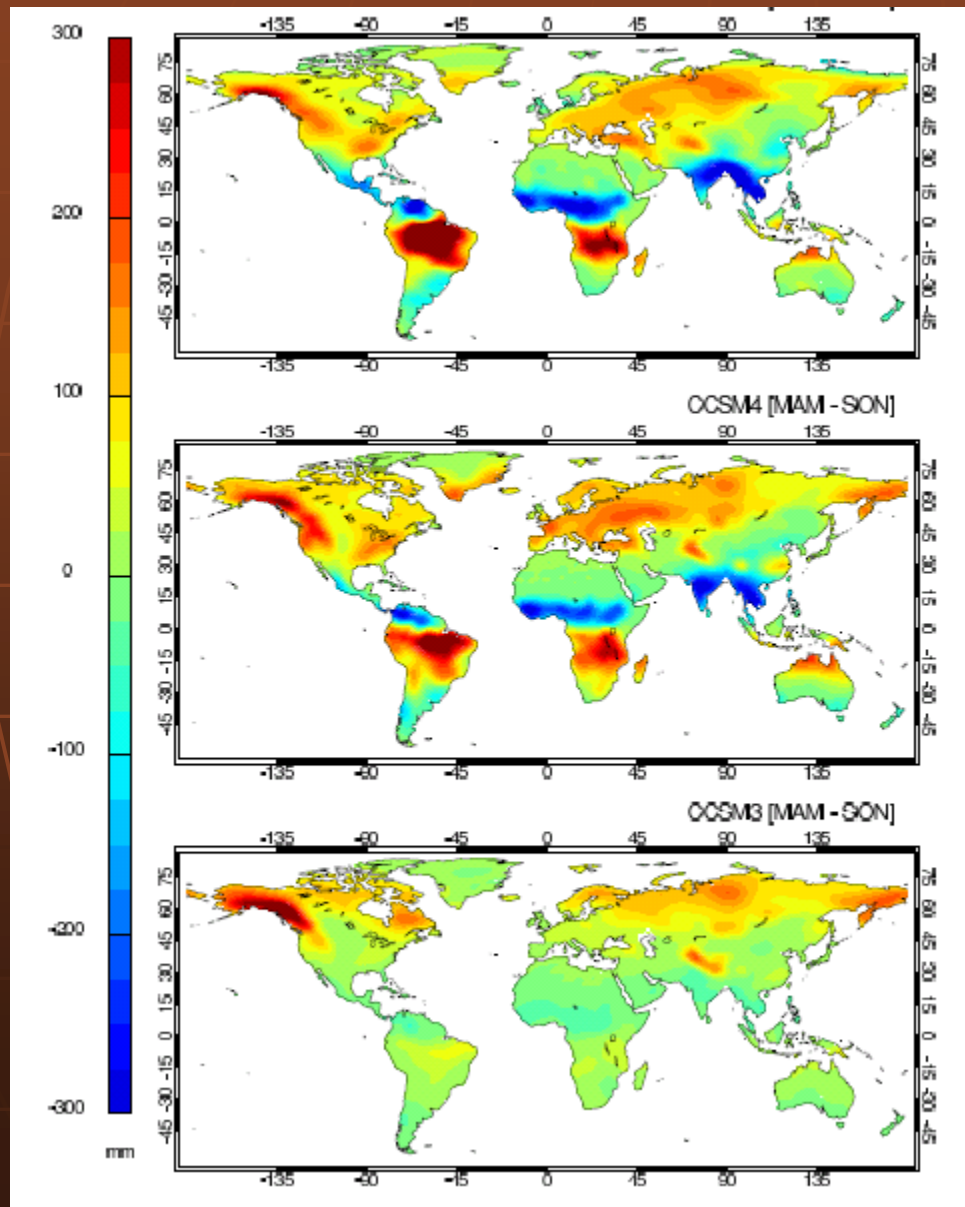
Biogeochemistry  
Ecosystem Dynamics



Niu, Yang, et al., 2005  
Niu, Yang, et al., 2007  
Yang & Niu, 2003



# Terrestrial Water Storage Change



GRACE (MAM - SON)

CCSM4 (MAM - SON)  
(Fully coupled global  
land, atmosphere,  
ocean, ice  
climate model)

CCSM3 (MAM - SON)

# Summary

GRACE data have been successfully used to improve, assess, and evaluate the NCAR Community Land Model, perhaps the first GRACE-tested model used in IPCC AR5 global climate or earth system models.

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# Issues in Snow Data Assimilation

- Direct insertion (using observations to replace model calculations)
- Limited domain size (watershed scale mostly)
- **Unrealistic snow depletion curves**
- Limitations in radiometric data assimilation
  - Infrared/visible bands: clouds contamination
  - Microwave bands: low accuracy for wet snow



# Multi-sensor Snow Data Assimilation

MODIS

GRACE

Hypothesis:

GRACE/MODIS multi-sensor data assimilation algorithm can achieve more accurate SWE data than MODIS and open loop at continental scale.

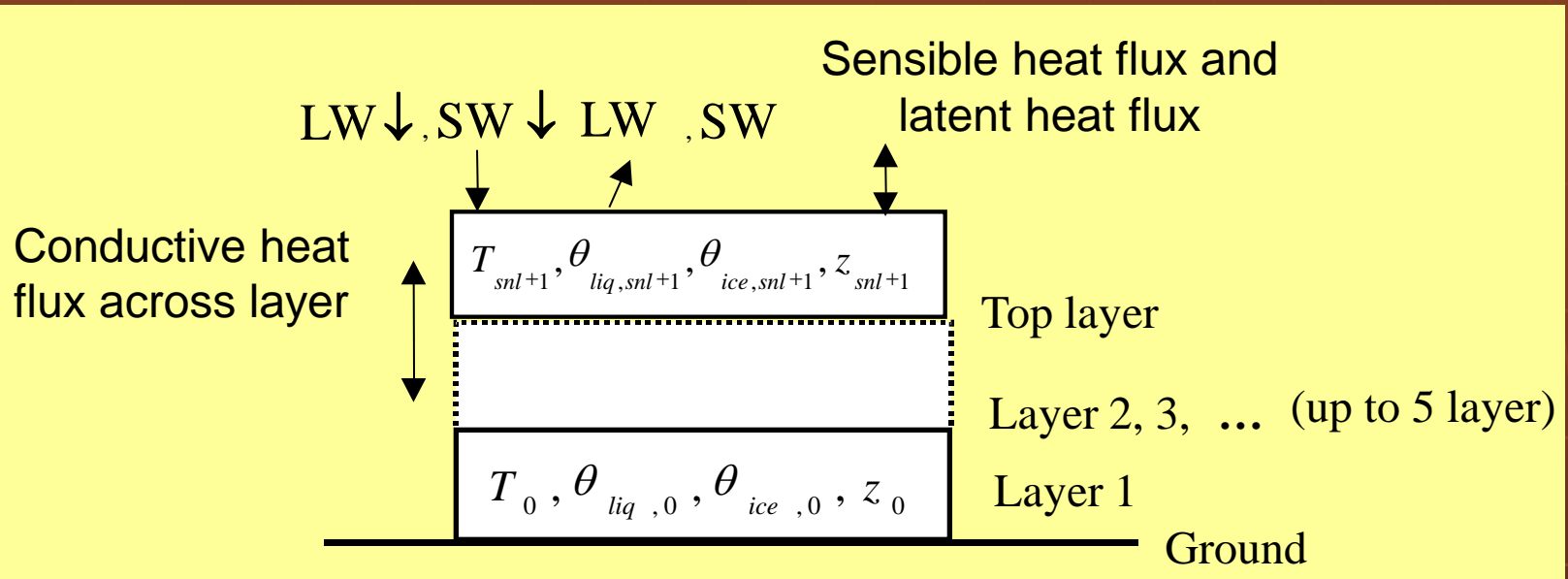
Geometric property:  
snow area

Weather sensitive

Integral of water  
storage (vertically)

Weather insensitive

# Multi-layer Snow Model in Community Land Model



$$C \frac{\partial T}{\partial t} = \frac{\partial}{\partial z} \left( \lambda \frac{\partial T}{\partial z} \right) + \rho_{ice} L_f \frac{\partial \theta_{ice}}{\partial t} + S$$

Snowpack heat diffusion

$$G + LH + SH = LW_g + SW_g$$

Surface energy balance

$$X_{SWE,t} = X_{SWE,t-1} + P_t - Q_t - E_t$$

System function

# The Ensemble Kalman Filter (Monte Carlo)

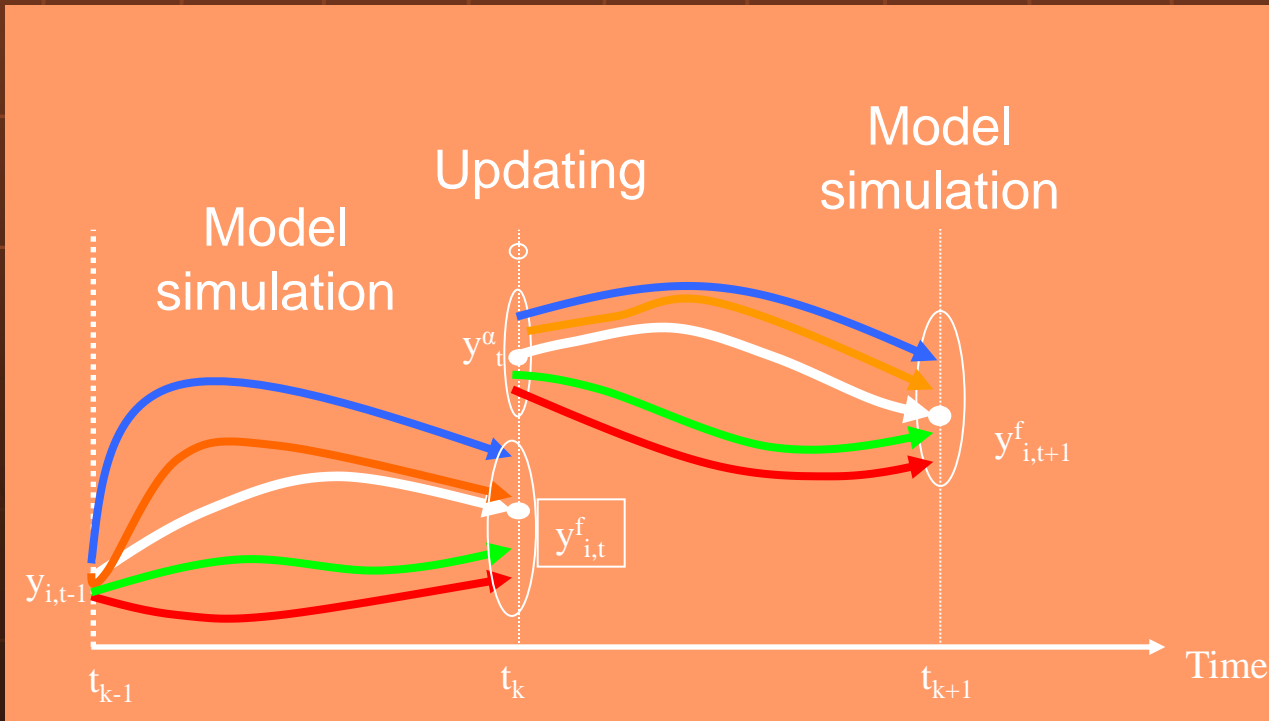
$$x_{i,t}^f = f(x_{i,t-1}, u, \alpha, w)$$

$$P_t^f = \frac{1}{N-1} D_t D_t^T$$

$$D_t = [x_{1,t}^f - \bar{x}_t, x_{2,t}^f - \bar{x}_t, \dots, x_{N,t}^f - \bar{x}_t]$$

$$x_{i,t}^a = x_{i,t}^f + K_t (y_t - H x_{i,t}^f + v_i)$$

$$K_t = P_t^f H^T (H P_t^f H^T + R)^{-1}$$

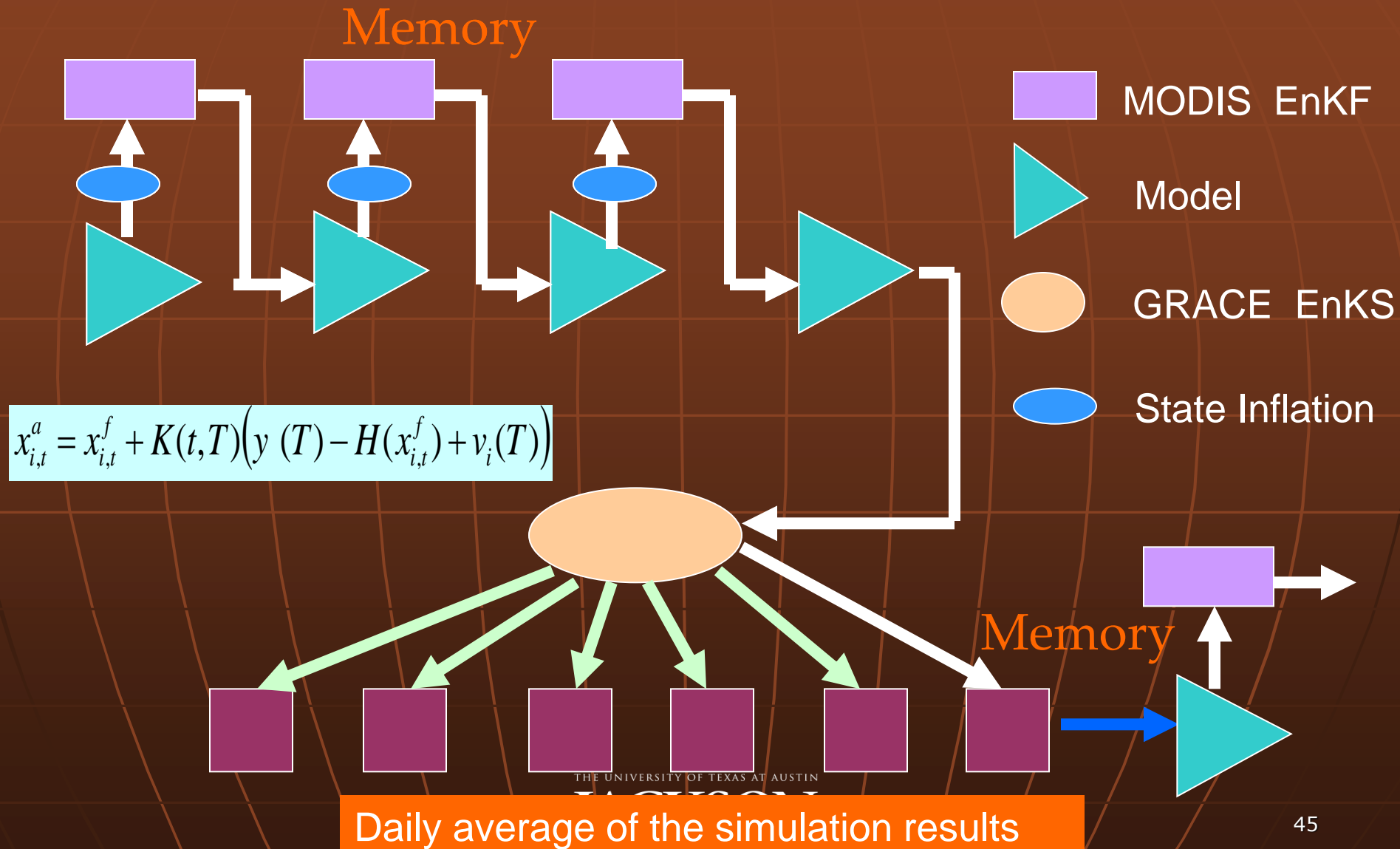


Computationally competitive

Resolve nonlinear observation function

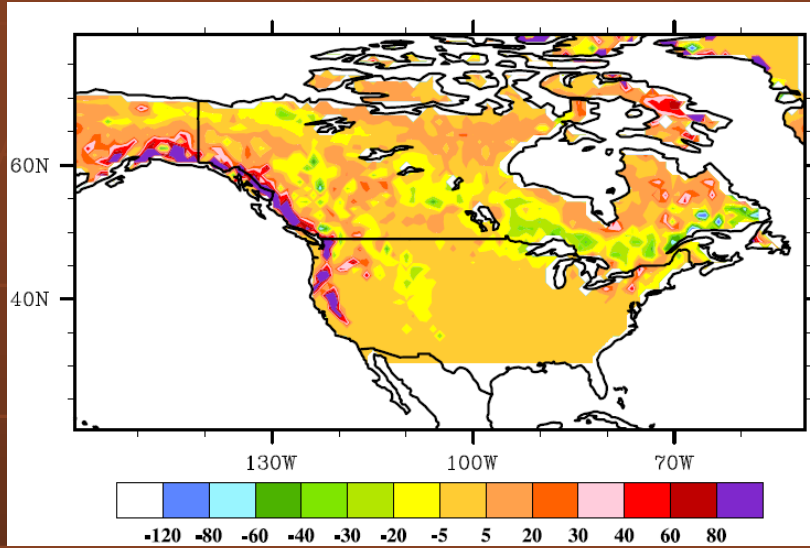
Resolve forcing and initialization uncertainties

# Multi-sensor Data Assimilation of MODIS and GRACE

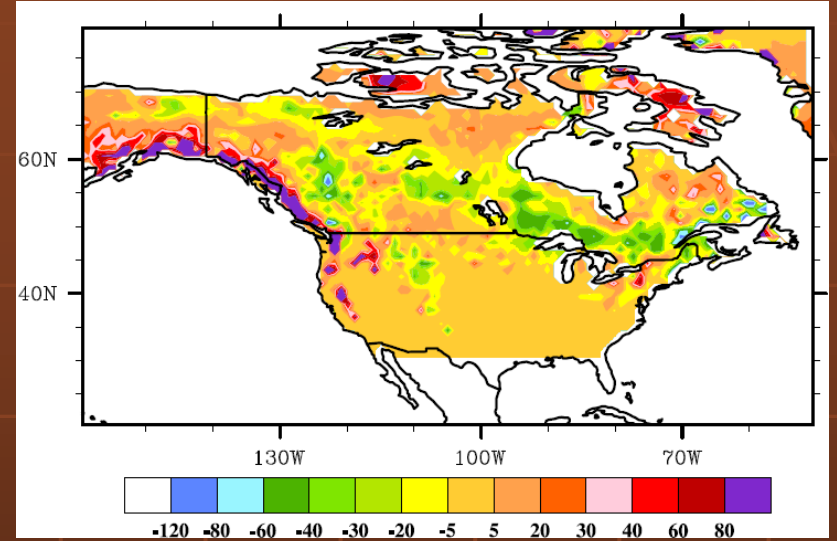


# Monthly SWE Difference (mm) MOD\_GRACE - MOD

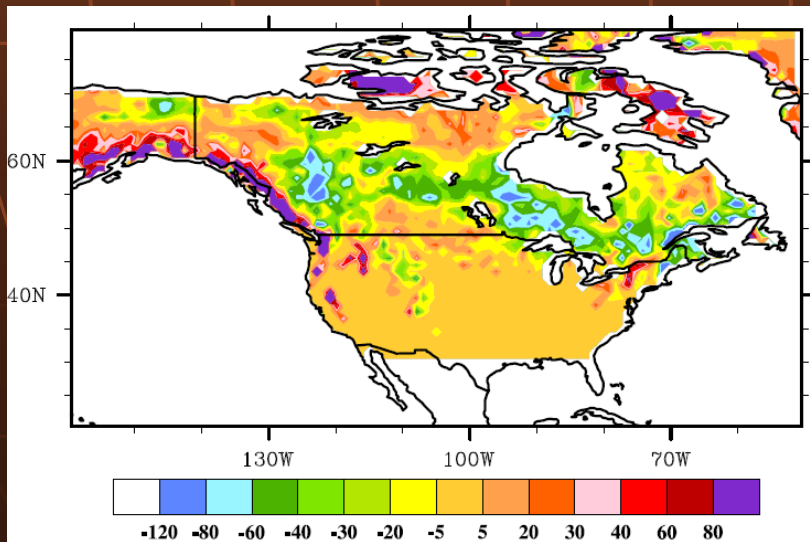
Jan



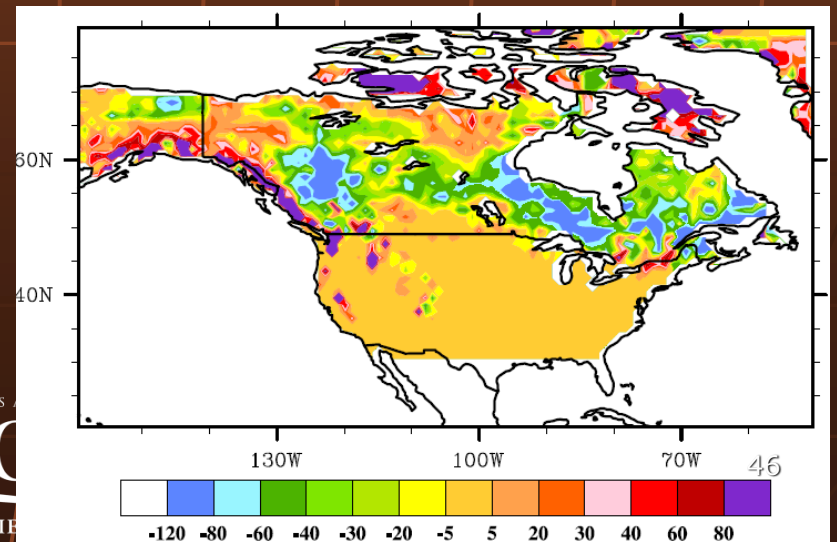
Feb



Mar



Apr



# Summary

- Efforts are being made to retrieve continental-scale snow water equivalent using multi-sensor data assimilation methods.
- The combined EnKF-EnKS approach accomplished multi-sensor data assimilation, with GRACE TWS information largely complementing MODIS estimates in many regions.

Su, H., Z.-L. Yang, G.-Y. Niu, and R. E. Dickinson (2008), Enhancing the estimation of continental-scale snow water equivalent by assimilating MODIS snow cover with the ensemble Kalman filter, *JGR*, 113, D08120, doi:10.1029/2007JD009232.

Su, H., Z.-L. Yang, R. E. Dickinson, C. R. Wilson, and G.-Y. Niu (2010), Multi-sensor snow data assimilation at continental scale: the value of GRACE TWS information, *JGR*, 115, D10104, DOI: 10.1029/2009JD013035.

# Outline

- Introduction
- Vegetation
- Water
- Data Assimilation
- Landscape to Coast
- Summary: Take Away Messages
- Future Work



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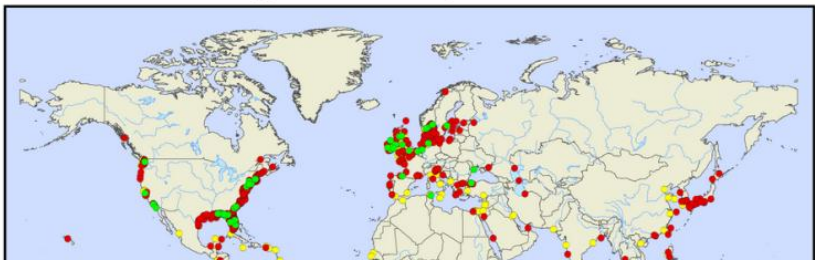
SCHOOL OF GEOSCIENCES



# Issues in Riverine Nutrient Export Research

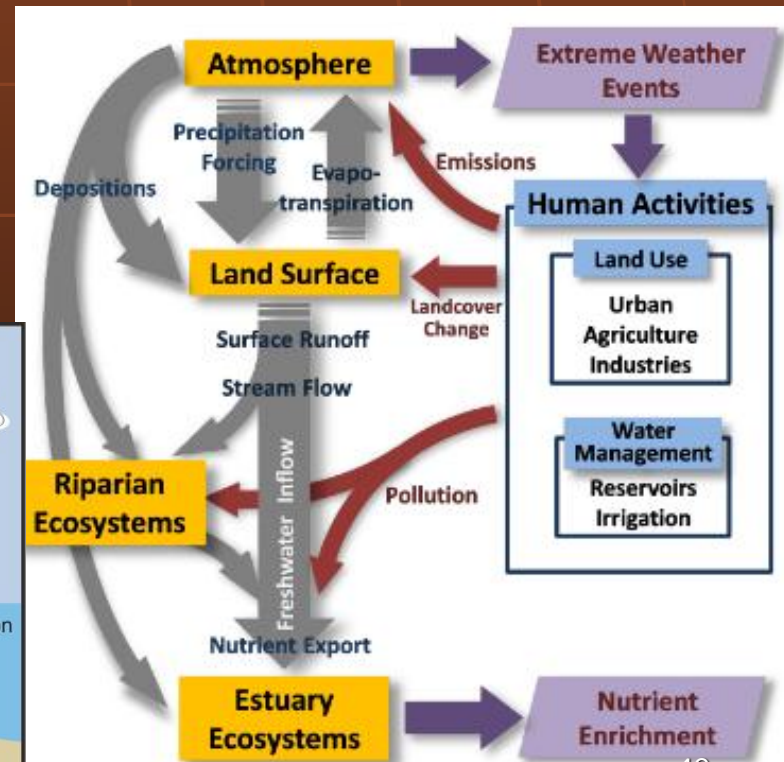
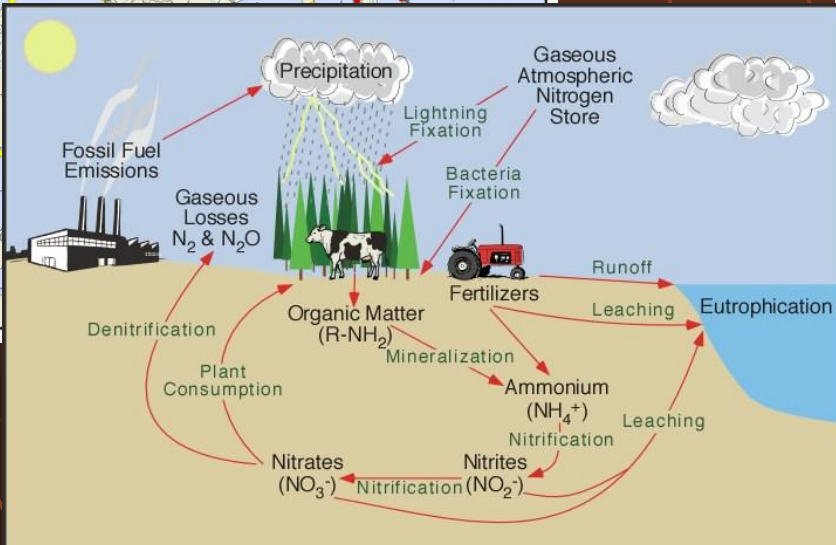
- Lacking integrated climate, extreme weather, land surface, river flow, biogeochemistry, and ecological models.

World Hypoxic and Eutrophic Coastal Areas



**Legend**  
 Eutrophic and Hypoxic Areas  
 ● Eutrophic  
 ● Hypoxic  
 ● Systems in Recovery

Diaz, R. and M. Selman, 2010. www.wri.org/eutrophication/map.



Yang (2011)

# Noah LSM with multi-physics options

1. Leaf area index (**prescribed; predicted**)
2. Turbulent transfer (**Noah; NCAR LSM**)
3. Soil moisture stress factor for transpiration (**Noah; BATS; CLM**)
4. Canopy stomatal resistance (**Jarvis; Ball-Berry**)
5. Snow surface albedo (**BATS; CLASS**)
6. Frozen soil permeability (**Noah; Niu and Yang, 2006**)
7. Supercooled liquid water (**Noah; Niu and Yang, 2006**)
8. Radiation transfer:
  - Modified two-stream: Gap = F (3D structure; solar zenith angle; ...)**  $\leq 1 - \text{GVF}$
  - Two-stream applied to the entire grid cell: Gap = 0**
  - Two-stream applied to fractional vegetated area: Gap = 1 - GVF**
9. Partitioning of precipitation to snowfall and rainfall (**CLM; Noah**)
10. Runoff and groundwater:
  - TOPMODEL with groundwater**
  - TOPMODEL with an equilibrium water table (Chen&Kumar,2001)**
  - Original Noah scheme**
  - BATS surface runoff and free drainage**

**More to be added**

# Maximum # of Combinations

1. Leaf area index (**prescribed; predicted**) **2**
2. Turbulent transfer (**Noah; NCAR LSM**) **2**
3. Soil moisture stress factor for transp. (**Noah; BATS; CLM**) **3**
4. Canopy stomatal resistance (**Jarvis; Ball-Berry**) **2**
5. Snow surface albedo (**BATS; CLASS**) **2**
6. Frozen soil permeability (**Noah; Niu and Yang, 2006**) **2**
7. Supercooled liquid water (**Noah; Niu and Yang, 2006**) **2**
8. Radiation transfer: **3**
  - Modified two-stream: Gap = F (3D structure; solar zenith angle; ...)**  $\leq 1 - \text{GVF}$
  - Two-stream applied to the entire grid cell: Gap = 0**
  - Two-stream applied to fractional vegetated area: Gap = 1 - GVF**
9. Partitioning of precipitation to snow- and rainfall (**CLM; Noah**) **2**
10. Runoff and groundwater: **4**
  - TOPMODEL with groundwater**
  - TOPMODEL with an equilibrium water table (Chen&Kumar,2001)**
  - Original Noah scheme**
  - BATS surface runoff and free drainage**

**2x2x3x2x2x2x2x3x2x4 = 4608 combinations**

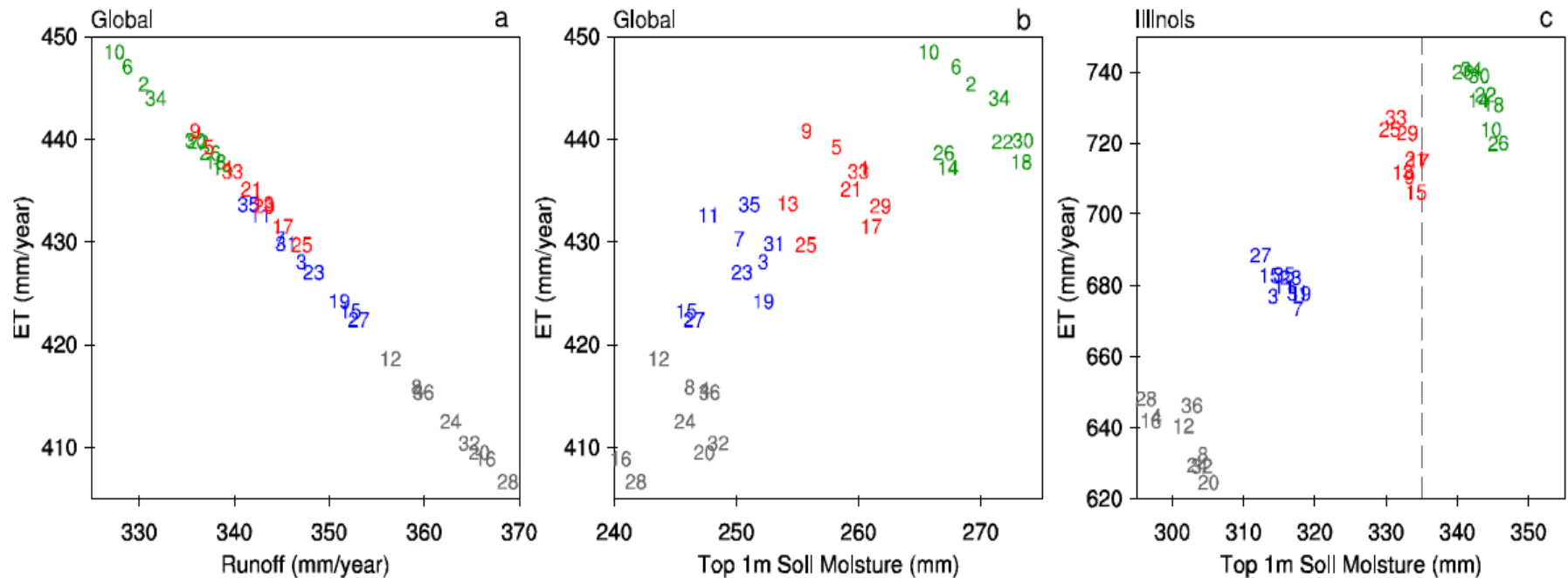
**Process understanding, probabilistic forecasting, quantifying uncertainties**

# 36 Ensemble Experiments

Table 3. The first group of 12 experiments and their corresponding options of schemes.

Exp.	Dynamic vegetation	$r_s$	$\beta$	Runoff schemes
EN1	On	Ball-Berry	Noah	SIMGM
EN2				SIMTOP
EN3				Schaake96
EN4				BATS
EN5			CLM	SIMGM
EN6				SIMTOP
EN7				Schaake96
EN8				BATS
EN9			SSiB	SIMGM
EN10				SIMTOP
EN11				Schaake96
EN12				BATS

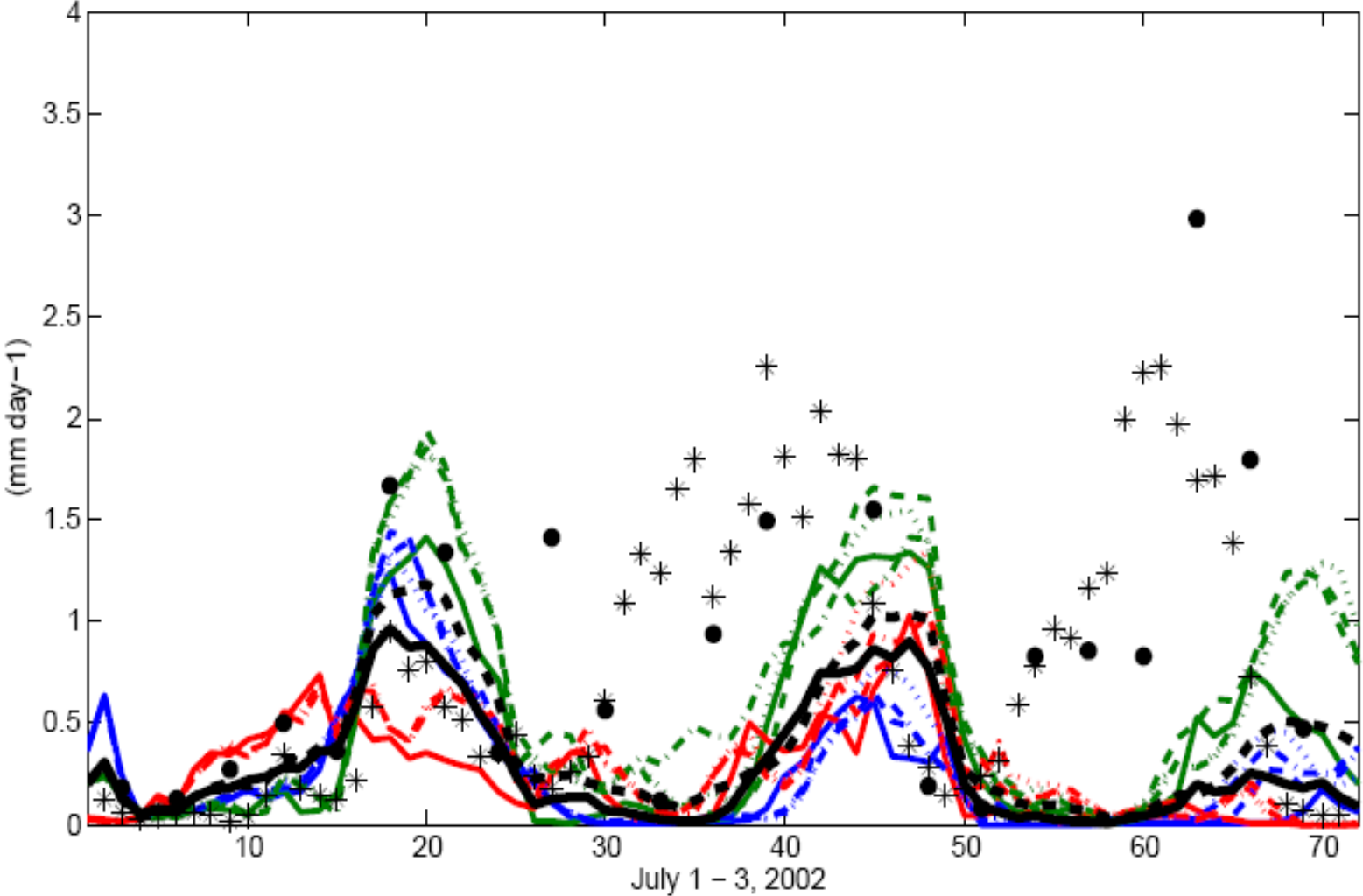
# 36 Ensemble Experiments



Runoff scheme is shown as the dominant player in the SM-ET relationship: SIMTOP (bottom sealed; green) produces the wettest soil and greatest ET; BATS (greatest surface runoff: grey) produces the driest soil and smallest ET.

# Hourly Precipitation (mm/hour) from July 1 to 3, 2002 for Various Convection & Runoff Runs

Hourly Precipitation: Central Texas (30°N – 32°N, 100° – 97°N)



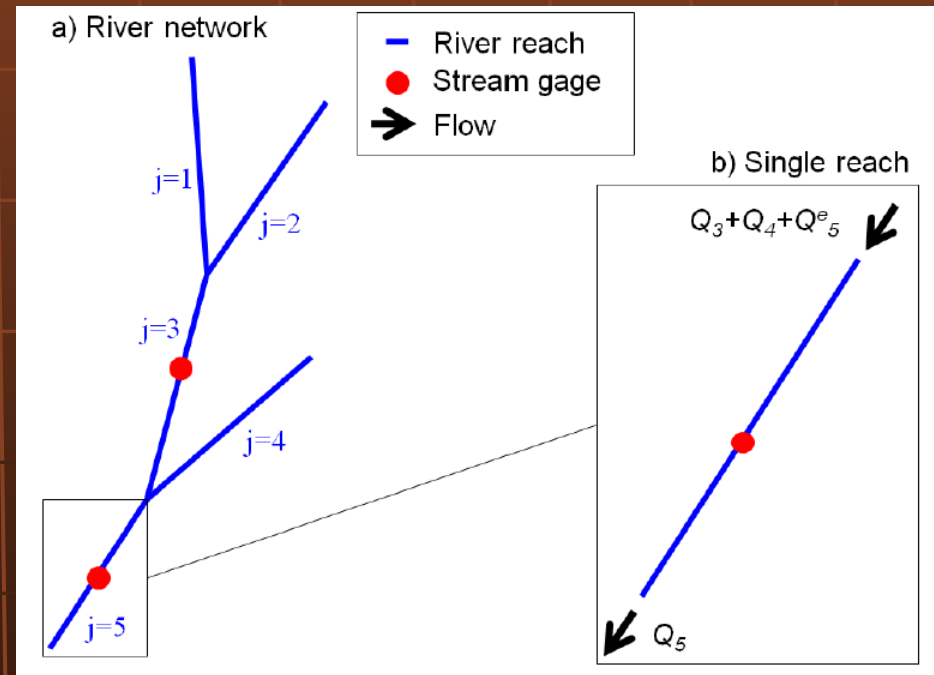
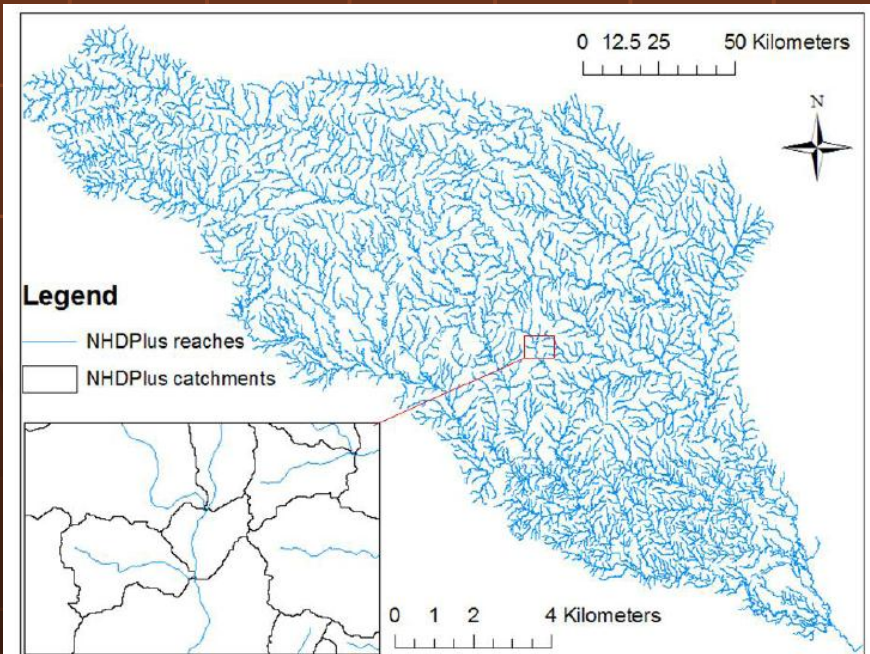
- DF-KF
- DF-BMJ
- DF-Grell
- ⋯ SIMGM-KF
- ⋯ SIMGM-BMJ
- ⋯ SIMGM-Grell
- ⋯ SIMTOP-KF
- ⋯ SIMTOP-BMJ
- ⋯ SIMTOP-Grell
- Noah-KF
- Noah-BMJ
- Noah-Grell
- Mean: DF
- Mean: Noah-MP
- 3 hourly NARR
- \* hourly NLDAS

# River network model: RAPID

- Routing Application for Parallel computation of Discharge

$$(I - C_1 \cdot N) \cdot Q(t + \Delta t) = C_1 \cdot Q^e(t) + C_2 \cdot [N \cdot Q(t) + Q^e(t)] + C_3 \cdot Q(t)$$

$$V(t + \Delta t) = V(t) + [N \cdot Q(t) + Q^e(t)] \cdot \Delta t - Q(t) \cdot \Delta t$$



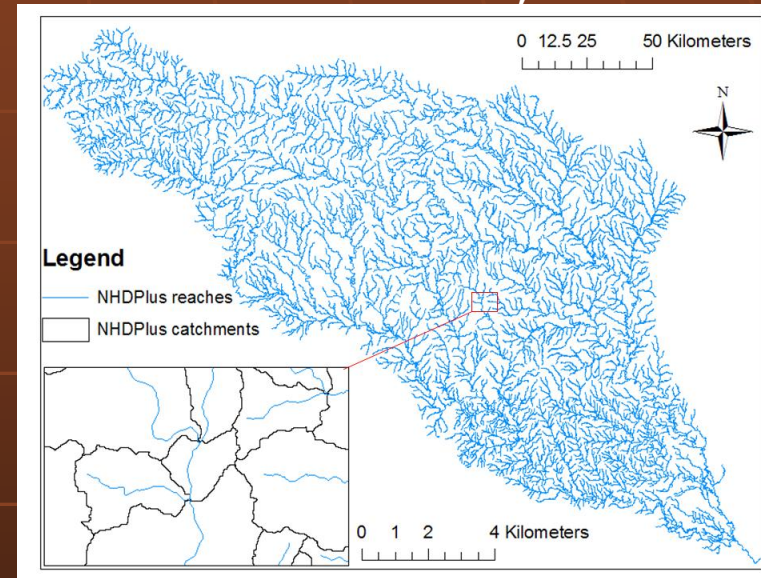
# NHDPlus – River and Catchment Network for the Nation

Entire dataset



3 million river reaches

Guadalupe and San Antonio Basins, TX



5,175 river reaches  
26,000 km<sup>2</sup>

Connectivity information

Integration of the National Hydrography Dataset, National Elevation Dataset and National Land Cover Dataset completed by EPA in 2006

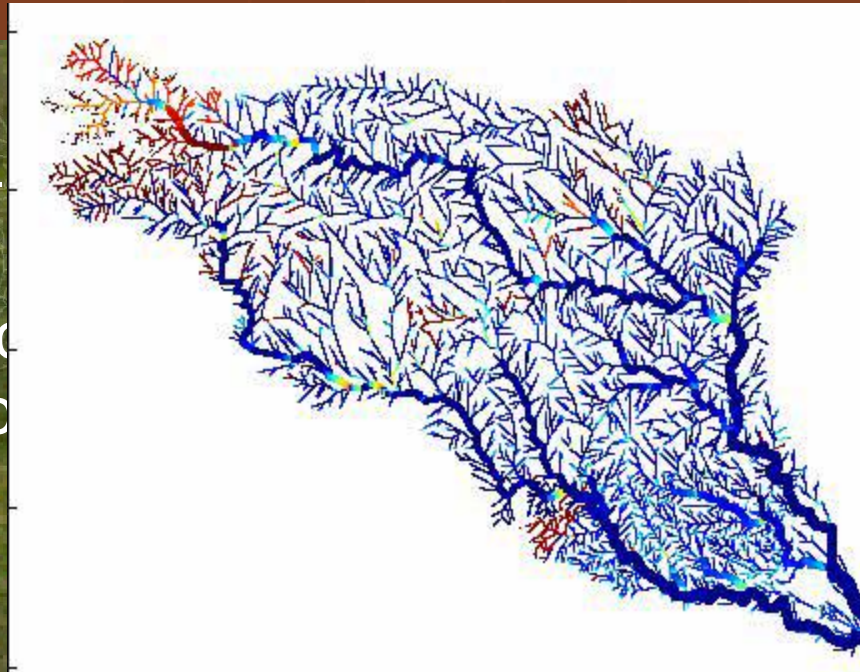
How can we build a river network model for the nation?



# Texas Rivers Draining to the Gulf of Mexico

<http://www.geo.utexas.edu/scientist/david/rapid.htm>

- 01/01/2004 –
- 4-km grid
- NARR meteorological
- Noah-MP runoff



rainfall

- facilitate modeling of nutrient loading, transport, and export to coastal waters

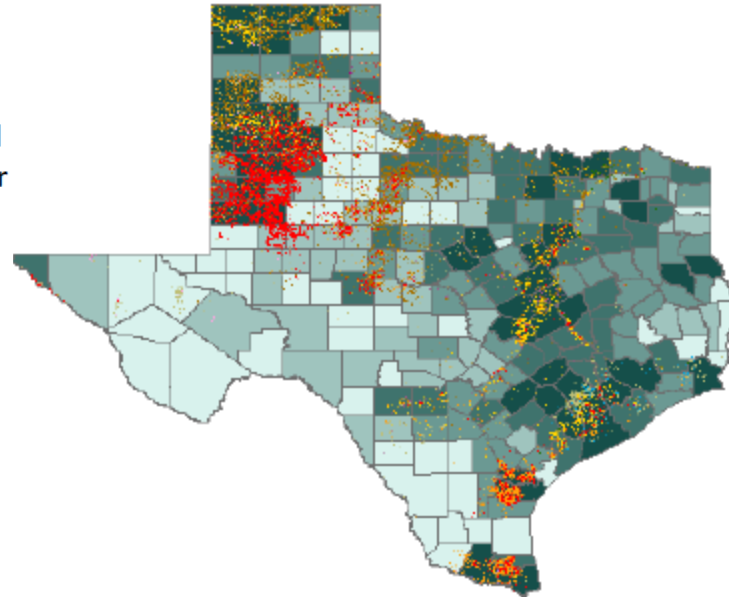
Thanks to Cedric David, Bryan Hong, David Maidment, Ben Hodges, Ahmad Tavakoly, and Adam Kubach of Texas Advanced Computing Center and Frank Liu of IBM

# Developing a Comprehensive N Database

## Texas Nitrogen Inputs with Spatial Crop Distribution (Livestock and Fertilizer)

### Crop Data

- USDA National Agriculture Statistics Service Data (Part of USDA Crop Land Data Layer Project)
- 56 meter resolution
- Only crop information is plotted (excludes NLCD other land cover types)
- Based on NASS USDA-CLD from 2008



### Nitrogen Input Data

- Livestock Input
  - Livestock population data from 2007 Census of Agriculture
    - Beef Cows
    - Dairy Cows
    - Pigs & Hogs
    - Turkeys
    - Sheep
    - Goats
    - Horses
    - Chickens (broilers)
  - Livestock N excretion rates for animals chosen from *Boyer et al. 2002*, which were taken from U.S. study by *van Horn et al. 1998*
- Fertilizer Input
  - Texas State Chemist Office County Fertilizer Distribution Data
  - From 2007 (to match with Census)
  - This data is available for 2005-2010, and variations in input concentrations is present as seen in Figure 6

### Legend

#### Total N inputs (Tons) 2007

0 - 327
328 - 1,261
1,262 - 2,843
2,844 - 5,402
5,403 - 36,945

Alfalfa	Clover/Wildflowers	Oats	Rye	Sweet Potatoes
Aquaculture	Corn	Onions	Safflower	W. Wht./Soy. Dbl. Crop
Background	Cotton	Other Crops	Sorghum	Watermelon
Barley	Dry Beans	Other Small Grain:	Soybeans	Winter Wheat
Canola	Fallow/Idle Cropland	Other Tree Nuts	Spring Wheat	Misc. Veggies. Fruits
Christmas Trees	Herbs	Peaches	Sugarcane	Peas
Citrus	Millet	Peanuts	Sunflowers	Potatoes
				Rice

Helper (2010)

# Summary

- We have developed a **multi-physics (MP) framework** for the land surface. Together with the MP framework for the atmosphere, this MP framework is useful for probabilistic forecasts of the mesoscale extreme events. More research and experiments are warranted.
- We have developed a new river routing scheme (**RAPID**) that utilizes the NHDPlus river network, operates on supercomputing platforms, and optimizes parameters.
- We are developing a **comprehensive nitrogen database** for water quality and nutrient export modeling.
- We are **extending** the Texas-regional prototype landscape-to-coast study **to the entire Mississippi River Basin**, with a goal towards CONUS and global applications.

# Outline

- Introduction
- Vegetation
- Water
- Data Assimilation
- Landscape to Coast
- Summary: Take Away Messages
- Future Work

# Summary: Take Away Messages

## Traditionally, land surface modeling

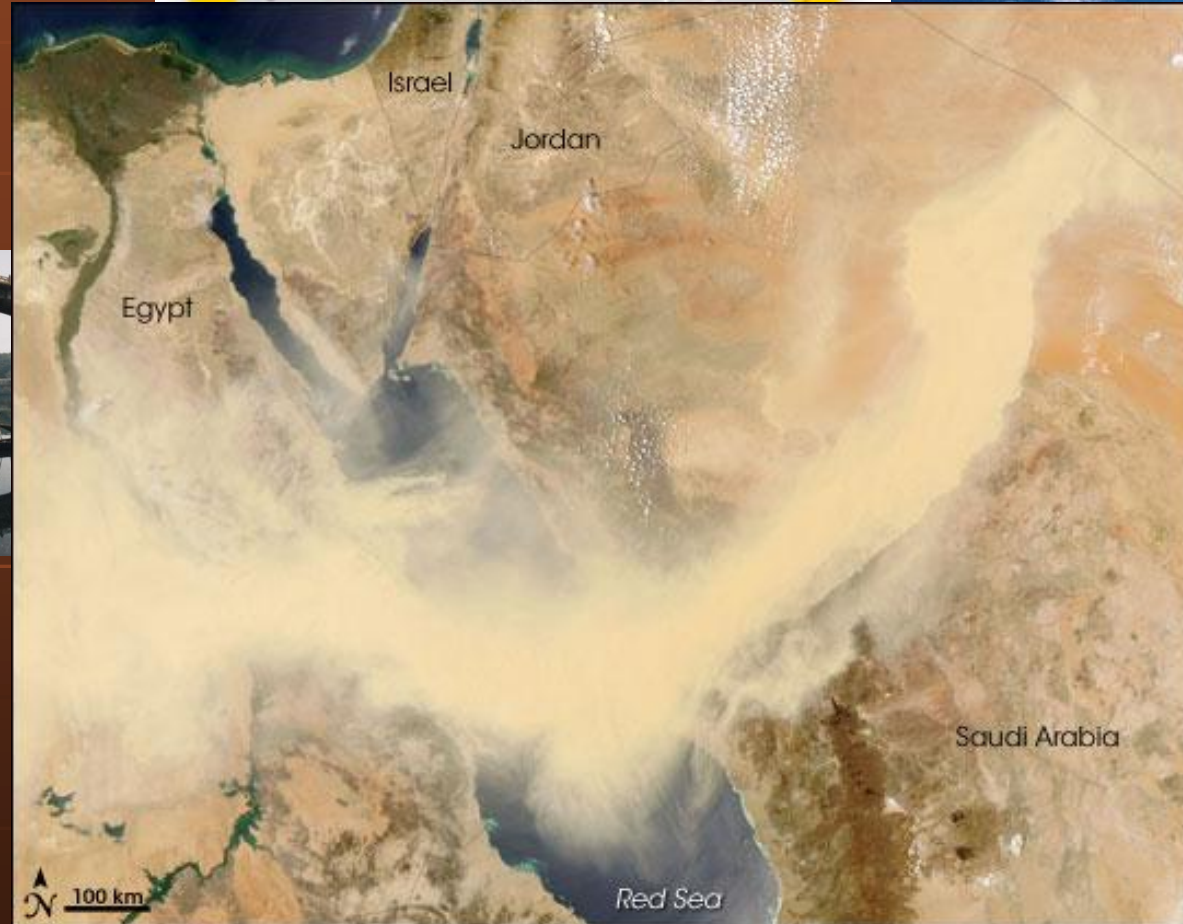
- treats land as a lower boundary condition in weather and climate models;
- determines the coupling strength and land–atmosphere interactions and feedbacks;
- calculates, in both coupled and offline modes, latent heat (ET), sensible heat, reflected solar radiation, upward longwave radiation, runoff, and state variables (soil moisture, snow water equivalent, soil temperature).

## Driven by IPCC & regional/local applications, land surface models

- have evolved greatly in the past three decades;
- are becoming more complex as we are facing emerging needs to
  - understand climate variability and change on all time/space scales,
  - quantify the climatic impacts on energy/water resources, agriculture, ecosystems, and environmental conditions for decision making.
- demand cross-cutting efforts from multi-disciplinary groups.

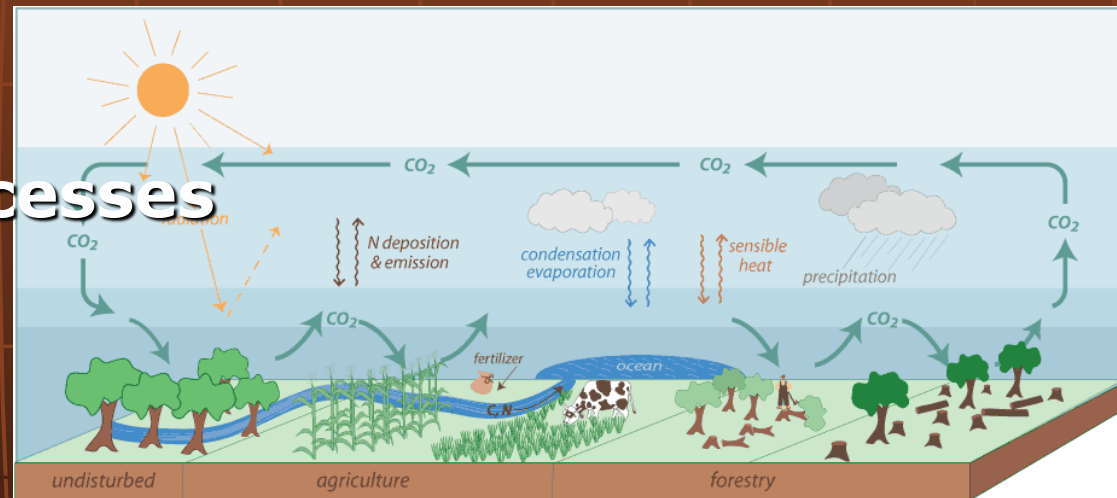
# Beyond Land–Atmosphere Interaction

- Land provides us food, clothing, shelter, and infrastructure.
- Land is at the central stage for **extreme** weather and climate events.
- Land has direct societal relevance and land research is fun.
- Land processes are multi-disciplinary, and multi-scale.



# Land Surface Models Must Now Deal with

- Exchange processes with the atmosphere
  - Momentum
  - Energy (reflected shortwave, emitted longwave, latent/sensible heat)
  - Water (precipitation, evapotranspiration)
  - Trace gases ( $\text{CO}_2$ ,  $\text{CH}_4$ ,  $\text{N}_2\text{O}$ , BVOCs)/dusts/aerosols/pollutants
- Exchange processes with the ocean
  - Fresh water
  - Sediments/nutrients
  - Salinity
- Land-memory processes
  - Topography
  - Snow/ice cover
  - Soil moisture
  - Vegetation
- Human activities
  - Land use (agriculture, afforestation, deforestation, urbanization, ...)
  - Water use (irrigation, human withdraws, dams, ...)
  - Air pollution / Water pollution
  - Environmental degradation



# New Challenges (1)

- Petascale [ $O(10^{15})$ ] Computing Architectures
  - Massively parallel supercomputers ( $10^4$ – $10^5$  multi-core processors)
  - **New challenges in memory management**
  - Current codes may be ill-equipped
  - **May need significant level of recoding**



## World's Fastest Supercomputer in 2002

**35.6** trillion math operations per second (TFlops)

640 nodes, 5104 processors

Occupies 4 tennis court

Earth Simulator

4/19/2002

UNIVERSITY OF TEXAS  
CKS  
COL OF GEO

## World's "Fastest" Supercomputer in 2011

**579.4** trillion math operations per second (TFlops)

3936 nodes, 62976 core processors

Texas Advanced Computing Center, UT-Austin

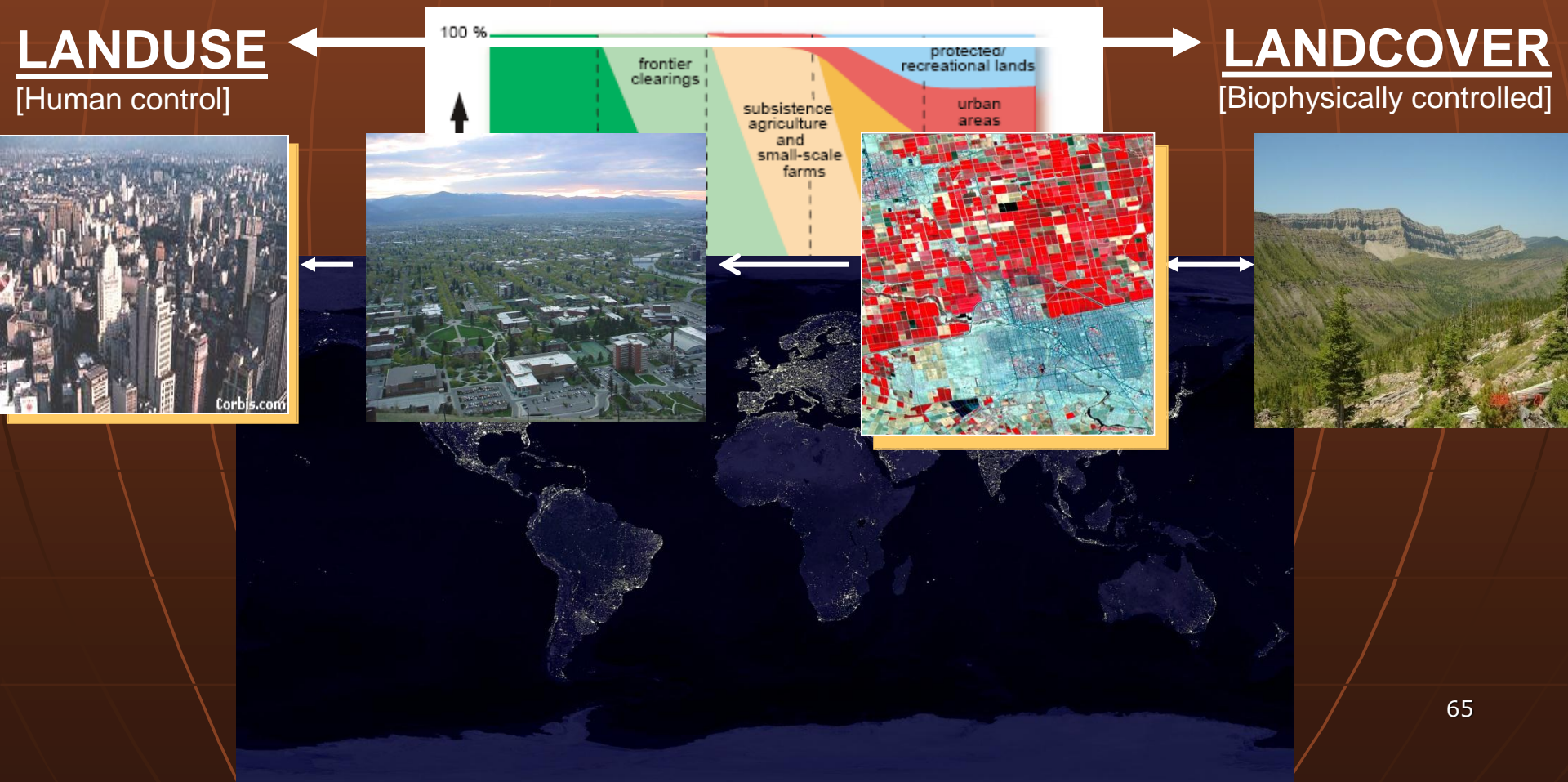
Ranger

5/19/2011



# New Challenges (2)

- **Rapid Transformation of Landscapes**
  - Land surface as a complex system
  - Natural and managed components, and **multi-scale interactions**
  - Deforestation, Reforestation, Urbanization, Agriculture and Irrigation
  - **Living organisms**



# New Challenges (3)

- Increasing Frequency of Extreme Events

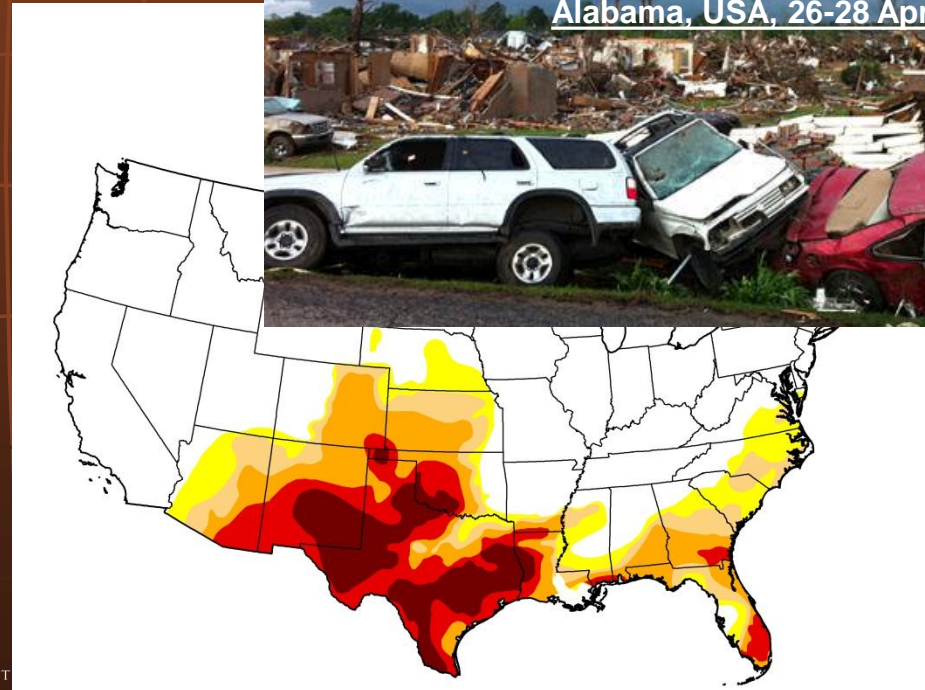
- Heat waves and cold waves
- Wild fires
- Floods/droughts
- Dust storms
- Tornados/hurricanes



Mississippi River Flood  
Hazen, Ark., USA, 5 May 2011



Tornado damages  
Alabama, USA, 26-28 April 2011



US Drought Monitor  
10 May 2011

Dust storms in Gansu Province,  
China, 28 April 2011

人民网甘肃频道  
gs.people.com.cn



# New Challenges (4)

- **Earth System–Society Interactions**

- Integrated assessments: impacts, **vulnerability**, and resilience
- Scenario-based decision making
- Reality check

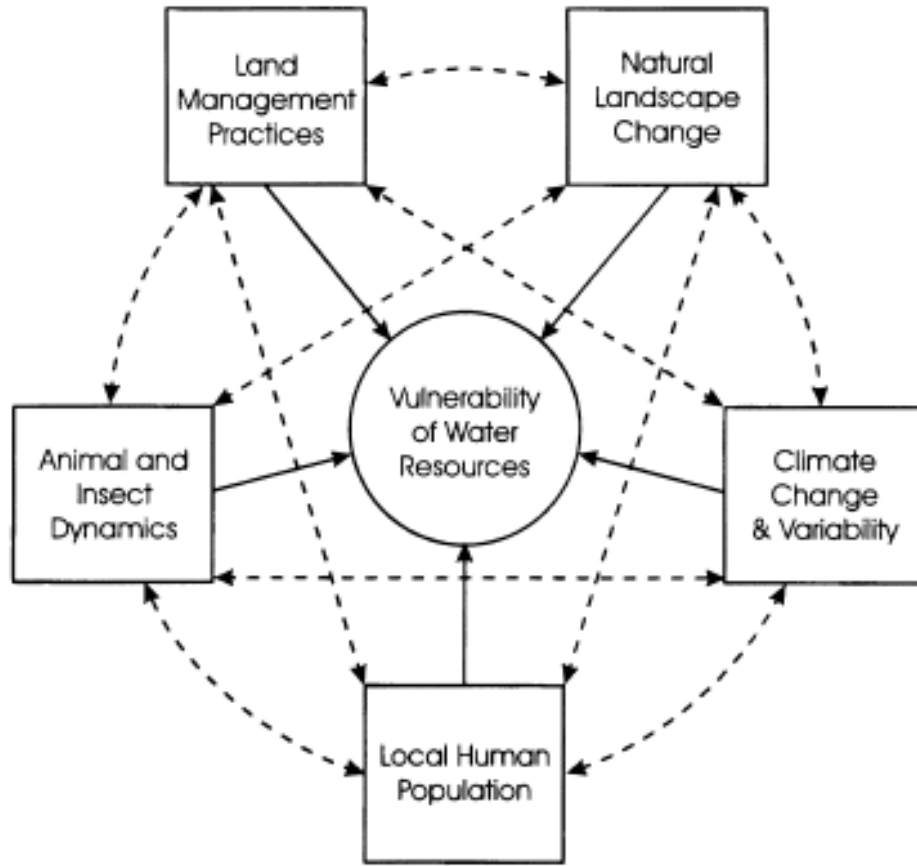
## Human Systems

- Institutions
- Culture
- Technology
- Population
- Economic

### Economic Problems

- poverty
- unequal wealth
- war
- globalization

Pielke, Sr. (2001)



Predictability requires:

- the adequate quantitative understanding of these interactions
- that the feedbacks are not substantially nonlinear.

Biological Systems

Chemistry  
Bank

Services

# New Challenges

- Petascale [ $O(10^{15})$ ] Computing Architectures
  - Massively parallel supercomputers ( $10^4$ – $10^5$  multi-core processors)
  - New challenges in memory management
  - Current codes may be ill-equipped
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- **Rapid Transformation of Landscapes**
  - Land surface as a complex system
  - Natural and managed components, and **multi-scale interactions**
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  - **Living organisms**
- **Increasing frequency of Extreme Events**
  - Heat waves and cold waves
  - Wild fires
  - Floods/droughts
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- **Earth System–Society Interactions**
  - Integrated assessments: impacts, vulnerability, and resilience
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  - Reality check

# Outline

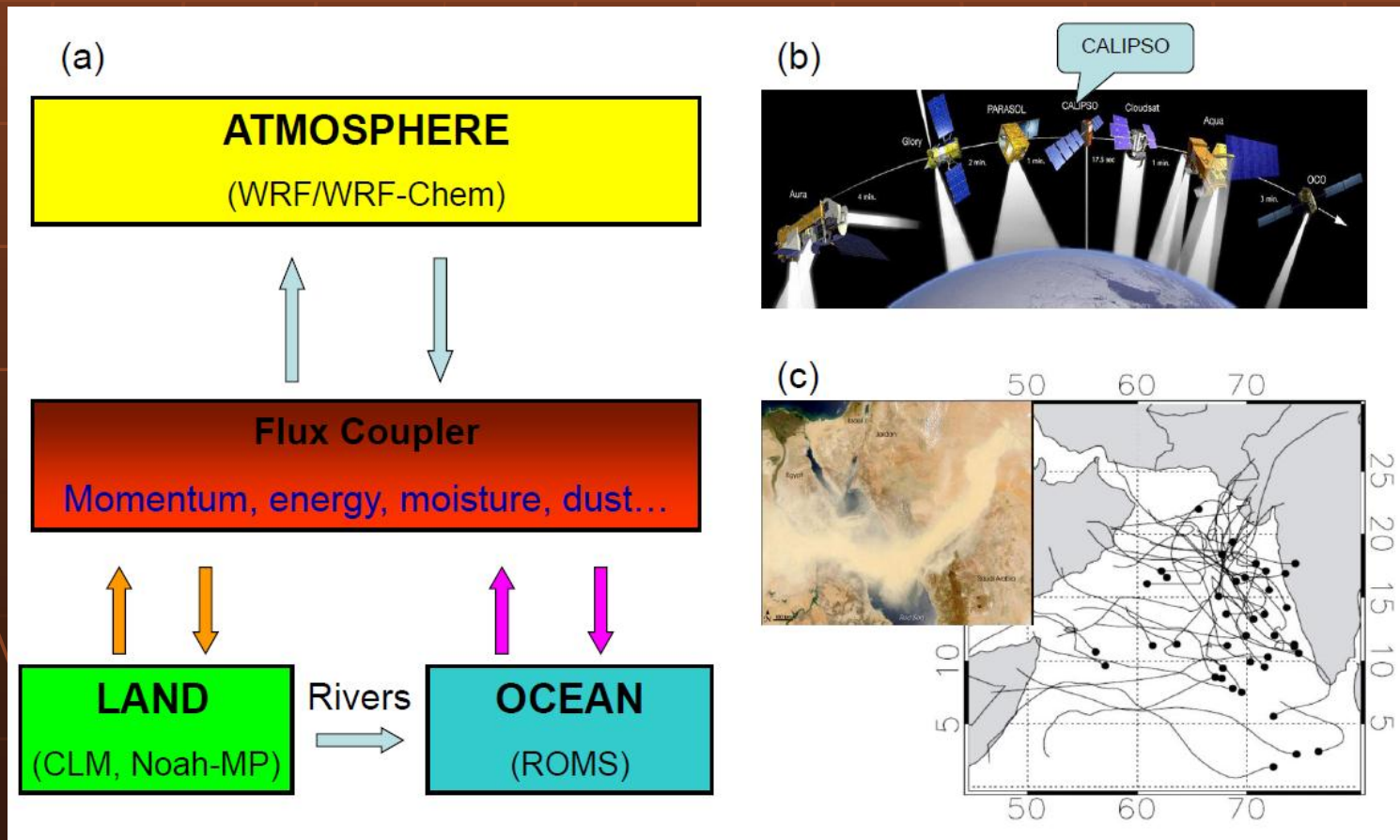
- Introduction
- Vegetation
- Water
- Data Assimilation
- Landscape to Coast
- Summary: Take Away Messages
- Future Work: **iRESM** (integrated regional earth system model)  
**development and application**

# Future Work (1)

- Integrated Earth System Modeling and Analysis

Putting all pieces together

Regional Earth System Modeling and Analysis Symposium  
(Beijing, May 18–22, 2011) and beyond



# Observations: FLUXNET, a global network

## USED SITES IN OUR STUDY:

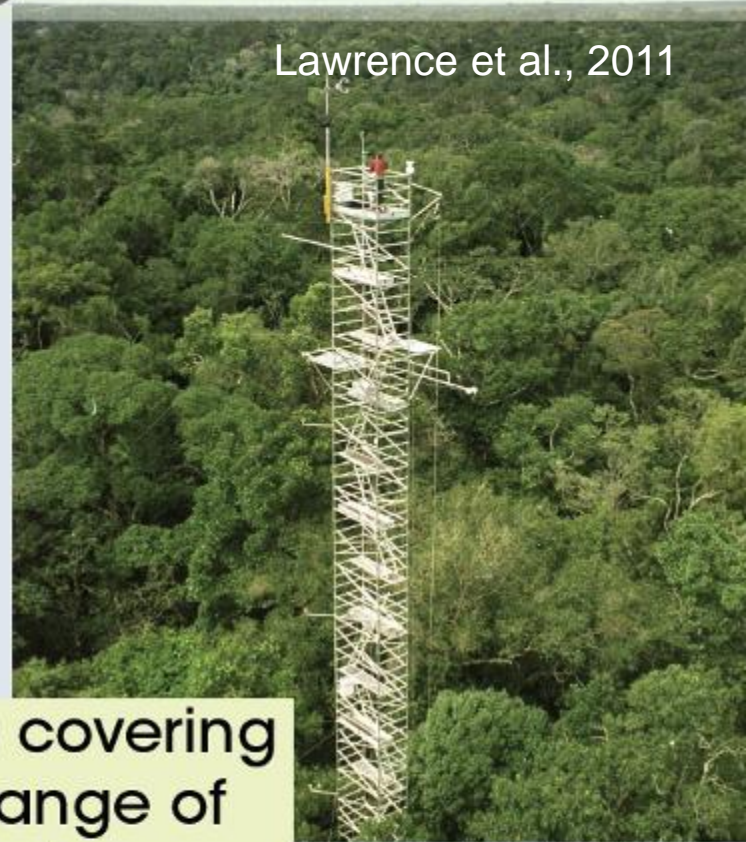
- Morgan Monroe (1999-2005)
- Fort Peck (2000-2005)
- Harvard Forest (1994-2003)
- Niwot Ridge (1999-2004)
- Boreas (1994-2005)
- Lethbridge (1998-2004)
  
- Santarem KM83 (2001-2003)
- Tapajos KM67 (2002-2005)
  
- Castelporziano (2000-2005)
- Collelongo (1999-2003)
- El Saler (1999-2005)
- Kaamanen (2000-2005)
- Hyytiälä (1997-2005)
- Tharandt (1998-2003)
- Vielsalm (1997-2005)

## Color Legend:

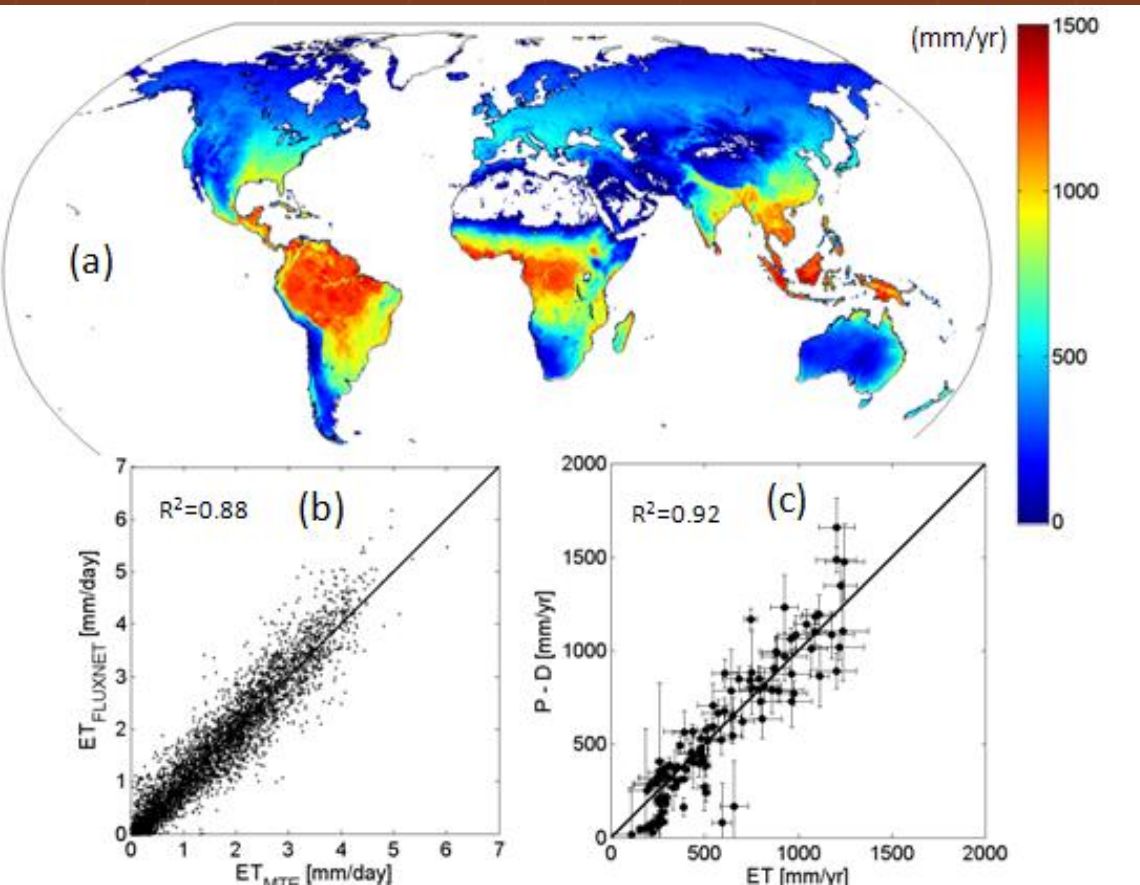
temperate  
tropical  
boreal  
sub-alpine  
north-boreal  
mediterranean

**500+** sites covering  
global range of  
climates  
& ecosystems

Lawrence et al., 2011



# Evaluation of upscaled global evapotranspiration



- (a) Map of mean Evapotranspiration from 1982-2008
- (b) Predicted vs. Observed ET at FLUXNET sites (10-fold cross-validation from MTE training)
- (c) Corroboration against river catchment water balances
- (d) Comparison against GSWP-2 land surface model ensemble (16 models) stratified according to bioclimatic zones



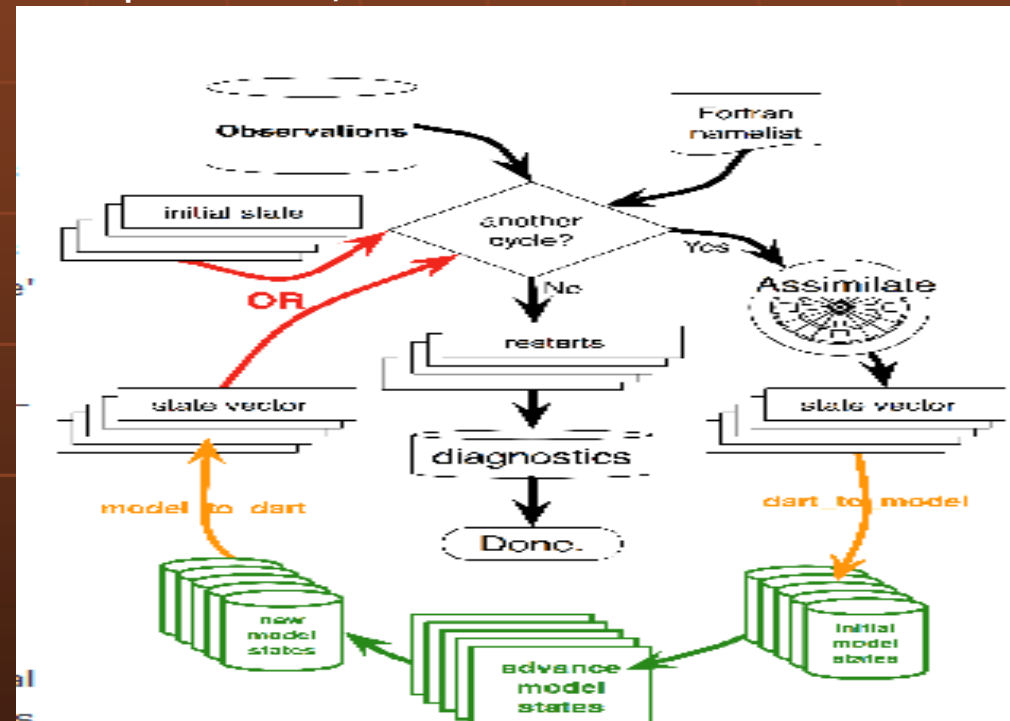
# FLUXNET Challenges

- To Sustain and Grow the Network that ask and answer Network-Scale Questions
  - To sample representative Climates and Biomes
  - To sample representative Disturbance Classes
  - Detect trends in Fluxes as Climate and Land Use Changes
  - Validate and Parameterize New Generation of Land Surface-Atmosphere Exchange Models
  - Serve as Critical Partner in Machine Learning Approaches to Flux Upscaling with Satellite Remote Sensing

# Future Work (2)

- Next Generation Data Assimilation

Multi-models, multiple datasets (**tower fluxes, aircraft measurements, satellite**, etc), multiple data assimilation schemes, fine-resolution, and long-term products, in collaboration with NCAR IMAGE



## Data Assimilation Research Testbed (DART)

THE UNIVERSITY OF TEXAS AT AUSTIN

# Thank you!

Xitian Cai, Dr. Cedric David, Dr. Lindsey Gulden  
Lisa Helper  
Dr. Bryan Hong  
Dr. Xiaoyan Jiang, Dr. Marla Knebl  
Dr. Jeff Lo  
Dr. Guo-Yue Niu  
Dr. Enrique Rosero, Dr. Hua Su  
Drs. David Allen, Gordon Bonan,  
Fei Chen, Jianli Chen, Robert Dickinson,  
Michael Ek, David Gochis, Alex Guenther,  
David Lawrence, David Maidment, Kenneth  
Mitchell, Keith Oleson, Roger Pielke Sr., Georgiy  
Stenchikov, Clark Wilson, Christine Wiedinmyer



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<http://www.geo.utexas.edu/climate>

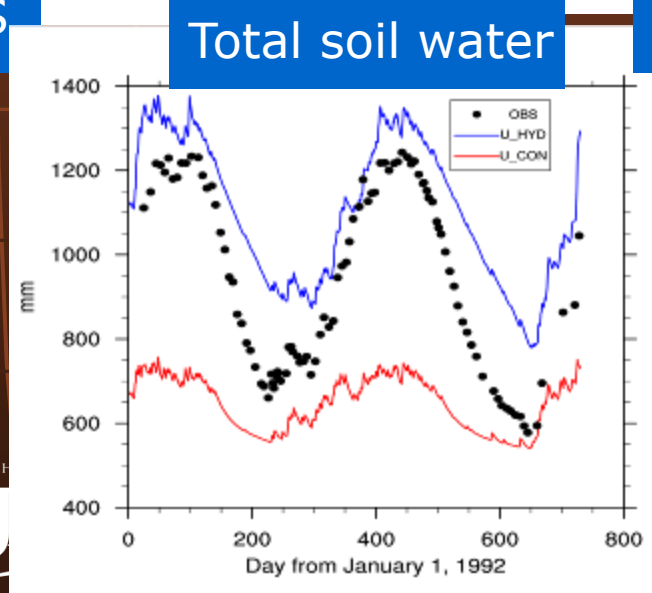
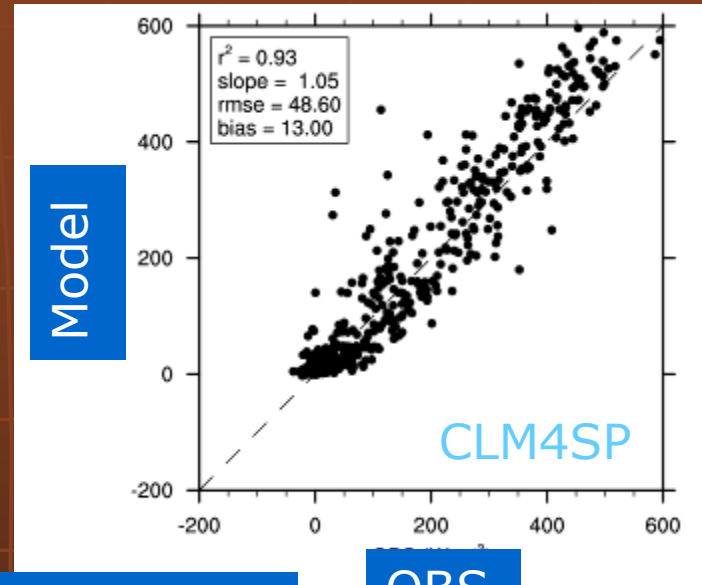
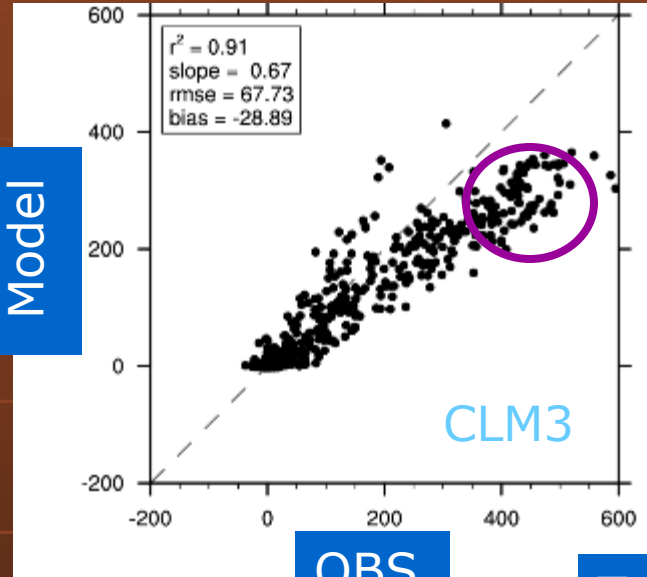
# Tower flux statistics (15 sites, hourly)

	Latent Heat Flux		Sensible Heat Flux	
	r	RMSE (W/m <sup>2</sup> )	r	RMSE (W/m <sup>2</sup> )
CLM3	0.54	72	0.73	91
CLM3.5	<b>0.80</b>	50	0.79	65
CLM4SP	<b>0.80</b>	<b>48</b>	<b>0.84</b>	<b>58</b>

# Abracos tower site (Amazon)

Latent Heat Flux

Latent Heat Flux

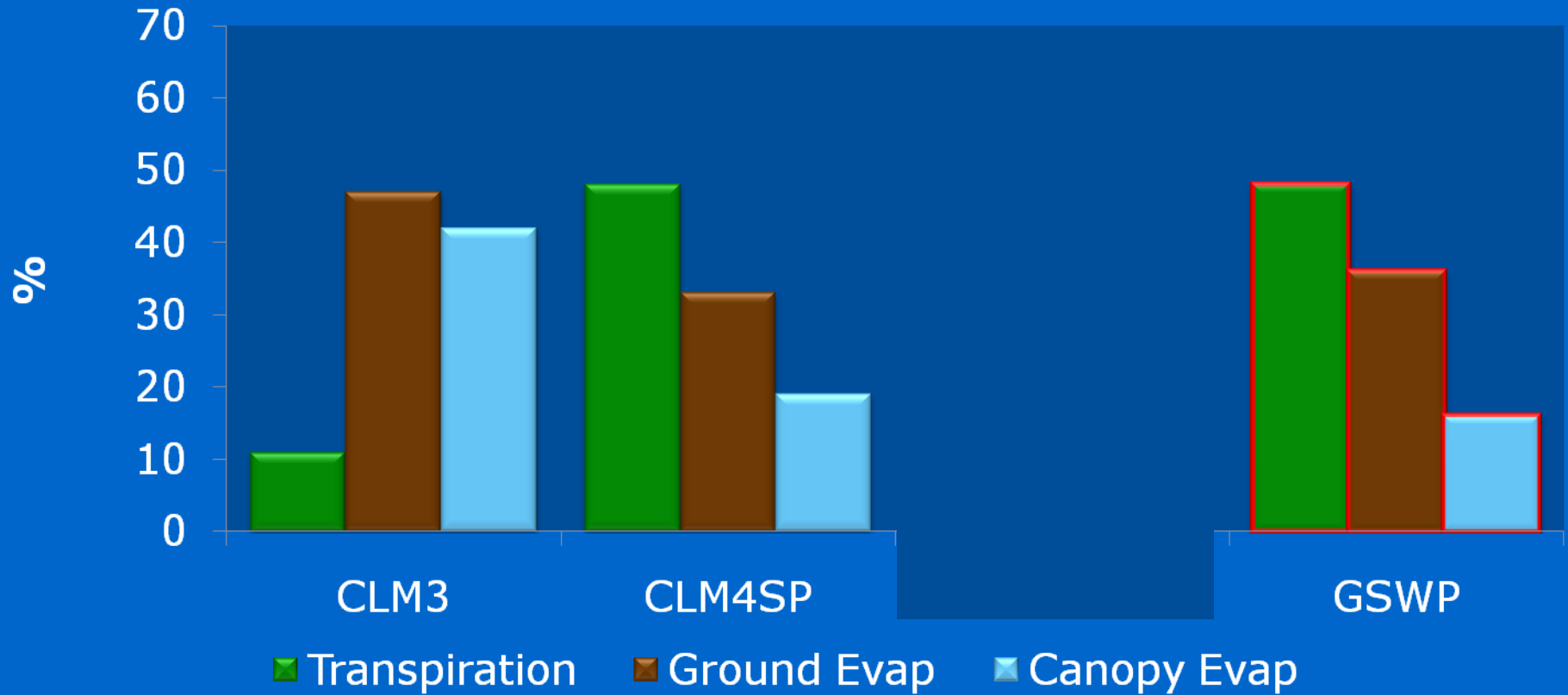


OBS

CLM4SP

CLM3

# Global Partitioning of Evapotranspiration



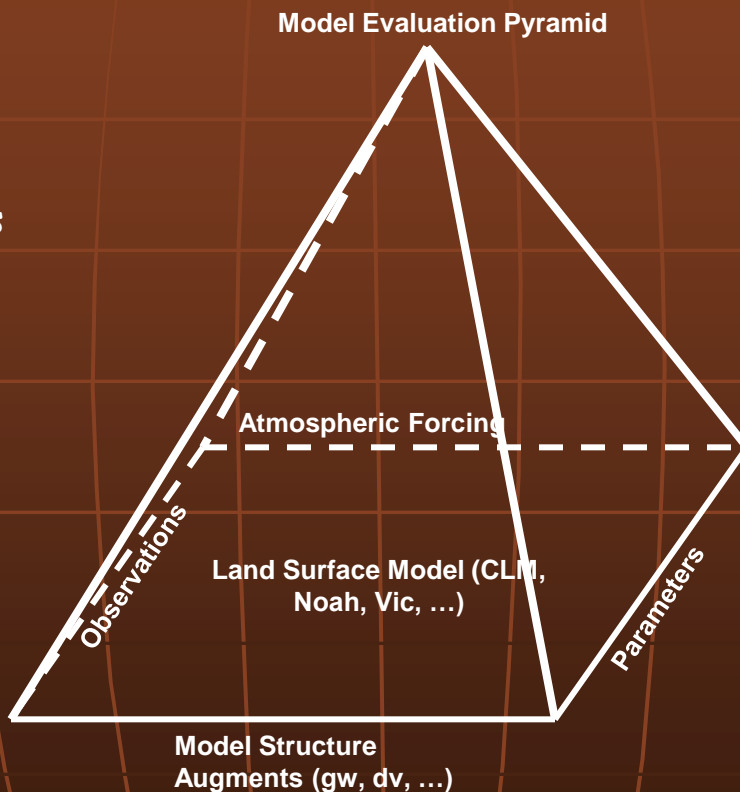
# How Can We Use Sophisticated Evaluation Methods To Guide LSM Development?

Two schools of thoughts in LSM development and evaluation

## LSM developers consider

1. Increasing realism in representing key processes
2. Understanding feedbacks and interactions
3. Maintaining synergism between LSM and other modules in the host GCM
4. Aiming for past, present, and future climate applications
5. Generalizing parameterizations across sites

LSM developers do not use automated, sophisticated evaluation tools.

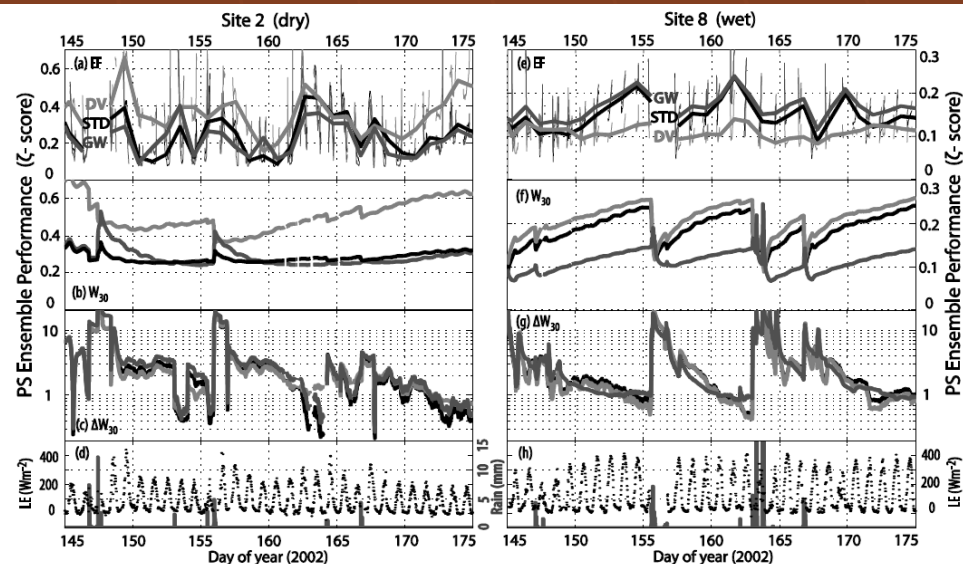
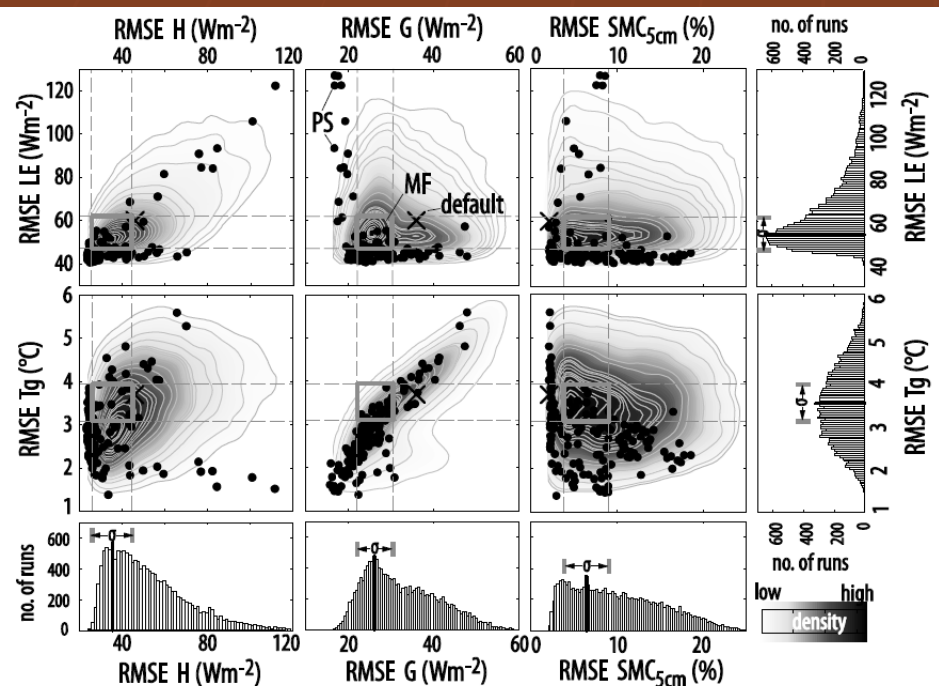


## LSM evaluators consider

1. Uncertainty in many subsurface parameters and other non-measurable parameters
2. Uncertainty in atmospheric forcing and observations used for evaluation
3. Calibration of the parameters for the augmented part only or for the entire LSM
4. Evaluation in all dimensions
5. Equifinality?

LSM evaluators calibrate/evaluate LSMs that already exist.

# A New Approach to Evaluating LSMs: Ensemble Methods



- 1) Gulden, L.E., E. Rosero, Z.-L. Yang, et al., 2008: Model performance, model robustness, and model fitness scores: A new method for identifying good land-surface models, *Geophys. Res. Lett.*, 35, L11404, doi:10.1029/2008GL033721.
- 2) Rosero, E., Z.-L. Yang, et al., 2009: Evaluating enhanced hydrological representations in Noah-LSM over transition zones: Implications for model development, *J. Hydrometeorology*, 10, 600-622. DOI:10.1175/2009JHM1029.1



# Continental River Dynamics and Petascale Computing

High-resolution (30 m - 1 km) coupled atmospheric, hydrologic, and river channel modeling and data assimilation system

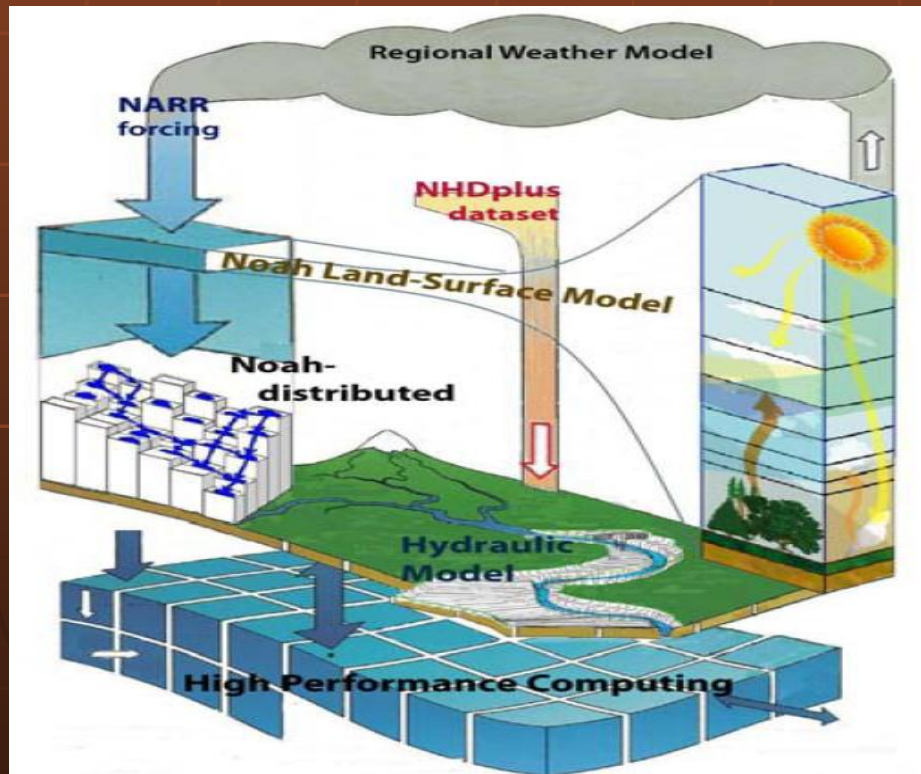
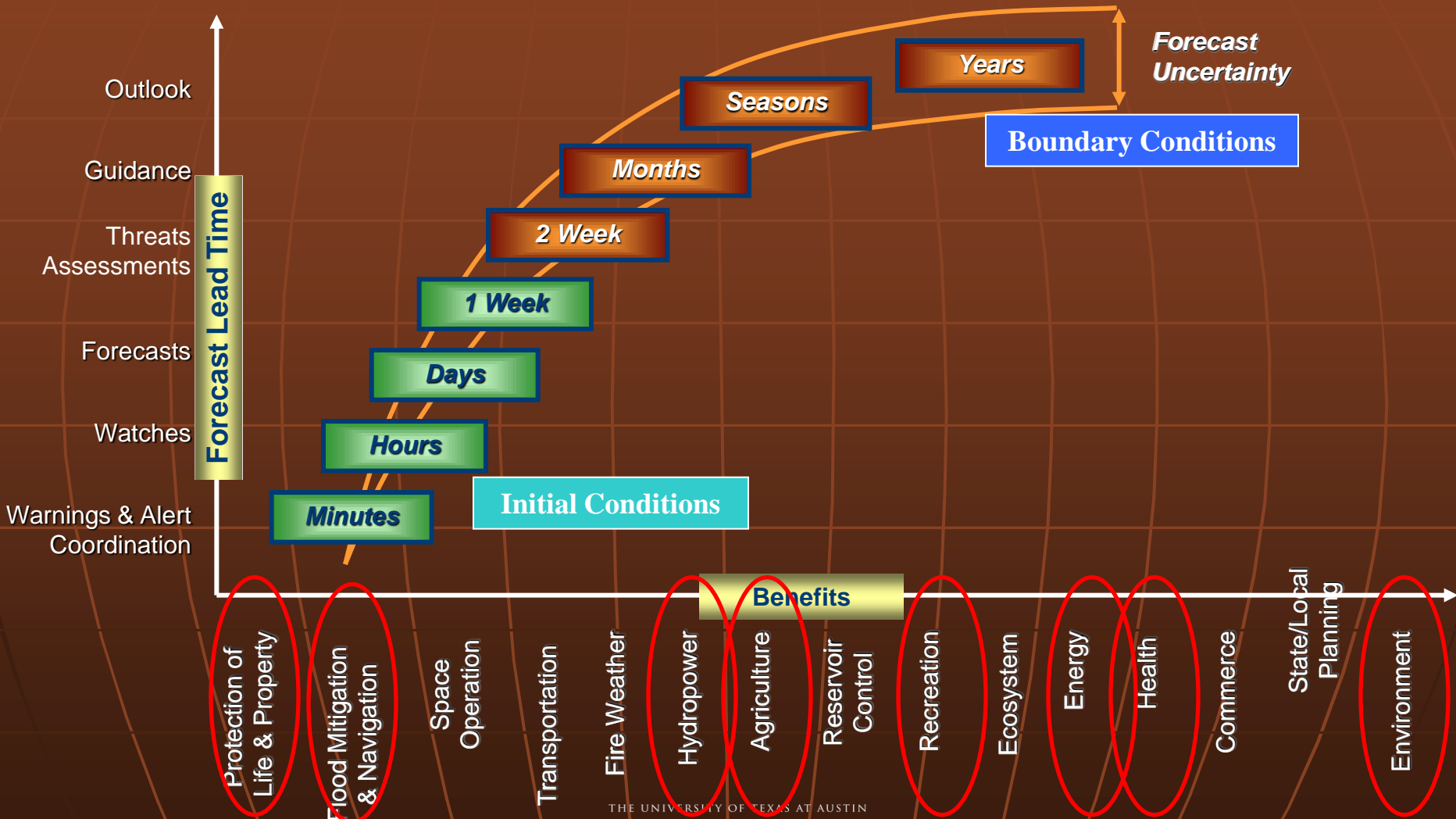


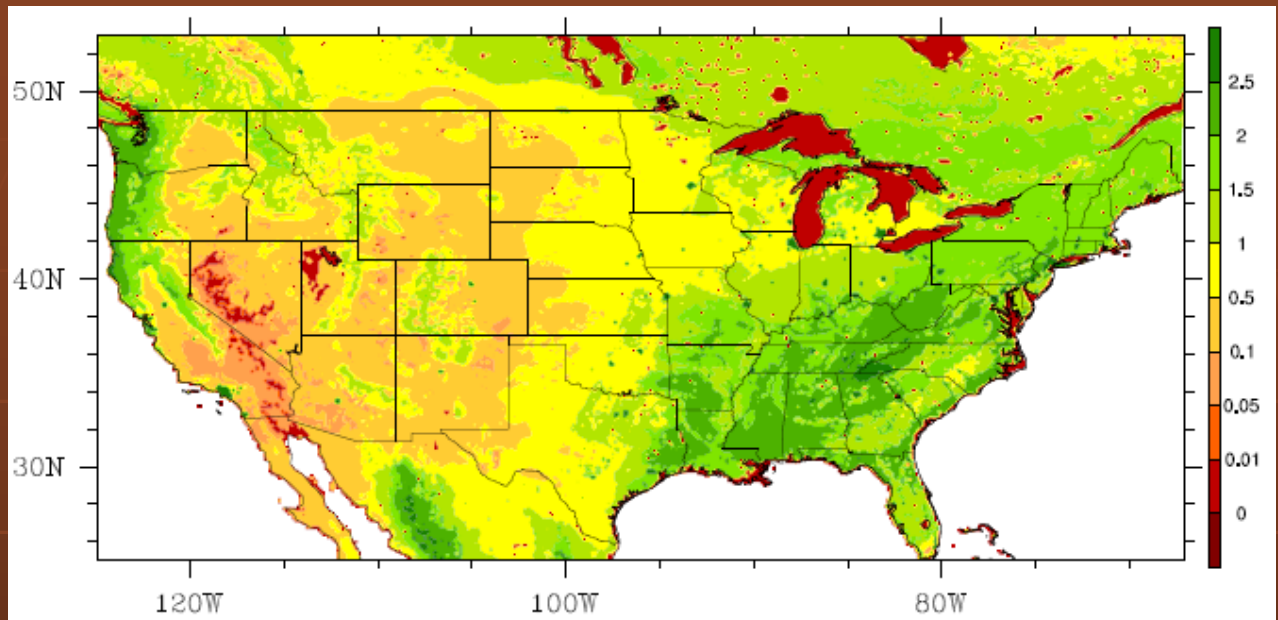
Figure 1: Schematic diagram of components in continental water dynamics

- How much fresh water is available?
- How fast does it move?
- What is its sensitivity to future climate change and land use/land cover change?
- Can we reliably monitor floods and droughts?

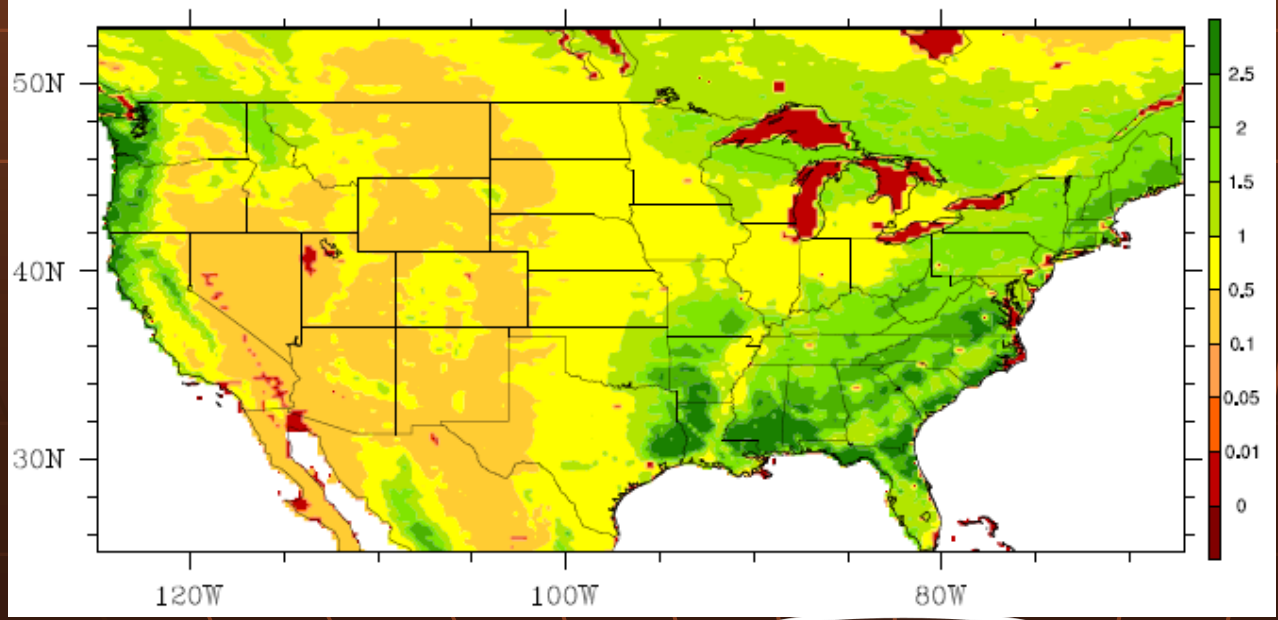
# Seamless Suite of Forecasts



# Modeled LAI Using NLDAS

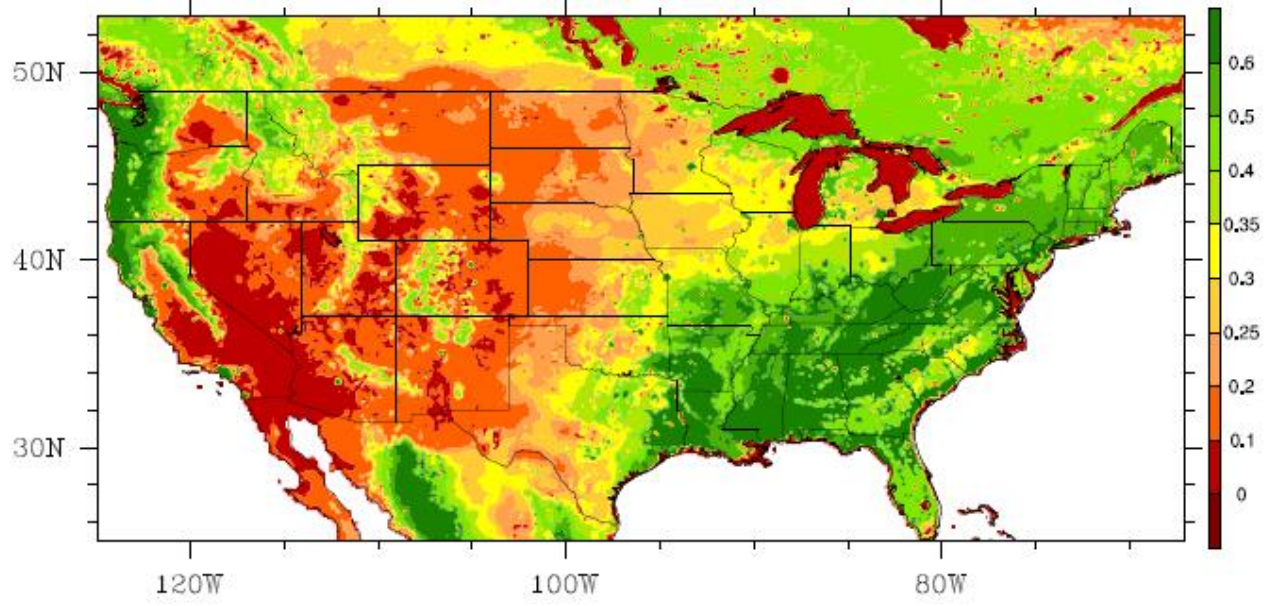


Noah-MP  
(2002 – 2007)

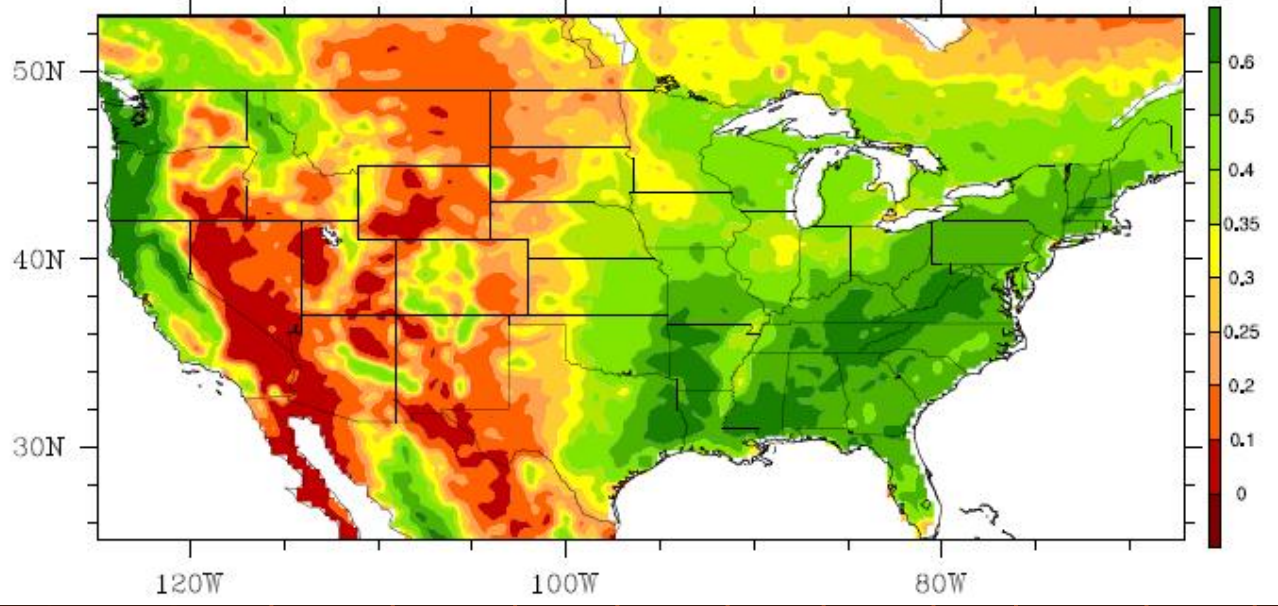


MODIS (1/4<sup>th</sup> degree)  
(Mar. 2000 – Jul. 2008)

# Modeled GVF Using NLDAS



Noah-MP  
(2002 – 2007)



NESDIS (0.144 degree)  
(Gutman & Ignatov, 1998)  
(5-year mean)

# Kalman Filter:

Given R.V.  $X$  and its observation  $y$ , with noise magnitude of  $R$ ,

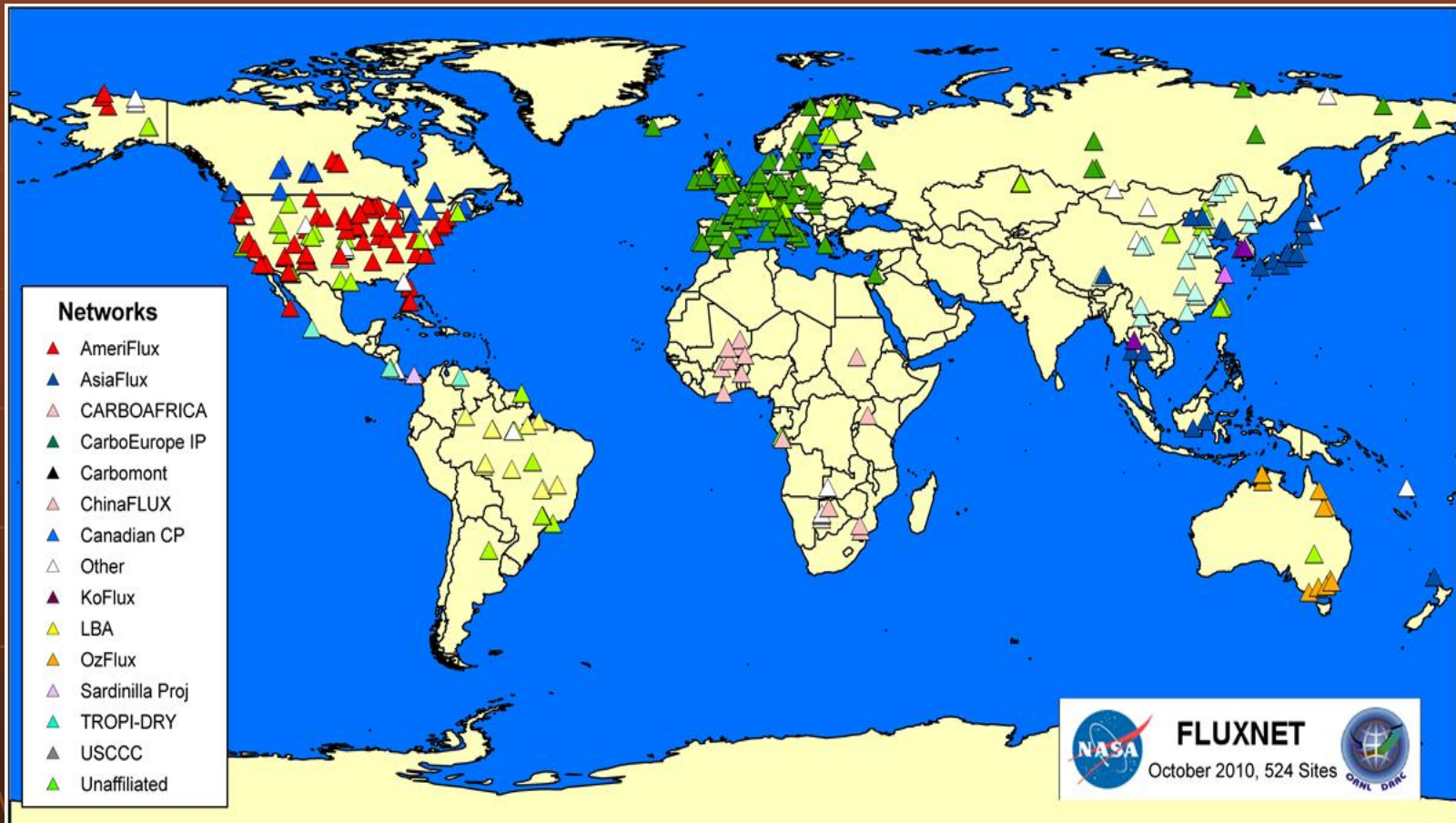
$Y=X+v$  (can be generalized to  $Y=H(X)+v$ ),  $X$ ,  $Y$  and  $v$  are normal distribution

**Best estimation of  $X$  given  $Y=y$**  (minimum variance)

Mean of conditional distribution

$$E(X | Y = y) = \int f(X | Y = y) dX = \frac{R}{\text{Var}(X) + R} E(X) + \frac{\text{Var}(X)}{\text{Var}(X) + R} y$$

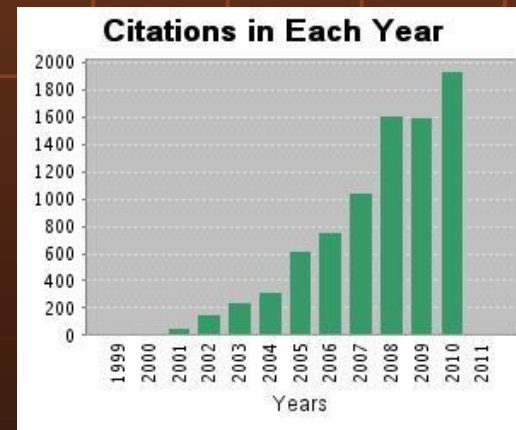
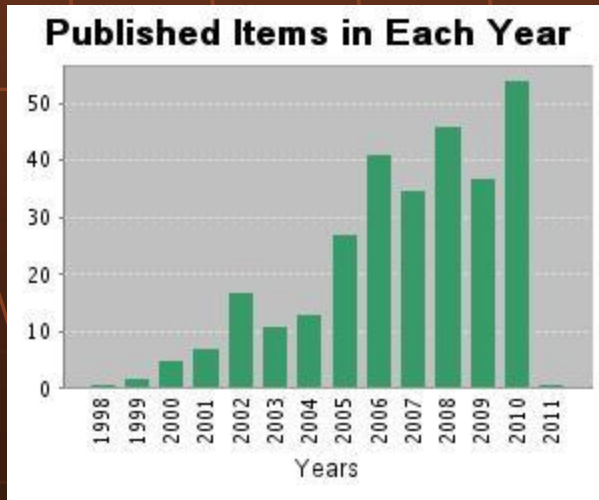
# Status of Global Network, 500+ Sites



Being Registered Does not mean Active or Contributing Data

# Highlights / Successes

- Papers in Science and Nature
  - Beer et al; Mahecha et al; Jung et al.
- Explosion of Synthesis Papers
- New Generation of Spatial Upscaling Papers
  - Xiao et al; Jung et al; Beer et al; Mu et al.
- Citation Count is Growing



# Concluding Comments

- We Must Continue to Work Together to Address Important and Contemporary Questions Pertaining to Earth System Science and Climate Change
- A Large and Growing Community of Modelers and Synthesis Scientists are Dependent Upon our Data
- Data are Produced with Govt Support, so it is the Ethical Duty
- Protections are in place to protect Data, PIs and Students in the Short Term, 1-2 years
- It should be the obligation of PIs to Release Data Sets in the Long-Run, e.g. Older Datasets, to Advance Science